

AO-10
Rev. 1/97**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1996**Report Required by the Ethics
Reform Act of 1995, 2 U.S.C. § 202
Section 101, Government
Ethics Act of 1976

1. Person Reporting (Last name, first, middle initial) Lindsay, Sam A.	2. Court or Organization United States District Court Northern District of Texas	3. Date of Report 12 /10 / 97
4. Title (Article III judges indicate active or senior status; Appellate judges indicate full- or part-time) United States District Judge - Retiree	5. Report Type (check appropriate type) Nomination Date 11/01/97 ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/96 11/10/97
7. Chambers or Office Address Dallas City Attorney's Office 1500 Marilla, 7C North Dallas, Texas 75201	8. On the basis of the information contained in this report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations Reporting Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐	POSITION	NAME OF ORGANIZATION/ENTITY
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☐ **NONE** (No reportable positions)

Trustee

Southwestern Legal Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of instructions.)

DATE	PARTIES AND TERMS
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☐ **NONE** (No reportable agreements)

1979

**Upon leaving the City of Dallas, I may withdraw my pension contributions from
the Employee Retirement Fund or wait until age 60 to receive my retirement
pay.**

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
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☐ **NONE** (No reportable non-investment income)

1	1996	Salary as City Attorney for the City of Dallas	\$160,552
2	1997	Salary as City Attorney for the City of Dallas	\$169,390
3	1996	First Baptist Academy, Dallas (S)	\$
4	1997	First Baptist Academy, Dallas (S)	\$
5			\$

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IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of instructions.)

SOURCE

DESCRIPTION

W001E (No such reportable reimbursements or gifts)

1

EDDPT

3

•

4

S

6

7

V. OTHER GIFTS.

OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of instructions.)

SOURCE

DESCRIPTION**VALUE**

WORTH (No such reportable gifts)

1

INDEX

2

3

4

2

§ _____

\$ _____

\$ _____

\$ _____

VI. LIABILITIES.

LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE:

**NOTE** (No reportable liabilities)

1

2

3

4

3

4

Value Codes:		Value Codes:		Value Codes:		Value Codes:	
1-215,000 or less	2-215,001-250,000	3-250,001-300,000	4-300,001-350,000	5-350,001-400,000	6-400,001-450,000	7-450,001-500,000	8-500,001-550,000
9-550,001-600,000	10-600,001-650,000	11-650,001-700,000	12-700,001-750,000	13-750,001-800,000	14-800,001-850,000	15-850,001-900,000	16-900,001-950,000
17-950,001-1,000,000	18-1,000,001-1,050,000	19-1,050,001-1,100,000	20-1,100,001-1,150,000	21-1,150,001-1,200,000	22-1,200,001-1,250,000	23-1,250,001-1,300,000	24-1,300,001-1,350,000
25-1,350,001-1,400,000	26-1,400,001-1,450,000	27-1,450,001-1,500,000	28-1,500,001-1,550,000	29-1,550,001-1,600,000	30-1,600,001-1,650,000	31-1,650,001-1,700,000	32-1,700,001-1,750,000
33-1,750,001-1,800,000	34-1,800,001-1,850,000	35-1,850,001-1,900,000	36-1,900,001-1,950,000	37-1,950,001-2,000,000	38-2,000,001-2,050,000	39-2,050,001-2,100,000	40-2,100,001-2,150,000
41-2,150,001-2,200,000	42-2,200,001-2,250,000	43-2,250,001-2,300,000	44-2,300,001-2,350,000	45-2,350,001-2,400,000	46-2,400,001-2,450,000	47-2,450,001-2,500,000	48-2,500,001-2,550,000
49-2,550,001-2,600,000	50-2,600,001-2,650,000	51-2,650,001-2,700,000	52-2,700,001-2,750,000	53-2,750,001-2,800,000	54-2,800,001-2,850,000	55-2,850,001-2,900,000	56-2,900,001-2,950,000
57-2,950,001-3,000,000	58-3,000,001-3,050,000	59-3,050,001-3,100,000	60-3,100,001-3,150,000	61-3,150,001-3,200,000	62-3,200,001-3,250,000	63-3,250,001-3,300,000	64-3,300,001-3,350,000
65-3,350,001-3,400,000	66-3,400,001-3,450,000	67-3,450,001-3,500,000	68-3,500,001-3,550,000	69-3,550,001-3,600,000	70-3,600,001-3,650,000	71-3,650,001-3,700,000	72-3,700,001-3,750,000
73-3,750,001-3,800,000	74-3,800,001-3,850,000	75-3,850,001-3,900,000	76-3,900,001-3,950,000	77-3,950,001-4,000,000	78-4,000,001-4,050,000	79-4,050,001-4,100,000	80-4,100,001-4,150,000
81-4,150,001-4,200,000	82-4,200,001-4,250,000	83-4,250,001-4,300,000	84-4,300,001-4,350,000	85-4,350,001-4,400,000	86-4,400,001-4,450,000	87-4,450,001-4,500,000	88-4,500,001-4,550,000
89-4,550,001-4,600,000	90-4,600,001-4,650,000	91-4,650,001-4,700,000	92-4,700,001-4,750,000	93-4,750,001-4,800,000	94-4,800,001-4,850,000	95-4,850,001-4,900,000	96-4,900,001-4,950,000
97-4,950,001-5,000,000	98-5,000,001-5,050,000	99-5,050,001-5,100,000	100-5,100,001-5,150,000	101-5,150,001-5,200,000	102-5,200,001-5,250,000	103-5,250,001-5,300,000	104-5,300,001-5,350,000
105-5,350,001-5,400,000	106-5,400,001-5,450,000	107-5,450,001-5,500,000	108-5,500,001-5,550,000	109-5,550,001-5,600,000	110-5,600,001-5,650,000	111-5,650,001-5,700,000	112-5,700,001-5,750,000
113-5,750,001-5,800,000	114-5,800,001-5,850,000	115-5,850,001-5,900,000	116-5,900,001-5,950,000	117-5,950,001-6,000,000	118-6,000,001-6,050,000	119-6,050,001-6,100,000	120-6,100,001-6,150,000
121-6,150,001-6,200,000	122-6,200,001-6,250,000	123-6,250,001-6,300,000	124-6,300,001-6,350,000	125-6,350,001-6,400,000	126-6,400,001-6,450,000	127-6,450,001-6,500,000	128-6,500,001-6,550,000
129-6,550,001-6,600,000	130-6,600,001-6,650,000	131-6,650,001-6,700,000	132-6,700,001-6,750,000	133-6,750,001-6,800,000	134-6,800,001-6,850,000	135-6,850,001-6,900,000	136-6,900,001-6,950,000
137-6,950,001-7,000,000	138-7,000,001-7,050,000	139-7,050,001-7,100,000	140-7,100,001-7,150,000	141-7,150,001-7,200,000			

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Sam A. Lindsay

Date of Report

12/10/97

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

With respect to the City of Dallas Employee Retirement Fund (VII), if I withdrew my contributions upon leaving the City, I would receive approximately \$78,000 lump sum.

If I keep my contributions in until age 60, I would receive at that time approximately \$80,000 per year as retirement pay, plus costs of living adjustments, for the remainder of my life. Also regarding agreements in Section II, pursuant to my contract and city personnel rules, I will receive a lump sum payment for vacation and sick pay upon my departure from the City.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3CD(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 4, § 301 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Sam A. Lindsay

Date

December 10, 1997

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.,
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	35	000 00	Notes payable to banks—secured		0
U.S. Government securities—add schedule		0	Notes payable to banks—unsecured		0
Listed securities—add schedule		0	Notes payable to relatives		0
Unlisted securities—add schedule		0	Notes payable to others		0
Accounts and notes receivable:		0	Accounts and bills due	4	500 00
Due from relatives and friends	5	000 00	Unpaid income tax		0
Due from others		0	Other unpaid tax and interest		0
Debtoid		0	Real estate mortgages payable—add schedule	30	000 00
Real estate owned—add schedule	110	000 00	Chattel mortgages and other loans payable		0
Real estate mortgages receivable			Other debts—itemize:		
Automobiles and other personal property	60	000 00			
Cash value—Life Insurance	7	000 00			
Other assets—itemize:		0			
IRAs - A. G. Edwards	121	000 00			
401(K) Plan	23	000 00			
City's Employees Retirement	77	750 00	Total Liabilities	34	500 00
Contractual Severance Pay	82	000 00	Net Worth	486	250 00
Total Assets	520	750 00	Total Liabilities and net worth	520	750 00
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor		0	Are any assets pledged? (Add schedule.)	No	
On leases or contracts		0	Are you defendant in any suits or legal actions?	Yes	
Legal Claims		0	Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax		0			
Other special debt		0			