

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in all assets (including bank accounts, real estate, securities, trusts, investments, and other holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	5,000.00		Notes payable to banks—secured	14,767.00	
U.S. Government securities—add schedule—			Notes payable to banks—unsecured		
Listed securities—add schedule	418,729.00		Notes payable to relatives	0	
Unlisted securities—add schedule	0		Notes payable to others	0	
Accounts and notes receivable:	0		Accounts and bills due	253,402.00	
Due from relatives and friends			Unpaid income tax	0	
Due from others			Other unpaid tax and interest	0	
Doubtful			Real estate mortgages payable—add schedule		
Real estate owned—add schedule	452,500.00		Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize:		
Automobile and other personal property	100,000.00				
Cash value—life insurance	6,000.00				
Other assets—itemize:					
50% shareholder Garrison &					
Artenton (declared value)	400,000.00				
Profitsharing / 401K	137,472.00		Total Liabilities	268,169.00	
*1994 profitsharing contribution will be made 3/15/95			Net Worth	1,161,532.00	
Total Assets			Total Liabilities and net worth	1,429,701.00	
CONTINGENT LIABILITIES	1,429,701.00		GENERAL INFORMATION		
As endorser, cosigner or guarantor (50% line of credit*)	7,530.00		Are any assets pledged? (Add schedule.)	NO	
On leases or contracts			Are you defendant in any suits or legal actions?	NO	
Legal Claims estimated			Have you ever taken bankruptcy?		
Provision for Federal Income Tax				NO	
Other special debt					

* Law firm's line of credit

ASSETS SCHEDULEListed Securities

Janus Mercury Mutual Fund (1669.094 shares)	\$ 22,716.37
Vista Growth & Income Mutual Fund (670.832 shares)	19,154.50
Vanguard Municipal Bond Fund Money Market Portfolio	650.00
Phoenix Growth Fund #3	20,182.00
Nationwide Annuity 9/30/94	176,053.00
Lindner Funds (957.799 shares)	20,008.42
Meridian Fund (1556.765 shares)	39,105.94
Strong Opportunity Fund (8881.463 shares)	24,425.34
Third Ave. Value Fund (2264.774 shares)	38,433.21

Children's Securities

58,000.00

AT&T
Bell South
Bell Atlantic
US West
Nynex
Ameritech

REAL ESTATE OWNED SCHEDULE

219 Bishop Street New Haven, CT	\$ 247,000.00
Hubbard Pines (50% ownership) Blue Swamp Road Litchfield, CT	62,500.00
405-409 Orange Street (20% ownership) New Haven, CT	143,000.00

LIABILITIES SCHEDULEReal Estate Mortgages

New Haven Savings Bank	\$ 161,877.00
Torrington Savings Bank (50% of \$39,335)	19,668.00
*First Federal (20% of \$179,237)	35,847.00
*Center Bank (20% of \$160,978.10)	32,196.00
*New Haven Savings Bank (20% of 19,071)	3,814.20

Secured Notes

*Center Bank	9,600.00
* New Haven Savings Bank (20% of 25,833.43)	5,167.00

*real estate partnership obligation

AO-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) Arterton, Janet B.	2. Court or Organization United States District Court	3. Date of Report 1/24/95
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Article III Judge	5. Report Type (check appropriate type) ☒ Election, Date <u>1/23/95</u> ☒ Initial ☐ Annual ☐ Final	6. Reporting Period January 1, 1994 to January 23, 1995
7. Chambers or Office Address Garrison & Arterton, P.C. 405 Orange Street New Haven, CT 06511	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Stockholder/President

Garrison & Arterton, P.C.

General Partner

GASWICK Properties

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

(Not yet negotiated)

Garrison & Arterton, P.C.; Joseph D. Garrison & Janet Bond Arterton

(Terms of stock repurchase will be negotiated if confirmation occurs;
pay out period anticipated to be over 4 to 8 years)

April, 1992

(Additional Info.)
see VIII.GASWICK Properties; Lansing E. Crane; Gerald H. Kahn; Jonathan E. Silbert;
Joseph D. Garrison; Janet Bond Arterton (20% general partnership in real
property located at 405, 407 & 409 Orange St., New Haven, CT (expires

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.) 3/31/2007

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

1	Garrison & Arterton, P.C. -- gross taxable income from law practice	1993: \$127,456 1994: 124,323
2	Yale Law School -- income from teaching	1993: 1,750
3	Grad. School of Pol. Management at George Washington Univ. -- Dean and professor salary and consultation	\$ (S)
4	1993 Grinnell University -- speech honoraria	\$ (S)
5	1993 Connecticut College -- speech honoraria	\$ (S)
1993	Chatham House Publishers -- book royalties	(S)

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Janet Bond ArtertonDate of Report
1/24/95

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE	DESCRIPTION
☐ NONE (No such reportable reimbursements or gifts)	
¹ Exempt	
²	
³	
⁴	
⁵	
⁶	
⁷	
⁸	

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE	DESCRIPTION	VALUE
☐ NONE (No such reportable gifts)		
¹ Exempt		\$
²		\$
³		\$
⁴		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR	DESCRIPTION	VALUE CODE*
☐ NONE (No reportable liabilities)		
¹ First Federal Bank	Commercial mortgage (20% real estate partnership obligation)	K
² Center Bank	Commercial mortgage (20% real estate partnership obligation)	K
³		
⁴		
⁵		
⁶		
⁷		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

Janet Pond Arterton

1/24/95

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period					
Indicate, where applicable, owner of the asset by using the parenthetical (), for joint ownership of report- (1) individual, (2) spouse, (3) for separate ownership of spouse, (4) for ownership by dependent child. Place "X" after each asset except from prior disclosure.		(1) Amt. & Type (A-B)	(2) Type of Dis- trib- ution (C-E)	(1) Value (F-G)	(2) Value Method (G-H)	If not exempt from disclosure					
		(1) Date (I-J)	(2) Type (K-L) Buy, Sell, Mortgage, Refinance, etc.	(1) Date (M-N)	(2) Type (O-P) Buy, Sell, Mortgage, Refinance, etc.	(1) Date (Q-R)	(2) Type (S-T) Buy, Sell, Mortgage, Refinance, etc.	(1) Date (U-V)	(2) Type (W-X) Buy, Sell, Mortgage, Refinance, etc.	(1) Date (Y-Z)	(2) Type (AA-AB) Buy, Sell, Mortgage, Refinance, etc.
NONE (No reportable income, assets, or transactions)						E X E M P T					
1 Janus Mercury Mutual Fund (S)	A	Div	K	T							
2 VISTA Growth & Income Mutual Fund	A	Div	K	T							
3 Phoenix Growth Fund (J)	A	Div	K	T							
4 Nationwide Annuity (S)	A	Div	M	T							
5 Lindner Funds (S)	A	Div	K	T							
6 Meridian Funds (S)	A	Div	K	T							
7 Strong Opportunity Fund (S)	A	Div	K	T							
8 Third Avenue Value Fund (S)	A	Div	K	T							
9 AT&T (DC)	A	Div	K	T							
10 Bell South (DC)	A	Div	J	T							
11 Bell Atlantic (DC)	A	Div	J	T							
12 US West (DC)	A	Div	J	T							
13 Nynex (DC)	A	Div	J	T							
14 Ameritech (DC)	A	Div	J	T							
15 Vanguard Municipal Bond Fund	A	Int	A	T							
16 Real property at 405, 407, 409 Orange St., New Haven	E	Rent	M	Q							
17 First National Bank C.D.	A	Int	D	T							
18 Garrison & Arterton Pension/Profit-Sharing Plan	A	Div	M	T							
19 PAC Telesis (DC)	A	Div	J	T							
20 Southwestern Bell (DC)	A	Div	J	T							

Income/Gain Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$15,000
 (See Col. B1 & B2) E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=more than \$1,000,000
 Value Codes: J=\$15,000 or less K=\$15,001 to \$50,000 L=\$50,001 to \$100,000 M=\$100,001 to \$250,000
 (See Col. C1 & C2) N=\$250,001 to \$500,000 O=\$500,001 to \$1,000,000 P=more than \$1,000,000
 Value Method Codes: Q=Appraisal R=Cost (real estate only) S=Insurance T=Cash/Market
 (See Col. C2) U=Book Value, V=Other W=Unlimited

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Janet Bond Arterton

Date of Report

1/24/95

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

II. AGREEMENTS (Additional Information)

Garrison & Arterton Pension/Profit-Sharing Plan: employee-participant in law firm's pension/profit-sharing/401K Plan; contributions will cease as of withdrawal from firm.

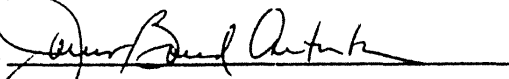
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date 1/24/95

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544