

FINANCIAL STATEMENT

NET WORTH

Trust

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	689		Notes payable to banks—secured		
U.S. Government securities—add schedule	115204		Notes payable to banks—unsecured		
Listed securities—add schedule	152556		Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due		
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule		
Real estate owned—add schedule			Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize		
Automobiles and other personal property					
Cash value—life insurance					
Other assets—itemize					
			Total liabilities		
			Net Worth		
Total Assets			Total liabilities and net worth		
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor			Are any assets pledged? (Add schedule.)		
On leases or contracts			Are you defendant in any suits or legal actions?		
Legal Claims			Have you ever taken bankruptcy?		
Provision for Federal Income Tax					
Other special debt					

FINANCIAL STATEMENT

NET WORTH

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Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	8204	Notes payable to banks—secured	
U.S. Government securities—add schedule	34269	Notes payable to banks—unsecured	
Listed securities—add schedule	53360	Notes payable to relatives	
Unlisted securities—add schedule		Notes payable to others	
Accounts and notes receivable:		Accounts and bills due	
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable—add schedule	
Real estate owned—add schedule		Chattel mortgages and other liens payable	
Real estate mortgages receivable		Other debts—itemize:	
Automobile and other personal property			
Cash value—life insurance			
Other assets—itemize:			
Direct Investments (L.P.)	20112		
SCA Tax-exempt L.P.	N/A		
		Total liabilities	
		Net Worth	
Total Assets		Total liabilities and net worth	
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, cosigner or guarantor		Are any assets pledged? (Add schedule.)	
On leases or contracts		Are you defendant in any civil or legal actions?	
Legal Claims		Have you ever taken a bankruptcy?	
Provision for Federal Income Tax			
Other special debt			

STATE OF ARIZONA)
COUNTY OF MARICOPA) ss

AFFIDAVIT

I, Roslyn Moore-Silver, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

9/14/94 Roslyn Moore Silver
 (DATE) (NAME)

Subscribed and sworn to before me this 14 day of September, 1994, by Roslyn Moore-Silver.

Viriana M. Martinez
 NOTARY PUBLIC

My Commission Expires May 17, 1996

FD-10
Rev. 1/73

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 5, §§101-112)

1. Person Reporting (Last name, first, middle initial) Moore-Silver, Roslyn O.	2. Court or Organization Federal District Court for the District of Arizona	3. Date of Report 9/14/94
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate Full- or part-time) Active Article III Judge	5. Report Type (check appropriate type) ☒ Nomination, Date 9/14/94 ___ Initial ___ Annual ___ Final	6. Reporting Period
7. Chambers or Office Address United States Courthouse 230 North First Avenue Phoenix, AZ 85025	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Lecturer Scottsdale University School of Law

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(Yours, not spouse's)

☐

NONE (No reportable non-investment income)

1	<u>1/93-3/93</u>	<u>Scottsdale University School of Law-Compensation</u>	<u>\$ 1,200.00</u>
2	<u>1/93-9/94</u>	<u>Arizona Attorney General's Office -</u>	
3		<u>Salary</u>	<u>\$</u>
4			<u>\$</u>
5			<u>\$</u>
6			<u>\$</u>

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Moore-Silver, Roslyn O.

Date of Report

9/14/94

IV. REIMBURSEMENTS and GIFTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1 Not applicable

2

3

4

5

6

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V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1 Not applicable

2

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VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒

NONE (No reportable liabilities)

1

2

3

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* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

Moore-Silver, Roslyn O.

Date of Report

9/14/94

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-37 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership of reporting individual and spouse, (J) for separate ownership by spouse, (DC) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Net: 1 Code (A-B)	Type dis: 2 (C-F)	Value: 3 Code (J-F)	Method: 4 (G-W)	1) Type (e.g., buy, sell, exchange, redemption)	2) Date: Month/Day	3) Value: Code (J-S)	4) Gain: Code (A-B)	5) Identity of other seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
¹ Salt River Project Bond (J)	A	Int.	J	U					
² SCA Tax Exempt LP (J)	A	Int./Div.	J	U					
³ ML Futures Invest. LP (J)	A	Div.	J	U					
⁴ ML Global Allocation (J)	A	Div.	J	U					
⁵ CATS 03 8/15/04	A	Int.	J	U					
⁶ Coupon Treasury Receipt 2/15/03	A	Int.	J	U					
⁷ U.S. Treasury Note 8/15/04	A	Int.	J	U					
⁸ Equity Inc. Fund New Ten	A	Div.	J	U					
⁹ ML Eurofund	A	Div.	J	U					
¹⁰ ML Global Holdings FD	A	Div.	J	U					
¹¹ ML Global Allocation FD	A	Div.	J	U					
¹² Coupon Treasury Receipt 8/13/03 (S)	A	Int.	J	U					
¹³ Coupon Treasury Receipt 2/15/03 (S)	A	Int.	J	U					
¹⁴ Coupon Treasury Receipt 2/15/04 (S)	A	Int.	J	U					
¹⁵ Baxter International 'Common' (S)	A	Div.	J	U					
¹⁶ Caremark International 'Common' (S)	A	Div.	J	U					
¹⁷ Chiron Corp. 'Common' (S)			J	U					
¹⁸ Genentech 'Common' (S)			J	U					
¹⁹ IBM 'Common' (S)	A	Div.	J	U					
²⁰ K-Mart 'Common' (S)	A	Div.	J	U					

1 Income/Gain Codes:	A-\$1,000 or less (See Col. B1 & B4)	B-\$1,001 to \$2,500 B-\$250,001 to \$500,000	C-\$2,501 to 5,000 C-\$100,001 to \$1,000,000	D-\$5,001 to \$15,000 D-more than \$1,000,000
2 Value Codes:	J-\$15,000 or less (See Col. C1 & C3)	K-\$15,001 to \$50,000 K-\$250,001 to \$500,000	L-\$50,001 to \$100,000 L-\$500,001 to \$1,000,000	M-\$100,001 to \$250,000 M-more than \$1,000,000
3 Value Method Codes:	O-Original (See Col. C2)	U-Book Value	V-Other (Cost (real estate only))	W-Estimated X-Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Moore-Silver, Roslyn O.

Date of Report

9/14/94

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 12-17 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J), (S), (M) - joint ownership of reportable individual and spouse, (S) for separate ownership by spouse, (D) for ownership by dependent child. Place "(U)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Int. (A-B)	(2) Div., cap., rent or Int. (C-E)	(1) Value, Code (3-F)	(2) Value Method, Code (4-G)	(1) Type (e.g., buy, sell, matur., transfer, redemption)	If not exempt from disclosure			
						(2) Date, Month- Day	(3) Value, Code (3-F)	(4) Gain, Code (A-B)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Salt River Project Bond (J)	A	Int.	J	U					
2 SCA Tax Exempt LP (J)	A	Int./Div.	J	U					
3 ML Futures Investments LP (J)	A	Int./Div.	J	U					
4 ML Global Allocation (J)	A	Div.	J	U					
5 CATS 0% 8/15/04	A	Int.	J	U					
6 Coupon Treasury Receipt 2/15/03	A	Int.	J	U					
7 U.S. Treasury Note 8/15/04	A	Int.	J	U					
8 Equity Inc. Fund Dow Ten	A	Div.	J	U					
9 ML Eurofund	A	Div.	J	U					
10 ML Global Holdings	A	Div.	J	U					
11 ML Global Allocation	A	Div.	J	U					
12 ML Ready Asset Trust (J)	A	Int.	J	U					
13 ML Retirement Money FD	A	Int.	J	U					
14 ML Retirement Money FD (S)	A	Int.	J	U					
15 Arizona State Retirement Program(S)	K	Int.	K	U					
16									
17									
18									
19									
20									

1 Income/Gain Codes: (See Col. B1 & B4)	A-\$1,000 or less B-\$15,001 to \$50,000 C-\$51,000 or more	D-\$1,001 to \$2,500 E-\$25,001 to \$50,000 F-\$51,001 to \$100,000 G-\$101,001 to \$500,000 H-\$501,001 to \$1,000,000 I-More than \$1,000,000	J-Appraisal K-Book Value	L-Cost (real estate only) M-Other	N-\$1,001 to \$2,500 O-\$25,001 to \$50,000 P-\$51,001 to \$100,000 Q-\$101,001 to \$500,000 R-\$501,001 to \$1,000,000 S-More than \$1,000,000	T-Assessment U-Estimated	V-Cash/Market
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The items below are part of a trust in which I am the trustee and my mother is the beneficiary. I presently have no interest in the trust. At my mother's death, the trust property will be divided between myself and my siblings.

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Period Reporting

Moore-Silver, Roslyn O.

Date of Report

9/14/94

VII. INVESTMENTS AND TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Net Capital Code (A-E)	(2) Type (a-f, div., rent or int.)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (a-f, div., rent or int.)	If not exempt from disclosure			
						(2) Date: Month Day	(3) Value Code (J-P)	(4) Gain: Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
¹ U.S. Treasury Note 8-7/8 2/15/99	C	Int.	L	U					
² U.S. Treasury Note 8 1/15/97	B	Int.	K	U					
³ U.S. Treasury Note 7-1/8 10/15/98	B	Int.	K	U					
⁴ U.S. Treasury Note 7-1/2 11/15/01	A	Int.	J	U					
⁵ Maricopa County AZ Bond	A	Int.	J	U					
⁶ Phoenix AZ Bond	A	Int.	J	U					
⁷ AT&T 'Common'	A	Div.	J	U					
⁸ Ameritech 'Common'	A	Div.	J	U					
⁹ Bell Atlantic 'Common'	A	Div.	J	U					
¹⁰ Duke Power 'Common'	A	Div.	J	U					
¹¹ Royal Bk. of Scotland 9-1/2 PFR	A	Div.	J	U					
¹² Upjohn 'Common'	B	Div.	L	U					
¹³ Colonial Tax Exempt FD	A	Div.	K	U					
¹⁴ AIM Value FD	A	Div.	J	U					
¹⁵ Franklin AZ Tax Free FD	B	Div.	K	U					
¹⁶ Putnam AZ Tax Exempt FD	A	Div.	J	U					
¹⁷ ML Ready Asset Trust	A	Int.	J	U					
¹⁸									
¹⁹									
²⁰									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & D4)	B=\$1,001 to \$2,500 F=\$10,001 to \$100,000	C=\$2,501 to 5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=More than \$1,000,000
2 Value Codes:	J=\$15,000 or less (See Col. C1 & D3)	K=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000	L=\$50,001 to \$100,000 P=More than \$1,000,000	M=\$100,001 to \$250,000
3 Value Method Codes:	Q=Appraisal (See Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

Moore-Silver, Roslyn O.

Date of Report

9/14/94

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

9/14/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544