

AO-10 (w) Rev. 1/98		FINANCIAL DISCLOSURE REPORT Nomination Report		Report Required by the Ethics Reform Act of 1989, Pub L No. 101-194, November 30, 1989 (5 U.S.C. App. 4, Sec. 101-112)	
1. Person Reporting (Last name, first, middle initial) Brown, Anna J.		2. Court or Organization U.S. District Court, Oregon		3. Date of Report / /	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Nominee, U.S. Dist.Court Judge		5. Report Type (check type) ☒ Nomination, Date / / Initial Annual Final		6. Reporting Period 01/01/1997 to / /	
7. Chambers or Office Address Multnomah County Courthouse 1021 SW 4th Avenue, Room 612 Portland, OR 97204		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.					

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)		
POSITION	NAME OF ORGANIZATION / ENTITY	
☐ NONE (No reportable positions.)		
1 Director	United States District Court, District of Oregon, Historical Society	
2		
3		

II. AGREEMENTS (Reporting individual only; see pp.14-16 of Instructions.)		
DATE	PARTIES AND TERMS	
☐ NONE (No reportable agreements.)		
1 1999	Retirement Plan, Judges in PERS, monthly benefits payable at age 65 on statutory formula (no control)	
2		
3		

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)		
DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income;)		
1 1999	Oregon Judicial Department (employment as state court judge-monthly)	\$ 7,108.00
2 1998	Oregon Judicial Department (employment as state court judge)	\$ 85,525.00
3 1997	Oregon Judicial Department (employment as state court judge)	\$ 78,916.00
4 1997	Northwestern School of Law at Lewis & Clark College (honoraria for teaching Trial Advocacy)	\$ 600.00

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	Exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	Exempt		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	Mileage Plus First Card	Credit Card	J
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Oppenheimer Strategic Income Fund Class A	A	None	K	T					
2 Oppenheimer Equity Income Fund Class A	C	None	K	T					
3 Oppenheimer Main Street Income & Growth Fund Class A	D	Interest	K	T					
4 Oppenheimer Growth Fund Class A	D	Interest	L	T					
5 Oppenheimer Quest Capital Value Fund Class A	D	Interest	K	T					
6 Oregon Savings Growth Plan (Deferred Compensation)	D	Interest	K	T					
7 Massachusetts Mutual Life Insurance Co. (variable life)	A	Interest	K	T					
8 Bank of American Checking (J) Lake Oswego, OR	A	None		T					
9 Bank of America Savings (J) Lake Oswego, OR	A	Interest	J	T					
10 Bank of American Time Deposit (S) Rancho Cordova, CA	B	Interest	K	T					
11 Oppenheimer Money Market Fund (S) Denver, CO	A	Interest	K	T					
12 Clackamas Federal Credit Union Savings (J) Oregon City, OR	A	Interest	J	T					
13 Aetna Life Insurance Deferred Compensation (S)	D	Deferred Comp.	K	T					
14 Metropolitan Life Ins. Co (univ. life policy-sold 1998)	D	Distribution		T					
15 Farmers New World Life Ins. Co (univ. life policy-sold 1998)	D	Distribution		T					
16									
17									

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000

B=\$1,001-\$2,500 G=\$100,001-\$1,000,000

C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000 H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000

K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000

N=\$250,001-\$500,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value

R=Cost (real estate only) V=Other

S=Assessment W=Estimated

T=Cash/Market

FINANCIAL STATEMENT NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		33	000	Notes payable to banks—secured			0
U.S. Government securities—add schedule			0	Notes payable to banks—unsecured			0
Listed securities—add schedule		244	542	Notes payable to relatives			0
Unlisted securities—add schedule			0	Notes payable to others			0
Accounts and notes receivable:			0	Accounts and bills due			0
Due from relatives and friends		15	000	Unpaid income tax (Still to be determined for 1998)			0
Due from others			0	Other unpaid tax and interest			0
Doubtful			0	Real estate mortgages payable—add schedule		188	000
Real estate owned—add schedule		326	400	Chattel mortgages and other liens payable			0
Real estate mortgages receivable			0	Other debts—itemize			
Autos and other personal property		75	000	VISA		7	000
Cash value—life insurance		28	500				
Other assets—itemize			0				
Oregon Savings Growth Plan Deferred Compensation Account		42	898				
				Total Liabilities		195	000
				Net Worth		570	340
Total Assets		765	340	Total Liabilities and net worth		765	340
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor			0	Are any assets pledged? (Add schedule.) No.			0
On leases or contracts			0	Are you defendant in any suits or legal actions? NO.			0
Legal Claims - NONE.			0	Have you ever taken bankruptcy? NO			0
Provision for Federal Income Tax (PAID FOR 1998)							
Other special debt			0				