

Application for Judicial Appointment
 Applicant's Name James Robertson
 Attachment to Senate Form No. 114

AO-19
 Rev. 1/83

FINANCIAL DISCLOSURE REPORT

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 (5 U.S.C.A. App. 6, 55101-112)

1. Person Reporting (Last name, first, middle initial) ROBERTSON, JAMES (N)	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 9/14/94
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) Judge (active)	5. Report Type (check appropriate type) — Nomination, Date _____ — Initial — Annual — Final	6. Reporting Period 1/1/93-8/31/94
7. Chambers or Office Address 2445 M Street, N.W. Washington, D.C. 20037	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions)	
Partner	Wilmer, Cutler & Pickering
President, Treasurer	Southern Africa Legal Services and Legal Education Project, Inc.
Trustee	St. Mark Elderly Housing, Inc.
Director	D.C. Lawyers Committee for Civil Rights and Urban Affairs
Director	Public Defender Service of D.C.

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements)	
Current	Partners of Wilmer, Cutler & Pickering (Attachment 1)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income)		
1	Wilmer, Cutler & Pickering partnership income	\$ 665,670
2		\$
3		\$
4		\$
5		\$
6		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

ROBERTSON, JAMES (N)

Date of Report

9/14/94

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

\$

\$

\$

\$

\$

\$

\$

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

\$

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.17-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1

Crestar Bank, N.A.

Unsecured Note (J)

M

\$

\$

\$

\$

\$

\$

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ROBERTSON, JAMES (N)

Date of Report

9/14/94

VII. INVESTMENTS AND TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(CC)" for joint ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-E)	(2) Type (div., int., etc.)	(1) Value Code (A-F)	(2) Value Method Code (A-F)	(1) Type (Buy, Sell, etc., redemption)	If not exempt from disclosure			
					(2) Date: Month/Day	(3) Value Code (A-F)	(4) Gain Code (A-E)	(5) Identity of Buyer/Seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)									
<u>GOV'T & GOV'T AGENCIES</u>									
1 Fed. Home Ln Mtg. Corp.	B	int.	K	T					
3 FNM	D	int.	L	T					
4 Tenn. Valley Auth. Pwr. Bd.	C	int.	K	T					
5 U.S. Treas. Notes	D	int.	M	T					
<u>CORPORATE OBLIGATIONS</u>									
6 Balt. Gas & Elec. Co.	D	int.	K	T					
7 GMAC	D	int.	L	T					
8 IIT	C	int.	K	T					
9 JC Penney	D	int.	K	T					
10 Private Expt. Fdg. Corp.	C	int.	K	T					
11 Republic N.Y. Corp.	C	int.	K	T					
<u>PREFERRED STOCK</u>									
13 Innerstep	NO	NE	K	W					
<u>EQUITY STOCK</u>									
15 AT&T	A	div.	J	T					
16 Alexander & Baldwin Inc.	A	div.	J	T					
17 American Gen. Corp.	NONE		J	T					
18 Am. Hme Prods. Corp.	A	div.	J	T					
19 Am. Natl Ins Co	NONE		J	T					
20 Archer Daniels	A	div.	K	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & B4) B=\$15,001 to \$50,000 C=\$1,001 to \$2,500 D=\$2,501 to 5,000 E=\$5,001 to \$15,000 2 Value Codes: A=\$15,000 or less B=\$250,001 to \$500,000 C=\$100,001 to \$100,000 D=\$100,001 to \$100,000 E=\$100,001 to \$250,000 3 Value Method Codes: A-Appraisal B-Cost (real estate only) C-Assessment D-Cash/Market (See Col. C2) U-Book Value V-Other W-Estimated									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
ROBERTSON, JAMES (N)	9/14/94

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

James Robertson

Date

9/14/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

JAMES ROBERTSON
Form AO-10

September 14, 1994

Attachment 1

Supplemental Answer to Item II.

I am eligible for retirement under the Wilmer, Cutler & Pickering Retirement Plan. The Wilmer, Cutler & Pickering Retirement Plan provides essentially for a retiring partner to receive monthly payments for the rest of the partner's life, provided only that the partner does not directly compete with Wilmer, Cutler & Pickering in the practice of law. Payments are calculated at the time of a partner's retirement and are fixed at an amount which (annually) equals 25 percent of the partner's average annual income for the partner's last three years. There is no occasion for upward adjustment of retirement payments, except that adjustments will be made to reflect increases in the cost of living if and to the extent cumulative inflation exceeds 4 percent in a year. There is no occasion for downward adjustment, except that the firm's annual obligation to all retired partners together is capped at 5 percent of firm income. The Retirement Plan has no provision for payment of a lump sum in lieu of periodic payments.

The Wilmer, Cutler & Pickering Retirement Plan also provides that, upon my ceasing to be a partner in Wilmer, Cutler & Pickering, I will be paid the amount of my capital account in twelve monthly installments.

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ROBERTSON, JAMES (N)

Date of Report

9/14/94

VII. INVESTMENTS AND TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (1) for joint ownership of reporting individual and spouse, (2) for separate ownership by spouse, (3) for ownership by dependent child. Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	(1)	(2)	(1)	(2)	If not exempt from disclosure					
	Int. Code (A-B)	Type (a) Div. (b) Prop. (c) Other (d) Int. (e) Trust (f) Other (g) Int. (h) Prop. (i) Other (j) Trust (k) Other (l) Int. (m) Prop. (n) Other (o) Trust (p) Other (q) Int. (r) Prop. (s) Other (t) Trust (u) Other (v) Int. (w) Prop. (x) Other (y) Trust (z) Other	Value Code (J-P)	Value Method Code (Q-W)	(1) Type (a) Div. (b) Prop. (c) Other (d) Int. (e) Trust (f) Other (g) Int. (h) Prop. (i) Other (j) Trust (k) Other (l) Int. (m) Prop. (n) Other (o) Trust (p) Other (q) Int. (r) Prop. (s) Other (t) Trust (u) Other (v) Int. (w) Prop. (x) Other (y) Trust (z) Other	(2) Date Month Day	(3) Value Code (J-P)	(4) Gain Code (A-D)	(5) Identity of counterparty (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)										
1 Bell Atl Corp	A	div.	J	T						
2 Boeing Co	A	div.	J	T						
3 Cable & Wireless	A	div.	J	T						
4 Carr Realty Corp	NONE		J	T						
5 Con Nat Gas Co	A	div.	J	T						
6 GTE Corp	A	div.	J	T						
7 Hershey Foods Corp	A	div.	J	T						
8 ELI Lilly	NONE		J	T						
9 Mellon Bank Corp	A	div.	J	T						
10 Mercantile Bkshrs	NONE		J	T						
11 JP Morgan	A	div.	J	T						
12 Nestle SA	A	div.	K	T						
13 Pacificorp	A	div.	J	T						
14 Pepsico Inc	NONE		J	T						
15 Procter & Gamble Co	NONE		J	T						
16 Roche HLDG Ltd	A	div.	J	T						
17 Royal Dutch Pete Co	A	div.	K	T						
18 Salomon Brothers Fund	A	div.	K	T						
19 Telefonica De Espana SA	A	div.	J	T						
20 Wash. Gas Lt Co	A	div.	J	T						

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & B4)	B=\$1,001 to \$2,500	C=\$2,501 to 5,000	D=\$5,001 to \$15,000
2 Value Codes: A=\$15,001 to \$50,000	B=\$50,001 to \$100,000	C=\$100,001 to \$1,000,000	D=\$1,000,001 to \$10,000,000
3 Value Method Codes: A=Appraisal (See Col. C2)	B=Cost (real estate only)	C=Other	D=Estimated

Attachment 2

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ROBERTSON, JAMES (N)

Date of Report

9/14/94

VII. INVESTMENTS AND TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for "Joint ownership of reporting individual and spouse," "(S)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	AMT. Code (A-H)	Type (S), Div., Trust or Int.)	Value Code (J-P)	Value Method Code (Q-W)	(1) Type (a-f), Day, Month, Year, Transaction	(2) Dates Month, Day	(3) Value Code (J-P)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Weingarten Rlty Inves	A	div.	J	T					
2 Western Invst Real Est	A	div.	J	T					
3 Chevvy Chase Bank (S)	B	int.	E	T					
4 (acct's Of Berit P. ROBERTSON)									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
1 Income/Gain Codes: (See Col. B1 & B4)	B=\$1,000 or less B=\$15,001 to \$50,000 J=\$15,000 or less B=\$225,001 to \$500,000	B=\$1,001 to \$2,500 P=\$2,500.001 to \$100,000 E=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000	C=\$2,501 to \$5,000 G=\$100,001 to \$1,000,000 I=\$10,001 to \$100,000 F=more than \$1,000,000	D=\$5,001 to \$15,000 P=more than \$1,000,000 B=\$100,001 to \$225,000 T=Call/Market					
2 Value Codes: (See Col. C1 & C3)	B=\$15,001 to \$50,000 B=\$225,001 to \$500,000 U=Book Value	E=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000 V=Other	C=\$2,501 to \$5,000 G=\$100,001 to \$1,000,000 I=\$10,001 to \$100,000 F=more than \$1,000,000	D=\$5,001 to \$15,000 P=more than \$1,000,000 B=\$100,001 to \$225,000 T=Call/Market					
3 Value Method Codes: (See Col. C2)	U=Book Value	V=Other	C=\$2,501 to \$5,000 G=\$100,001 to \$1,000,000 I=\$10,001 to \$100,000 F=more than \$1,000,000	D=\$5,001 to \$15,000 P=more than \$1,000,000 B=\$100,001 to \$225,000 T=Call/Market					

FINANCIAL STATEMENT

NET WORTH

Application for Judicial Appointment
 Applicant's Name James Robertson
 Attachment to Senate Form No. 11.5

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

As of September 14, 1994

ASSETS			LIABILITIES		
Cash on hand and in banks	16	311.00	Notes payable to banks—secured		
U.S. Government securities—add schedule			Notes payable to banks—unsecured	115	00000
Listed securities—add schedule			Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due	16	55800
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule B	788	68400
Real estate owned—add schedule A	1,260	000.00	Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property					
Cash value—life insurance					
Other assets—itemize:					
Wilmer, Cutler & Pickering	160	00000			
401(K) assets (attached)	846	59700			
			Total liabilities	920	242.00
			Net worth	1,362	566.00
			Total liabilities and net worth	2,282	298.00
Total assets	2,282	908.00			
CONTINGENT LIABILITIES			GENERAL INFORMATION		
SEE NOTE					
As endorser, cosigner or guarantor			Are any assets pledged? (Add schedule.)		
On leases or contracts			Are you defendant in any suits or legal actions?		
Legal Claims			Have you ever taken bankruptcy?		
Provision for Federal Income Tax					
Other special debt					

Schedule A:

Principal residence, Rockville, MD \$ 575,000
 Farm, second home, Bozman, MD 685,000
\$1,260,000

Schedule B:

Citicorp Mortgage \$ 295,687
 Prudential Mortgage 492,997
\$ 788,684

NOTE: As a partner of Wilmer, Cutler & Pickering, I have signed a Partner's Contribution Agreement that establishes a formula for contributions among partners of the firm in the event such contribution is necessary.