

AO-10 (w)
Rev. 8/96**FINANCIAL DISCLOSURE REPORT**
Nomination ReportReport Required by the Ethics
Reform Act of 1989, Pub L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Gilman, Ronald L.	2. Court or Organization U. S. Court of Appeals, 6 Cir.	3. Date of Report 07/17/1997
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge Nominee	5. Report Type (check type) ☒ Nomination, Date 07/16/1997 ____ Initial ____ Annual ____ Final	6. Reporting Period 01/01/1996 to 06/30/1997
7. Chambers or Office Address One Commerce Square #2000 Memphis, TN. 38103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions)

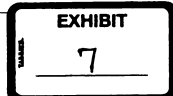
POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
¹ Member	Farris, Mathews, Gilman, Branan, & Hellen, P.L.C
² Vice President	Association of Attorney-Mediators, West Tn. Chap
³ Board Member	Boy Scouts of America, Chickasaw Council

II. AGREEMENTS (Reporting individual only; see pp. 14-17 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
¹ 1997	Farris, Mathews, et al - buy out of partnership interest within
²	90 days of withdrawal; 401 (k) plan interest will be transferred
³	to an IRA

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE	PARTIES AND TERMS	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
¹ 1995	Farris, Mathews, et al - member	\$ 251,597.00
² 1996	Farris, Mathews, et al - member	\$ 231,635.00
³ 1996	University of Memphis School of Law - adjunct prof	\$ 1,950.00
⁴ June 97	Farris, Mathews, et al - member	\$ 86,640.00
⁵ June 97	University of Memphis School of Law - adjunct prof	\$ 1,950.00



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IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements or gifts)	
1	Exempt	
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts)		
1	Exempt		
2			
3			
4			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			
6			
7			

* VAL CODES: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001 to \$100,000 M = \$100,001-\$250,000 N = \$250,001-\$500,000
 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000 P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS

-- Income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "00" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Ass. Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (no reportable income, assets, or transactions)									
1					Exempt				
2 Am. Cent. Int'l Disc. (J)	A	Dividend	K	T					
3 Am. Cent. Int'l Disc. 401(k)	C	Dividend	M	T					
4 Am. Cent. Pr. Capital Res. (J)	C	Dividend							
5 Evergreen Tax Ex (J)	B	Dividend	K	T					
6 Fidelity Divsfd. Int'l (J)	A	Dividend	M	T					
7 Fidelity Divsfd. Int'l IRA	A	Dividend	L	T					
8 Fidelity Divsfd. Int'l IRA (S)	A	Dividend	K	T					
9 Fidelity Real Estate (J)	D	Dividend	M	T					
10 Fidelity Sel. Am. Gold (J)	A	Dividend							
11 Fidelity Sel. Natural Gas IRA	B	Dividend							
12 Fidelity Sel. Natural Gas IRA (S)	B	Dividend							
13 Fidelity Sel. Prec. Metals (J)	A	Dividend							
14 Fidelity Sel. Prec. Metals IRA	A	Dividend							
15 Fidelity Sel. Prec. Metals IRA (S)	A	Dividend							
16 Fidelity SE Asia (J)	A	Dividend							
17 Fidelity Spar. Muni M. (J)	A	Dividend							
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 I=\$15,001-\$50,000 E=\$15,001-\$50,000 2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more 3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated									

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VII. Page 2 INVESTMENTS and TRUSTS

— income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "X" after each asset exempt from prior disclosure.</i>	B. Income during reporting period (1) Amt. Code (A-H) (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P) (2) Value Method Code (Q-W)	D. Transactions during reporting period	If not exempt from disclosure (2) Date: Month-Day (3) Value Code (J-P) (4) Gain Code (A-H) (5) Identity of buyer/seller (if private transaction)
NONE (no reportable income, assets, or transactions)				
18 MFS Cash Res. 401(k)	A Dividend			
19 MFS Gold & Nat. Res. 401(k)	A Dividend			
20 Janus Overseas (J)	A Dividend	L T		
21 Lindner Bulwark (J)	C Dividend	M T		
22 R-S Contrarian (J)	D Dividend	W T		
23 T. Rowe Price Muni. M. (J)	A Dividend			
24 Vanguard Energy (J)	B Dividend			
25 Vanguard Gold & P. M. (J)	B Dividend			
26 Vanguard Int'l Gro. (J)	A Dividend	M T		
27 Farris, Mathews, et al	B Interest	K U		
28 Gulf Housing L.P.	A Dividend	L W		
29 Locke Rd. Farms in Sh. Co.	A Interest			
30 Pasture land in Sh. Co.	A Rent	M W		
31 NBC (J acct.)	A Interest	J T		
32 Mort. note; C. Mednikow	D Interest	L T		
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3) Q=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)☐

NONE (No additional information or explanations.)

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SECTION HEADING. (Indicate part of report.)**SECTION 1. POSITIONS (cont'd.)**

Li. Position	Name of Organization/Entity
=====	
4 Board Member	Capital Case Resource Center of Tennessee (1995)

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IX. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Ronald Lee GilmanDate 7/17/97

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL STATEMENT

Ronald Lee Gilman
Betsy D. Gilman

June 30, 1997

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks		18,000	Notes payable to banks—secured		—
U.S. Government securities—add schedule		—	Notes payable to banks—unsecured		—
Listed securities—add schedule		1,788,000	Notes payable to relatives		—
Unlisted securities—add schedule		62,000	Notes payable to others		—
Accounts and notes receivable:		—	Accounts and bills due		—
Due from relatives and friends		—	Unpaid income tax		—
Due from others		—	Other unpaid tax and interest		—
Doubtful		—	Real estate mortgages payable—add schedule		—
Real estate owned—add schedule		387,000	Chattel mortgages and other liens payable		—
Real estate mortgages receivable		61,000	Other debts—itemize:		—
Autos and other personal property		75,000			
Cash value—life insurance		39,000			
Other assets—itemize:					
Law firm buy-out value		42,000			
			Total Liabilities		0
			Net Worth		2,472,000
Total Assets		2,472,000	Total liabilities and net worth		2,472,000
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosmaker or guarantor		25,000	Are any assets pledged? (Add schedule.)		no
On leases or contracts		—	Are you defendant in any suits or legal actions?		no
Legal Claims		—	Have you ever taken bankruptcy?		no
Provision for Federal Income Tax		—			
Other special debt		—			

EXHIBIT

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