

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griesa, Thomas P.	2. Court or Organization United States District Court	3. Date of Report 2/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 500 Pearl Street, Room 1630 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Directors	Greater New York Councils Boy Scouts of America
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Griesa, Thomas P.

Date of Report

2/27/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Griesa, Thomas P.

Date of Report

2/27/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Griesa, Thomas P.

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2/27/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Checking Account)	A	Interest	M	T					
2. AT&T (T)	C	Dividend	K	T					
3. Alcatel-Lucent (ALU)		None	J	T					
4. Ameren (AEE)	B	Dividend	K	T					
5. Comcast (CMCSA)	A	Dividend	J	T					
6. Exelon (EXC)	B	Dividend	K	T					
7. Great Plains Energy (GXP)	B	Dividend	K	T					
8. Southern Co. (SO)	D	Dividend	M	T					
9.									
10. Western Asset Money Market Fund		None			Sold	12/06/13	O	A	
11. Western Asset NY Municipals	E	Dividend	O	T					
12.									
13. Nationwide Life Annuity		None	P1	T					
14.									
15. IRA [REDACTED] Bond Fund of America (see note)	A	Interest	K	T					
16. IRA [REDACTED] : Bond Fund of America (see note)	A	Interest	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NEW YORK NY SUBSER C-1 [REDACTED]		None	K	T					
19. NEW YORK NY SUBSER I-1 [REDACTED]		None	K	T					
20. NEW YORK NY SUBSER H-1 [REDACTED]		None	K	T					
21. NEW YORK ST ENV. FACS CORP [REDACTED]	A	Interest	K	T					
22. NEW YORK ST ENV. FACS CORP [REDACTED]	A	Interest	K	T					
23. NEW YORK ST DORM AUTH ST PERS INCOME [REDACTED]		None	K	T					
24. NEW YORK STTWY AUTH GEN REV SER H FSA-CR [REDACTED]		None	K	T					
25. NEW YORK ST URBAN DEV CORP REV [REDACTED]		None	L	T					
26. NEW YORK NY RFDG-SER K [REDACTED]		None	K	T					
27. NEW YORK NY CITY MUN WTR FIN AUTH WTR [REDACTED]	A	Interest	K	T					
28. NEW YORK NY CITY TRANSITIONAL FIN [REDACTED]		None	K	T					
29. NEW YORK ST RFDG-SER C [REDACTED]		None	L	T					
30. NEW YORK ST DORM AUTH REVS ST [REDACTED]		None	L	T					
31. NEW YORK ST TWY AUTH ST PERS INCOME TAX [REDACTED]		None	K	T					
32. NEW YORK NY SER D [REDACTED]		None	L	T					
33. NEW YORK NY SUBSER D-1-AMBAC TCRS-BNY [REDACTED]		None	K	T					
34. FT UNIT 3814 MUNICIPAL INCOME [REDACTED]	D	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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Griesa, Thomas P.

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35. FT UNIT 3197 MLP CLOSED END FD & ENERGY [REDACTED]	C	Interest	L	T					
36. INVESTORS QUALITY TAX EXEMPT TR [REDACTED]		None	O	T					
37.									
38. Assets Trust #1	G	Int./Div.	P2	T					
39. -AT&T Inc.									
40. -WPX Energy Inc.(X)									
41.									
42. -Apache Corp.									
43. -CSX Corp.									
44. -Comcast Corp									
45. -DirectTV Group Inc.									
46. -Franklin Resources Inc.									
47. -P H Glatfelter Co.									
48. -Great Plains Energy Inc.									
49. -Hershey									
50. -Host Hotels & Resorts Inc.									
51. -Kansas City Southern Inds									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. -Kellogg Co.									
53. -Kimberly Clark Corp.									
54. -Monsanto									
55. -Neenah Paper Inc.									
56. -News Corp. Class A									
57. -Pepco Holdings Inc.									
58. -Pitney Bowes Inc.									
59. -Principal Financial Group Inc.									
60. -Starwood Hotels & Resorts									
61. -Time Warner Inc.									
62. -Time Warner Cable									
63. -US Bancorp									
64. -Union Pacific Corp.									
65. -Williams Cos Inc.									
66. -Wisconsin Energy Corp Holding Co.									
67.									
68.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69.									
70. -Franklin Real Estate Securities FD Class A									
71. -Vanguard Information Technology									
72. -Royal Bank of Scotland									
73.									
74.									
75.									
76. -Centerpoint Energy									
77. -Microsoft									
78.									
79. -Hewlett Packard									
80. -Intel Corp.									
81. -American Fund Euro Pacific Growth Fund									
82. -Templeton Bric Fund									
83. -Columbia Marsico Fund									
84. -Oppenheimer Developing Mkts. Fund									
85. -Verizon									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86.									
87. -JP Morgan Chase									
88. -Kraft Foods									
89. -Johnson & Johnson									
90. -US Treasury Inflation Index Notes									
91. -CitiBank Bank Deposit Program									
92. -US Treasury Bills									
93.									
94. -SPDR Gold Trust									
95.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Griesa, Thomas P.	2/27/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 40: WPX Energy was inherited on 6/1/06.

Part VII, line 67: Adams Express was listed in error on the 2012 FDR. It has not been owned for many years.

Part VII, line 78: [REDACTED] DST systems were sold 5/20/2011, at value code K.

Part VII, line 92: The treasury bills remained in the trust throughout 2013.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Griesa, Thomas P.

Date of Report

2/27/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas P. Griesa**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544