

**FINANCIAL STATEMENT
NET WORTH**

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debt, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks		76 316	Notes payable to banks - secured		
U.S. Government securities - add schedule			Notes payable to banks - unsecured		
Listed securities - add schedule			Notes payable to relatives		
Unlisted securities - add schedule (1)		149 222	Notes payable to others		
Accounts and notes receivable:			Accounts and bills due		
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Doubtful			Real estate mortgages payable - add schedule (2)	64	795
Real estate owned - add schedule (2)		156 000	Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts - itemize:		
Automobiles and other personal property		22 000			
Cash value - life insurance		2 000			
Other assets - itemize:					
HR-10 Retirement Fund		167 132			
Robinson & Cole Building		1 824			
Equity			Total liabilities	64	795
			Net worth	509	699
Total assets		574 494	Total liabilities and net worth	574	494
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor (3)	See	note	Are any assets pledged? (Add schedule).	No	
On leases or contracts	No		Are you defendant in any suits of legal actions?	No	
Legal Claims	No		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	No				
Other special debt (3)	See	note			

Footnotes

- (1) Franklin Connecticut Tax-Free Income Fund
- (2) Residence at 870 Windsor Avenue, Windsor, CT;
Mortgage in favor of Shawmut Mortgage Corporation
- (3) All partners of Robinson & Cole, which is a Connecticut general partnership, are contingently liable for its debts. The most significant debt is a note to Travelers Corporation, the proceeds of which were used to purchase our office space in 1984; the partners are jointly and severally liable in this note, but I will be released from this contingent obligation.

FD-278
Rev. 1/73

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Training Act of 1980, Pub. L. No.
96-359, November 18, 1980
(5 U.S.C.A. App. 5, 56101-113)

1. Person Reporting (last name, first, middle initial) Thompson, Alvin W.	2. Court or Organization United States District Court for the District of Connecticut	3. Date of Report 9/14/94
4. Title (Article III judges indicate active or senior status; Registrants judges indicate full- or part-time) District Judge (nominee)	5. Report Type (check appropriate type) X — Nomination, Date 9/14/94 — Initial — Annual — Final	6. Reporting Period January 1, 1993 to August 31, 1994
7. Current or Office Address c/o Robinson & Cole One Commercial Plaza Hartford, CT 06103	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable law and regulations — Reporting Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-5 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Partner

Robinson & Cole

Member, Board of Directors

Bankers Trust Company Connecticut, Ltd

Continued on Attachment I.

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

(Unwritten)

See Attachment II re distribution of law firm profits.

1/1/68, as amended

Robinson & Cole Retirement Plan -- I will continue to

have money in the plan for an undetermined period of time.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(Yours, not spouse's)

☐

NONE (No reportable non-investment income)

1992 Robinson & Cole -- compensation as a partner in a law firm \$206,220

1993 Robinson & Cole -- compensation as a partner in a law firm \$171,738

1994 Robinson & Cole -- compensation as a partner in a law firm \$1,780

1992, 1993 and 1994 Schooner, Inc. -- compensation as a part-time teacher (\$) \$

\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Alvin W. Thompson

Date of Report

9/14/94

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE**DESCRIPTION**☐**NONE** (No cash reportable reimbursements or gifts)

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Exempt

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V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)**SOURCE****DESCRIPTION****VALUE**☐**NONE** (No cash reportable gifts)

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Exempt

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VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)**CREDITOR****DESCRIPTION****VALUE CODE**☒**NONE** (No reportable liabilities)

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting Alvin W. Thompson	Date of Report 9/14/94
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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period	C. Gross value at end of reporting period	D. Transactions during reporting period					
(1) Asset Code (A-E)	(2) Type (S, D, R, L, T, M, K, Q, J, A, B, C, F, G, H, I, N, O, P, V, W, X, Y, Z)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	If not exempt from disclosure				
				(1) Type (A, S, D, R, L, T, M, K, Q, J, A, B, C, F, G, H, I, N, O, P, V, W, X, Y, Z)	(2) Date Month Day	(3) Value Code (J-F)	(4) Gain Code (A-E)	(5) Identify of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)								
1. IR-10 Retirement Plan - Shawmut Bank, Hartford, CT	D	Div.	M	T	Exempt			
2. Franklin Connecticut Tax-Free Income Fund, San Mateo, CA	D	Int.	M	T	Exempt			
3. Checking/Savings Accounts - Fleet Bank, Windsor, CT	C	Int.	L	T	Exempt			
4. Checking/Savings Accounts - Bank of Boston Connecticut	B	Int.	K	T	Exempt			
5. Hartford, CT								
6. Interest as a partner in real estate owned and occupied by Robinson & Cole at One Commercial Plaza, Hartford, CT	A	Equity	J	Q	Exempt			
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1 Income/Gain Codes: (See Col. B1 & D4)	A=\$1,000 or less B=\$15,001 to \$50,000 C=\$51,001 to \$100,000 D=\$101,001 to \$250,000 E=\$251,001 to \$500,000 F=\$501,001 to \$1,000,000 G=\$1,000,001 to \$2,500,000 H=\$2,500,001 to \$5,000,000 I=\$5,000,001 to \$10,000,000 J=\$10,000,001 to \$25,000,000 K=\$25,000,001 to \$50,000,000 L=\$50,000,001 to \$100,000,000 M=\$100,000,001 to \$250,000,000 N=\$250,000,001 to \$500,000,000 O=\$500,000,001 to \$1,000,000,000 P=\$1,000,000,001 to \$2,500,000,000 Q=\$2,500,000,001 to \$5,000,000,000 R=\$5,000,000,001 to \$10,000,000,000 S=\$10,000,000,001 to \$25,000,000,000 T=\$25,000,000,001 to \$50,000,000,000 U=\$50,000,000,001 to \$100,000,000,000 V=\$100,000,000,001 to \$250,000,000,000 W=\$250,000,000,001 to \$500,000,000,000 X=\$500,000,000,001 to \$1,000,000,000,000 Y=\$1,000,000,000,001 to \$2,500,000,000,000 Z=\$2,500,000,000,001 to \$5,000,000,000,000 AA=\$5,000,000,000,001 to \$10,000,000,000,000 AB=\$10,000,000,000,001 to \$25,000,000,000,000 AC=\$25,000,000,000,001 to 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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Alvin W. Thompson

Date of Report

9/14/94

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

Part III - I am a member of the Board of Directors of Bankers Trust Company

Connecticut, Ltd. This company pays fees to outside members of its

Board of Directors. All fees paid to me for service on this board are

turned over to Robinson & Cole. I intend to resign from this board.

Part VI - As a partner in the law firm of Robinson & Cole, which is a

Connecticut general partnership, I am contingently liable for

the debts of the partnership.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Alvin W. ThompsonDate September 14, 1994

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544