

AD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) ILLSTON, SUSAN Y.	2. Court or Organization U.S. DISTRICT COURT NORTHERN DISTRICT OF CALIF	3. Date of Report 1/24/95
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Nominee, active status	5. Report Type (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period 1/1/94-1/9/95
7. Chambers or Office Address 840 Malcolm Road, Suite 200 Burlingame, CA 94010	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions)

Partner Cortchett, Illston & Pirre (law firm)

Director Bay Meadows Foundation (charity - no fees)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐ NONE (No reportable non-investment income)

1	1992	Income, from law firm partnership	\$2,302,000
2	1993	Income, from law firm partnership	\$3,300,000
3	1/94-1/9/95	Income, from law firm partnership (est.)	\$2,425,000
4			\$
5			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ILLSTON, SUSAN Y.

Date of Report

1/12/95

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

EXEMPT

2

3

4

5

6

7

8

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

EXEMPT

2

3

4

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1

First Card/VISA (J)

Revolving credit

J

2

Confederation Life Ins. Co.

Mortgage on office building at

J

3

4

5

6

7

840 Malcolm, Burlingame (I have
now sold my interest in the
building)

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

ILLSTON, SUSAN Y.

Y12/95

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J) for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (DC) for ownership by dependent child. Place "(K)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period EXEMPT				
	(1) Int. Code (A-S)	(2) Type (see div., trust or int.)	(1) Value, Code (J-P)	(2) Value Method, Code (Q-W)	If not exempt from disclosure				
					(1) Type (see div., trust or int.)	(2) Date, Month, Day	(3) Value, Code (J-P)	(4) Gain, Code (A-S)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
Benham Cap. Preservation Fund (J)	F	Div	P	T					
U.S. Treasury Bills (J)	F	Int	P	T					
Peninsula Bank (J)	D	Int	J	T					
Home Savings (J)	A	Int	L	T					
Citibank FSB (J)	A	Int	K	T					
Schwab Govt Money Fund (J)	D	Div	L	T					
Alliance Money Mkt Fund (J)	A	Div	J	T					
Northwestern Mut. Life Ins. Co. (I, DC)	D	Div	N	T					
Northwestern Mut. Life Ins. Trusts (DC)	E	Div	O	T					(see attachment)
Bond Fund of America (J)	C	Div	K	T					
TIAA-CREF (J)	B	Div CapGain	K	T					
Note receivable (J)	B	Int	M	U					(see attachment)
Common-Bristol Myers (J)	C	Div	L	T					
Common-Maxicare Health (J)	A	Div	J	T					
Office building (J)	A	Rent	J	T					(see attachment)
Century Prop. Fund XII (J)	A	Rent	J	T					
Law firm capital account (J)			P	U					(see attachment)

Income/Gain Codes:
A=\$1,000 or less
B=\$1,001 to \$2,500
C=\$2,501 to \$5,000
D=\$5,001 to \$15,000
E=\$15,001 to \$50,000
F=\$50,001 to \$100,000
G=\$100,001 to \$250,000
H=\$250,001 to \$500,000
I=\$500,001 to \$1,000,000
J=\$1,000,001 or more

Value Codes:
(See col. C1 & D3)
A=\$1,000 or less
B=\$1,001 to \$2,500
C=\$2,501 to \$5,000
D=\$5,001 to \$15,000
E=\$15,001 to \$50,000
F=\$50,001 to \$100,000
G=\$100,001 to \$250,000
H=\$250,001 to \$500,000
I=\$500,001 to \$1,000,000
J=\$1,000,001 or more

Value Method Codes:
(See col. C2)
A=Appraisal
B=Book Value
C=Cost (real estate only)
D=Other

Transaction Codes:
(See col. C4 & D5)
A=Cash/Market
B=Gift
C=Sale
D=Exchange
E=Dividend
F=Interest
G=Capital Gain
H=Capital Loss
I=Other

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ILLSTON, SUSAN Y.

Date of Report

1/12/95

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

VII - #9: Insurance trusts for our children; the trustee is Douglas
McGlashan, Esq. of San Mateo, CA.

VII - #12: Note receivable, home mortgage; borrowers are Sela and Kesomi Maka

VII - #15: Office building at 840 Malcolm Road, Burlingame, CA, where my
former law firm is located.

VII - #17: This is the capital account in my former law firm. I have
arranged to sell my interest back to the firm as of my
appointment to the bench. The sale will be for cash and
I will thereafter have no financial relationship to the firm.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Susan Illston

Date

1/12/95

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

SUSAN Y. ILLSTON
As of 12/31/94

Provide a complete, current financial net worth statement which itemizes in detail all assets (including b. accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including det mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	183	500	Notes payable to banks—secured		
(1) U.S. Government securities—add schedule	4	314 600	Notes payable to banks—unsecured		
(2) Listed securities—add schedule		59 700	Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due		3 200
Due from relatives and friends			Unpaid income tax		160 000
Due from others	229	900	Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule		
(3) Real estate owned—add schedule	1	022 000	Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property	70	000			
Cash value—life insurance	775	000			
Other assets—itemize:					
Law firm	1	900 000			
Retirement accounts		225 000			
Total assets	8	779 700	Total liabilities		163 200
			Net worth	8	616 500
			Total liabilities and net worth	8	779 700
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	None		Are any assets pledged? (Add schedule.)	NO	
On leases or contracts			Are you defendant in any suits or legal actions?	Yes	
Legal Claims			Have you ever taken bankruptcy?	NO	
Provision for Federal Income Tax					
Other special debt					

(1) Treasury note \$ 490,782
Treasury bills \$1,774,946
T-bill Fund \$2,048,861

(2) Bristol Myers Squibb \$57,900
Maxicare Health Plans \$ 1,800

(3) Main residence \$700,000
Second home \$315,000
Recreation \$ 7,000