

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Gajarsa, Arthur J.

Date of Report

1/7/97

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "S" and "DC" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE

DESCRIPTION

☒ NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "S" and "DC" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒ NONE (No such reportable gifts)

1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "S" for separate liability of the spouse, "J" for joint liability of reporting individual and spouse, and "DC" for liability of a dependent child. See pp. 34-36 of Instructions.)

CREDITOR

DESCRIPTION

VALUE COOP

☐ NONE (No reportable liabilities)

1	Janney Montgomery Scott	Margin interest	J
2	Leys Mason Wood Walker, Inc.	Margin interest	PI
3			
4			
5			
6			
7			

Value Added: a = \$13,700 to \$58,000 b = \$13,001 to \$1,000,000 c = \$10,001 to \$100,000 d = \$100,001 to \$250,000

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (Including trust assets) Indicate where applicable, owner of the asset by using the parenthetical (J) for joint ownership of reporting individual and spouse, (JS) for separate ownership by spouse, and (DC) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B.	C. Income during reporting period		D. Gross value at end of reporting period		Transactions during reporting period					
		(1)	(2)	(1)	(2)	If not exempt from disclosure					
		Net. 1 Code (A-B)	Type of Income (C-E) Div. or Int.	Value Code (J-P)	Value Method Code (G-H)	(1) Type of Transaction	(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain/Loss Code (A-H)	(5) Ident. of Buyer/Seller (I) or Private Transaction	
☐ NONE (No reportable income, assets, or transactions)											
1 AT&T Corp - Common (J)	A	Dividend	J	T	Exempt						
2 Amoco Corp - Common (J)	B	Dividend	K	T	Exempt						
3 BankAmerica Corp - Common (J)	A	Dividend	K	T	Exempt						
4 Central Maine Power Co - Common (J)	A	Dividend	J	T	Exempt						
5 Computer Horizons Corp - Common (J)		None	PI	T	Exempt						
6 GTE Corp - Common (J)	D	Dividend	H	T	Exempt						
7 Hirsch International Corp - Common (J)		None	K	T	Exempt						
8 National Media Corp - Common (J)		None	K	T	Exempt						
9 TFC Industries, Inc. - Restricted (J)		None	K	T	Exempt						
10 Refac Tech Dev Corp (J)		None	K	T	Exempt						
11 Universal Health Realty Income Trust (J)	C	Dividend	K	T	Exempt						
12 Western Tyngsboro, Inc. - Common (J)		None	K	T	Exempt						
13 Aura Systems, Inc. - Common		None	J	T	Exempt						
14 Bell & Howell Holdings Corp - Common		None	K	T	Exempt						
15 Microsoft Corp - Common		None	K	T	Exempt						
16 National Media Corp - Common		None	K	T	Exempt						
17 Telekomunikasi Indonesia Sakti, Inc. - Common		None	K	T	Exempt						
18 United Technologies Corp - Common	A	Dividend	K	T	Exempt						
1 [See Col. 1 & 2] $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$											
2 Value Codes: [See Col. 1 & 2] $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$											
3 Value Method Codes: [See Col. 2] $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$ $\leq \$15,000$ or $\leq \$50,000$											

VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets)	B.	C. Income during reporting period	D. Gross value at end of reporting period	E.	F.	G.	H.
(Indicate where applicable, owner of 20% or more direct ownership of trust; the individual and spouse, if separate ownership by spouse, (PLC) for ownership by dependent child.) Place "(X)" after each asset exempt from prior disclosure.		(1) Type of income	(2) Value	(3) Value	(4) Date	(5) If not exempt from disclosure	(6) Identify of buyer/seller (if primary transaction)
		(1) Type of income	(2) Value	(3) Value	(4) Date	(5) If not exempt from disclosure	(6) Identify of buyer/seller (if primary transaction)
37 Montgomery Co MD ROC HF Rev 9.8K (J)	D	Interest	N	T	Exempt		
38 Prince William Co VA IDA Res Care 8.8K (J)	D	Interest	N	T	Exempt		
39 Maryland St CDA HF HSG REV 5.6K (J)	D	Interest	N	T	Exempt		
40 Prince William CO VA IDA Res Care 8.9K (J)	D	Interest	N	T	Exempt		
41 Maryland CBA SF Prog BDS 7.6K (J)	E	Interest	N	T	Exempt		
42 Corning Delware LP - Preferred (J)	C	Distribu	L	T	Exempt		
43 Fenix Corp - Common (J)		None	L	T	Exempt		
44 Perupashab Porperpen PT Telecomandis (J)	A	Dividend	N	T	Exempt		
45 Energy Conversion Dev Inc		None	J	T	Exempt		
46 Summit Technology, Inc. - Common (J)		None	B	T	Exempt		
47 Maryland Water Quality Fin Admin LLC (J)		None	N	T	Exempt		
48 Baltimore County Rev Auth RD 7K (J)	D	Interest	N	T	Exempt		
49 UTS Barclay Bank PLC American (J)	D	Dividend	N	T	Exempt		
50 U.S. Government Money Market (DC)	A	Dividend	L	T	Exempt		
51 Rental Communications		None	J	T	Exempt		
52 Corning Delware LP - Preferred (DC)	A	Distribu	J	T	Exempt		
53 The Walt Disney Co - Common (DC)	A	Dividend	J	T	Exempt		
54 STE Corporation - Common (DC)	A	Dividend	J	T	Exempt		
1 Income Exp Codes: (See Col. B) \$21,000 to \$25,000 \$21,000 to \$25,000			\$21,000 to \$25,000	\$21,000 to \$25,000			
2 Value Method Codes: (See Col. E) \$21,000 to \$25,000 \$21,000 to \$25,000			\$21,000 to \$25,000	\$21,000 to \$25,000			
3 Value Method Codes: (See Col. F) \$21,000 to \$25,000 \$21,000 to \$25,000			\$21,000 to \$25,000	\$21,000 to \$25,000			

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11/7/97

VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (Including trust assets) Indicate where applicable, owner of asset by using the parenthetical: (J) for (joint) ownership of (reporting individual and spouse), (S) for separate ownership of spouse, (DC) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B.	C. Income during reporting period		D. Gross value at end of reporting period		Transactions during reporting period				
		(1) Int. (A-B)	(2) Type of div. (G-U) Net (F-Int.)	(1) Value Code (J-P)	(2) Value Method Code (G-U)	(3) Type of sale, div., transfer, etc. (A-F)	If not exempt from disclosure			
							(2) Date Month-Day	(3) Value Code (J-P)	(4) Sale/Int. Code (A-U)	(5) Ident. (S) of transfer (S) for (if private transaction)
☐ NONE (No reportable income, sale(s), or transactions)										
55 Glaxo Wellcome PLC ADR - Common (DC)	A	Dividend	J	T		Exempt				
56 Perusahaan Perseroan PT Telekomunikasi (DC)	A	Dividend	K	T		Exempt				
57 Summit Technology, Inc. - Common (DC)		None	J	T		Exempt				
58 IBM Technologies, Inc. - Common (DC)	A	Dividend	J	T		Exempt				
59 Wal Mart Stores, Inc. - Common (DC)	A	Dividend	K	T		Exempt				
60 Washington Real Estate Investment Trust (DC)	A	Dividend	J	T		Exempt				
61 Waste Management International - Common (DC)		None	J	T		Exempt				
62 UTS Barclays Bank PLC American - JTY (DC)	A	Dividend	J	T		Exempt				
63 UTS Long River Unit Invt Trust - JTY (DC)		None	K	T		Exempt				
64 Isomga Corp - Common (DC)		None	K	T		Exempt				
65 Summit Bancorp (S)	A	Dividend	J	T		Exempt				
66 JMS Money Market Fund (DC)	A	Dividend	J	T		Exempt				
67 Summit Bancorp (DC)		None	J	T		Exempt				
68 IBM Corp - Common (DC)	A	Dividend	J	T		Exempt				
69 Italian Beach L.P. (Restaurant)		None	L	U		Exempt				
70 Gold coin collection		None	L	U		Exempt				
71 LCS Industries - Common (J)	B	Dividend	H	T		Exempt				
72 LCS Industries - Common		None	J	T		Exempt				
1 Income/Exp. Codes: (See Col. B1 & B4)										
2 Value Codes: (See Col. C1 & C3)										
3 Value Method Codes: (See Col. C2)										

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VII. Page 5 INVESTMENTS AND TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical (J) for joint ownership or (S) for sole ownership by dependent child. Place "X" after each asset exempt from prior disclosure.	B.	C. Income during reporting period		D. Gross value at end of reporting period		Transactions during reporting period					
		(1) Div. 1 Code (A-H)	(2) Type of income (J-S)	(1) Value (J-S)	(2) Value (J-S)	(1) Div. 1 Code (A-H)	If not exempt from disclosure				
							(2) Div. 1 Code (A-H)	(3) Value (J-S)	(4) Gain/Loss Code (A-H)	(5) Date of transaction	(6) Description of transaction
☐ NONE (No reportable income, assets, or transactions)											
73 Summit Bancorp (J)	D	Dividend	H	T	Exempt						
74 Energy Conversion Services Inc (J)		None	J	T	Exempt						
75 LCS Industries - Common (DC)		None	K	T	Exempt						
76 Teradyne Inc		None	K	T	Exempt						
77 Nationsbank (J)		None	K	T	Exempt						
78 Nationsbank		None	J	T	Exempt						
79 Nationsbank (S)		None	J	T	Exempt						
80 PV Emerging Equity Fund C	A	Dividend	J	T	Exempt						
81 Barringer Tech (J)		None	K	T	Exempt						
82 Barringer Tech - Warrants (J)		None	J	T	Exempt						
83 EMC Corp (J)		None	L	T	Exempt						
84 Verizon Group, Inc. (J)	B	Dividend	L	T	Exempt						
85 Petroleum Geo (J)		None	K	T	Exempt						
86 Amdehl Corp (J)		None	H	T	Exempt						
87 General Surgical Innovations (J)		None	L	T	Exempt						
88 Nabors Resources LTD (J)		None	J	T	Exempt						
89 General Surgical Innovations		None	K	T	Exempt						
90 Sanatogen Inc.		None	J	T	Exempt						
1 [Income/Div. Code] (See Col. 81 & 84)											
2 [Value Code] (See Col. 81 & 83)											
3 [Value Method Code] (See Col. 82)											

Part 6 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

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Date of Report

1/7/97

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 7, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Date 1-7-97

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. APP. 6, SECTION 104).

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

SCHEDULE

Non-Profit Organizations - Officer and Trustee

Rensselaer Neuman Foundation,
 Board of Trustees, 1973-Present
 The Institute for Contemporary Culture,
 Board of Trustees, 1986-1995
 Outward Bound, U.S.A.,
 Board of Trustees, 1987-Present
 Foundation for Improving Understanding of the Arts,
 Board of Trustees, 1982-Present
 The National Italian American Foundation,
 Board of Directors, 1976-Present
 General Counsel, 1976-1989
 President, 1989-1992
 Vice Chairman, 1993-1996
 The Institute for Mediterranean Democratic Strategies,
 Board of Trustees, 1993-1995
 John Carroll Society,
 Board of Governors, 1992-Present
 Rensselaer Polytechnic Institute,
 Board of Trustees, 1994-Present
 Georgetown University,
 Board of Regents, 1995-Present

For Profit Organizations - Officer or Director

Eyring Corporation	1992-1996
International Strategies, Limited	1993-1995
Phidia U.S.A., Limited	1992-1994
Abbazia, U.S.A., Limited	1994-1995
Vendramin Limited Inc.	1992-1995
GASPA Management Limited (partner in investment partnership originally established to purchase a hotel, no longer in existence)	1995-1996

FINANCIAL STATEMENT

NET WORTH - 12/31/06

Provide a complete, current financial net worth statement which identifies in detail all assets (including bank accounts, real estate, securities, trusts, investments and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations, of yourself, your spouse, and other insured members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	17,000	Notes payable to banks - secured	
U.S. Government securities - add schedule		Notes payable to banks - unsecured	
Fixed accounts - add schedule	72,000	Notes payable to relatives	
Unfixed securities - add schedule		Notes payable to others	
Accounts and notes receivable		Accounts and bills due	9,000
Due from relatives and friends	5,000	Unpaid income tax	
Due from others		Other unpaid tax and interest	
Dividends		Real estate mortgage payable - add schedule	440,000
Real estate owned - add schedule	5,000	Other mortgages and other loans payable	
Real estate mortgage receivable		Notes due - secured	
Lease and other personal property	20,000	Notes due - unsecured	5,600
Cash value life insurance			
Other assets - describe			
TOTAL ASSETS	100,000		
		Notes payable	2,270.00
		Net Worth	97,730.00
		Total liabilities and net worth	100,000.00
CONTINGENT LIABILITIES		GENERAL INFORMATION	
Are you or anyone in your family		Are any assets pledged? (state assets)	
Are there any judgments		Are you defendant in any suits or legal actions?	
Any other claims		Have you ever taken bankruptcy?	
Are you the beneficiary of any insurance?			
Are you a co-signer?			