

ATTACHMENT A

AO-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) Vance, Sarah S.	2. Court or Organization United States District Court Eastern District of Louisiana	3. Date of Report June 15, 1994
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Nominee to Federal District Court	5. Report Type (check appropriate type) ☒ Nomination, Date <u>6/8/94</u> ☐ Initial ☐ Annual ☐ Final	6. Reporting Period January 1, 1993- June 1, 1994
7. Chambers or Office Address 546 Carondelet Street New Orleans, Louisiana 70130	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

- President, Director, Employee Sarah S. Vance, A Professional Law Corporation.
This professional corporation is a partner in the law firm Stone, Pigman, Walther, Wittmann & Hutchinson ("SPWWH").

(Continued)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

- January 1, 1990, Under the partnership agreement of SPWWH, I am entitled upon
as amended in withdrawal to my partnership share (currently 2.63%) of
1991, 1992, 1993 \$100,000, plus my pro rata share of net distributable income.
and 1994 during the year of withdrawal, plus a "guaranteed payment" of
50% of my average annual distributions for the three preceding
(continued)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

(Honoraria only)

☐

NONE (No reportable non-investment income)

- | | | |
|-----------------|--|--------------|
| 1994 to date | Sarah S. Vance, A Professional Law Corporation- | \$ 69,293.48 |
| | Income from law practice with SPWWH. | |
| 1992 to date(s) | Jones, Walker, Waechter, Poitevent, Carrere & Denegre - income from law practice | \$ |
| 1993 | Sarah S. Vance, A Professional Law Corporation- | \$284,640 |
| | income from law practice with SPWWH | |
| 1993 | The 546 Company - income from office building | \$ 2,872 |
| | partnership | |
| 1993 | Carondelet Title Services, INC. (a Subchapter "S" Corp.) - distributions from title insurance agency | 481.00 |

(Continued)

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

Vance, Sarah S.

June 15, 1994

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1 EXEMPT

2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1 EXEMPT		\$
2		\$
3		\$
4		\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1 SPWWH 401(K):	Loan from profit-sharing plan (J)	K
2 Profit Sharing Plan - Sarah S. Vance		
3 Jones, Walker, Waechter, Poitevent, Carrera & Benaigre	Loan from profit-sharing plan (J)	K
4 Profit Sharing Retirement Plan - Robert Patrick Vance		
5 First National Bank of Commerce	Contingent liability under guarantee of 150% or 2.63% of SPWWH's loans, which will be released upon withdrawal from SPWWH (J).	K (Contingent)
7		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Vance, Sarah S.

Date of Report

June 15, 1994

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-E)	(2) Type (a.s., div., rent or Int.)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	If not exempt from disclosure				
					(1) Type (e.g., buy, sell, margin, redemption)	(2) Date: Month-Day	(3) Value Code (J-F)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									EXEMPT
¹ Sarah S. Vance IRA Merrill Lynch, New Orleans, Louisiana, as follows: (J)									
³ a) 948.7148 Merrill Lynch Gov't. Mortgage Fund Class	A	Div./Int.	J	T					
⁴ B									
⁵ b) 1212.9800 Merrill Lynch Retirement Reserves	A	Div./Int.	J	T					
⁶ c) 270 U.S. Treasury Strips Zero	None	None	J	T					
⁷ Z. Sarah S. Vance IRA Rollover, Merrill Lynch - New Orleans, Louisiana, as follows: (J)									
⁹ a) 400.0000 FEMSA B CP	A	Div./Int.	J	T					
¹⁰ b) 1626.7060 Merrill Lynch Federal Securities Trust	A	Div./Int.	K	T					
¹¹ Class B									
¹² c) 538.6370 Merrill Lynch Senior Floating Rate Fund	A	Div./Int.	J	T					
¹³ d) 1100.0000 U.S. Treasury Strips Zero	None	None	K	T					
¹⁴ e) Sarah Lee Common	None	None	J	T					
¹⁵ (Continued)									
¹⁶									
¹⁷									
¹⁸									
¹⁹									
²⁰									
¹ Income/Gain Codes: (See Col. B1 & D4)	A=\$1,000 or less B=\$15,001 to \$50,000 C=\$51,000 or less	B=\$1,001 to \$2,500 F=\$30,001 to \$100,000 G=\$11,001 to \$50,000	C=\$2,501 to 5,000 D=\$5,001 to \$15,000 E=\$100,001 to \$1,000,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$100,001 to \$1,000,000 I=\$100,001 to \$1,000,000 J=\$100,001 to \$1,000,000 K=\$100,001 to \$1,000,000 L=\$100,001 to \$1,000,000 M=\$100,001 to \$1,000,000 N=\$100,001 to \$1,000,000 O=\$100,001 to \$1,000,000 P=\$100,001 to \$1,000,000 Q=\$100,001 to \$1,000,000 R=\$100,001 to \$1,000,000 S=\$100,001 to \$1,000,000 T=\$100,001 to \$1,000,000 U=\$100,001 to \$1,000,000 V=\$100,001 to \$1,000,000 W=\$100,001 to \$1,000,000 X=\$100,001 to \$1,000,000 Y=\$100,001 to \$1,000,000 Z=\$100,001 to \$1,000,000	C=\$2,501 to 5,000 D=\$5,001 to \$15,000 E=\$100,001 to \$1,000,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$100,001 to \$1,000,000 I=\$100,001 to \$1,000,000 J=\$100,001 to \$1,000,000 K=\$100,001 to \$1,000,000 L=\$100,001 to \$1,000,000 M=\$100,001 to \$1,000,000 N=\$100,001 to \$1,000,000 O=\$100,001 to \$1,000,000 P=\$100,001 to \$1,000,000 Q=\$100,001 to \$1,000,000 R=\$100,001 to \$1,000,000 S=\$100,001 to \$1,000,000 T=\$100,001 to \$1,000,000 U=\$100,001 to \$1,000,000 V=\$100,001 to \$1,000,000 W=\$100,001 to \$1,000,000 X=\$100,001 to \$1,000,000 Y=\$100,001 to \$1,000,000 Z=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 E=\$100,001 to \$1,000,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$100,001 to \$1,000,000 I=\$100,001 to \$1,000,000 J=\$100,001 to \$1,000,000 K=\$100,001 to \$1,000,000 L=\$100,001 to \$1,000,000 M=\$100,001 to \$1,000,000 N=\$100,001 to \$1,000,000 O=\$100,001 to \$1,000,000 P=\$100,001 to \$1,000,000 Q=\$100,001 to \$1,000,000 R=\$100,001 to \$1,000,000 S=\$100,001 to \$1,000,000 T=\$100,001 to \$1,000,000 U=\$100,001 to \$1,000,000 V=\$100,001 to \$1,000,000 W=\$100,001 to \$1,000,000 X=\$100,001 to \$1,000,000 Y=\$100,001 to \$1,000,000 Z=\$100,001 to \$1,000,000				
² Value Codes: (See Col. C1 & D3)	A=\$1,000 or less B=\$15,001 to \$50,000 C=\$51,000 or less	B=\$1,001 to \$2,500 F=\$30,001 to \$100,000 G=\$11,001 to \$50,000	C=\$2,501 to 5,000 D=\$5,001 to \$15,000 E=\$100,001 to \$1,000,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$100,001 to \$1,000,000 I=\$100,001 to \$1,000,000 J=\$100,001 to \$1,000,000 K=\$100,001 to \$1,000,000 L=\$100,001 to \$1,000,000 M=\$100,001 to \$1,000,000 N=\$100,001 to \$1,000,000 O=\$100,001 to \$1,000,000 P=\$100,001 to \$1,000,000 Q=\$100,001 to \$1,000,000 R=\$100,001 to \$1,000,000 S=\$100,001 to \$1,000,000 T=\$100,001 to \$1,000,000 U=\$100,001 to \$1,000,000 V=\$100,001 to \$1,000,000 W=\$100,001 to \$1,000,000 X=\$100,001 to \$1,000,000 Y=\$100,001 to \$1,000,000 Z=\$100,001 to \$1,000,000	C=\$2,501 to 5,000 D=\$5,001 to \$15,000 E=\$100,001 to \$1,000,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$100,001 to \$1,000,000 I=\$100,001 to \$1,000,000 J=\$100,001 to \$1,000,000 K=\$100,001 to \$1,000,000 L=\$100,001 to \$1,000,000 M=\$100,001 to \$1,000,000 N=\$100,001 to \$1,000,000 O=\$100,001 to \$1,000,000 P=\$100,001 to \$1,000,000 Q=\$100,001 to \$1,000,000 R=\$100,001 to \$1,000,000 S=\$100,001 to \$1,000,000 T=\$100,001 to \$1,000,000 U=\$100,001 to \$1,000,000 V=\$100,001 to \$1,000,000 W=\$100,001 to \$1,000,000 X=\$100,001 to \$1,000,000 Y=\$100,001 to \$1,000,000 Z=\$100,001 to \$1,000,000					
³ Value Method Codes: (See Col. C2)	Q=Appraisal U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market					

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
Vance, Sarah S.	June 15, 1994

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Sarah S. Vance Date 6/15/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544