

3. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

No

4. List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, patents, honoraria, and other items exceeding \$500 or more (If you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here.)

See attached Financial Disclosure Report

5. Please complete the attached financial net worth statement in detail (Add schedules as called for).

See attached Net Worth Statement

6. Have you ever held a position or played a role in a political campaign? If so, please identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

In 1980, I was a Field Director in Thomas F. Eagleton's re-election campaign to the United States Senate. I was responsible for general campaign activity in portions of the St. Louis area and Southeast Missouri.

In 1992, I was involved in Robert L. Holden's campaign for Missouri State Treasurer as a general unpaid advisor.

I have not otherwise been personally involved or employed by or served in an official capacity in any other political campaigns. I have served as paid legal counsel for several campaign committees, primarily related to ethics issues.

I have served as paid legal counsel for the following campaign committees relating to ballot propositions:

1. Proposition O (ballot proposition for the construction of facilities for the Olympic Festival in St. Louis) in 1992

2. **Proposition P (ballot proposition to fund
support for Forest Park) in 1992**
3. **Proposition L (ballot proposition for a bond
issue for expansion of Lambert Airport)
in 1991**
4. **Proposition A (referendum relating to the
expansion of St. Louis Art Museum) in 1992**

AO-10
Rev. 1/96**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1996**Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) Sippal, Rodney W.	2. Court or Organization United States District Court for the Eastern District of Missouri	3. Date of Report 5 / 16 / 97
4. Title (Article III judges indicate active or senior status; Associate judges indicate full- or part-time) United States District Judge - Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date 5 / 15 / 97 ___ Initial ___ Annual ___ Final	6. Reporting Period 1 / 1 / 96 - 12 / 31 / 96
7. Chambers or Office Address 100 N. Broadway, Suite 1300 St. Louis MO 63102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)POSITIONNAME OF ORGANIZATION/ENTITY☐**NONE** (No reportable positions)

Partner Husch & Eppenberger

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)DATEPARTIES AND TERMS☐**NONE** (No reportable agreements)

1996 Husch & Eppenberger Employee Profit Sharing Plan & Trust (currently invested in the ABA Retirement Program)

1996 Husch & Eppenberger partnership income and redemption of partnership interest as determined by partnership agreement upon termination

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)DATESOURCE AND TYPEGROSS INCOME
(Spouse, not spouse)☐**NONE** (No reportable non-investment income)

1	<u>1996 & 1997</u>	<u>Cardinal Glennon Children's Hospital</u>	<u>\$ (0)</u>
2	<u>1996 & 1997</u>	<u>Husch & Eppenberger (Income)</u>	<u>\$157,000 (annu)</u>
3	_____	_____	<u>\$ _____</u>
4	_____	_____	<u>\$ _____</u>
5	_____	_____	<u>\$ _____</u>

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Sippel, Rodney W.

Date of Report

5 /16 /97

VII. Page 1 INVESTMENTS AND TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

(Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical (J) for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (D) for ownership by dependent child. Place (X) after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. 1 Code (A-H)	(2) Type div. (INT)	(1) Value Code (J-P)	(2) Method Code (Q-W)	(1) Type buy, sell, merger, redemption	(2) Date Month/Day	(3) Value2 Code (J-P)	(4) Gain/ Loss Code (A-W)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)					(E X E M P T)				
1 ABA 401(k)			H	T					
2 Manning Services Stock (S)	D	Div.	K	U					
3 Exxon Common Stock (J)	A	Div.	J	T					
4 Zoom Telephonics Common Stock (J)	A	Div.	J	T					
5 AT&T Common Stock (J)	A	Div.	J	T					
6 St. Louis Teachers Credit Union (S)	A	Int.	J	T					
7 Mark Twain Bank St. Louis, MO (J)	A	Int.	J	T					
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
1. Income/Gain Codes (See Col. B1 & B4)	A-\$1,000 or less P-\$15,001 to \$50,000		B-\$1,001 to \$2,500 P-\$50,001 to \$100,000		C-\$2,501 to \$5,000 G-\$100,001 to \$250,000		D-\$5,001 to \$15,000 H-more than \$250,000		
2. Value Codes (See Col. C1 & C3)	J-\$15,000 or less M-\$250,001 to \$500,000		K-\$15,001 to \$50,000 O-\$500,001 to \$1,000,000		L-\$50,001 to \$100,000 P-more than \$1,000,000		N-\$100,001 to \$250,000 W-more than \$250,000		
3. Value Method Codes (See Col. C2)	Q-Appraisal U-Book Value		R-Cost (real estate only) V-Other		S-Assessment W-Estimated		T-Cash/Market		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Sippel, Rodney W.Date of Report
5 / 16 / 97

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1	EXEMPT		\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒

NONE (No reportable liabilities)

1			
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = More than \$1,000,000