

AO-10
Rev. 1/96**FINANCIAL DISCLOSURE REPORT
INITIAL**Report Required by the Ethics
Pact Act of 1995, Pub. Law No.
104-186, November 10, 1996
(5 U.S.C. App. 6, 101-112)

1. Person Reporting (Last name, first, middle initial) Bataillon, Joseph F.		2. Court or Organization U.S.D.C. Nebraska		3. Date of Report 03/08/96	
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) District Court Judge (Nominee)		5. Report Type (check appropriate type) ____ Nomination, Date 03/06/96 ☒ Initial ____ Annual ____ Final		6. Reporting Period 01/01/95 - 12/31/96	
7. Chambers or Office Address 7000 Spring Street Omaha, NE 68106		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐**NONE** (No reportable positions)

Employee Sodoro, Daly, & Sodoro

Delegate, House of Delegates Nebraska State Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)**DATE****PARTIES AND TERMS**☐**NONE** (No reportable agreements)

01/02/96 Sodoro, Daly & Sodoro Bonus due 04/01/96

1995 401(k) Plan Sodoro, Daly & Sodoro

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**

(years, not spouse's)

☐**NONE** (No reportable non-investment income)

1	1995-96	<u>Sodoro, Daly & Sodoro, Wages</u>	<u>\$ 165333.00</u>
2	1994	<u>Sodoro, Daly & Sodoro, Wages</u>	<u>\$ 120000.00</u>
3	1995	<u>Omaha Visiting Nurse Association (S)</u>	<u>\$ 0.00</u>
4			\$
5			\$

FINANCIAL DISCLOSURE REPORTName of Person Reporting
Bataillon, Joseph F.Date of Report
03/08/96**IV. REIMBURSEMENTS and GIFTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals '(S)' and '(DC)' to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE**DESCRIPTION**☐**NONE** (No such reportable reimbursements or gifts)1 **EXEMPT****EXEMPT**

2

3

4

5

6

7

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals '(S)' and '(DC)' to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)**SOURCE****DESCRIPTION****VALUE**☒**NONE** (No such reportable gifts)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical '(S)' for separate liability of the spouse, '(J)' for joint liability of reporting individual and spouse, and '(DC)' for liability of a dependent child. See pp. 34-36 of Instructions.)**CREDITOR****DESCRIPTION****VALUE CODE***☒**NONE** (No reportable liabilities)

1

2

3

4

5

6

7

* VALUE CODES: A = \$15,000 or less, B = \$15,001 - \$50,000, C = \$50,001 - \$100,000, D = \$100,001 to \$250,000, E = \$250,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Battillon, Joseph F.	Date of Report 03/08/96
--	----------------------------

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical (S) for (joint ownership of reporting individual and spouse, (S) for separate ownership of spouse, (D) for co-ownership by dependent child. Place "(S)" after each asset exempt from prior disclosure.	B.	C. Income during reporting period		D. Gross value at end of reporting period		Transactions during reporting period				
		(1) Amt. 1 Code (A-E)	(2) Type of income or loss	(1) Value 2 Code (J-P)	(2) Value Method 3 Code (G-H)	(1) Type of buy/sell/transfer/redemption	If not exempt from disclosure			
							(2) Date: Month/Day	(3) Value 2 Code (J-P)	(4) Gain/ Loss Code (A-W)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)										
1 Firstbank Omaha NE Checking/Savings Accts.	A	Interest	J	T						
2 Battillon Equity Trust Inc. common	B	Dividend	J	T						
3 Battillon Global Multimedia Trust	A	Dividend	J	T						
4 Kemper Mutual Funds: Total Return Fund	A	Dividend	J	T						
5 S.T. Global Health Fund	A	Dividend	J	T						
6 Eastman Kodak Co. common	A	Dividend	J	T						
7 Guinness PLC	A	Dividend	J	T						
8 Kulicke & Soffa common	A	Dividend	J	T						
9 Ohio Edison common	A	Dividend	J	T						
10 Smith Barney Appreciation Fund	A	Dividend	J	T						
11 UTS Equity Income Fund #50	A	Dividend	J	T						
12 Boeing Co. common	A	Dividend	J	T						
13 Genuine Parts Co. common	A	Dividend	J	T						
14 Johnson & Johnson common	A	Dividend	J	T						
15 Utilicorp Unifund Inc common	A	Dividend	J	T						
16 Aetna Variable Fund	A	Dividend	J	T						
17 Aetna Income Shares	A	Dividend	J	T						
18 Aetna Fixed Account	A	Dividend	J	T						
1 Income/Gain Code: (See Col. B1 & B4)										
2 Value Codes: (See Col. C1 & C3)										
3 Value Method Codes: (See Col. C2)										

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Bataillon, Joseph F.

Date of Report

03/08/96

VII. Page 2 INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B.	C. Income during reporting period		D. Gross value at end of reporting period		Transactions during reporting period				
		(1) Amt. 1 Code (A-H)	(2) Type of income (rent or Int.)	(1) Value1 Code (J-P)	(2) Value Method Code (G-W)	(1) Type (e.g., buy, sell, transfer, redemption)	If not exempt from disclosure			
							(2) Date: Month/Day	(3) Value2 Code (J-P)	(4) Gain/Loss Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)										
19 Alger American Small Cap Portfolio		A	Dividend	J	T					
20										
21										
22										
23										
24										
25										
26										
27										
28										
29										
30										
31										
32										
33										
34										
35										
36										
1 Income/Gain Code(s) (See Col. 01 & 04)		A=\$1,000 or less B=\$1,001 to \$50,000		F=\$1,001 to \$2,500 G=\$25,001 to \$100,000		C=\$2,501 to \$5,000 D=\$5,001 to \$15,000 E=\$15,001 to \$100,000 F=\$100,001 to \$1,000,000				
2 Value Codes: (See Col. 01 & 03)		J=\$15,000 or less K=\$250,001 to \$500,000		L=\$15,001 to \$50,000 M=\$50,001 to \$1,000,000		N=\$50,001 to \$100,000 O=more than \$1,000,000				P=\$100,001 to \$250,000
3 Value Method Codes: (See Col. 02)		Q=Appraisal U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated				T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Bataillon, Joseph F.

Date of Report

03/08/96

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Bataillon, Joseph F.

Date of Report

03/08/96

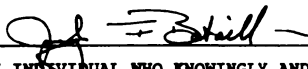
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 7, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

8 March 96

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. APP. 6, SECTION 104).

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544