

AD-10
Rev. 1/98

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 1997 - 1/31/99

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) Hurd, David N.	2. Court or Organization U.S. District Court Northern District of New York	3. Date of Report: Feb. 12, 1999
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date 1/12/99 ____ Initial ____ Annual ____ Final	6. Reporting Period 1/1/97 to 1/31/99
7. Chambers or Office Address 10 Broad Street - Room 300 Utica, New York 13501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☒ XX

NONE (No reportable positions.)

COPY

1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ XX

NONE (No reportable agreements.)

1

2

3

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(your, not spouse's)

NONE (No reportable non-investment income.)

1

2

3

4

5

New York State School for the Deaf, Rome, NY
(Teacher)

\$

\$

\$

\$

\$

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Hurd, David N.

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Feb. 12, 1991

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) AML Code1 (A-I)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month/Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)

☐ NONE (No reportable income, assets, or transactions.)

1.	American Bar Association Retirement Plan (Keough)								
	New York City, NY	G	Div & Int	O	T				Exempt
2.	Rome Savings Bank	A	Int	K	T				Exempt
	Rome, New York								
3.	Rome Savings Bank	A	Int	J	T				Exempt
	Rome, New York								
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000		
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) U=Book value	S=Assessment V=Other	W=Estimated	T=Cash/Market

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IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements.)

1		
2	Exempt	
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts.)

1	Exempt	\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities.)

1	Marine Midland Select Credit Syracuse, NY	Revolving Charge Account	K
2	MBNA America, Wilmington, Delaware	Revolving Charge Account	K
3			
4			
5			
6			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

QUESTIONNAIRE FOR JUDICIAL NOMINEES

Committee on the Judiciary

Part II. Financial Data and Conflict of Interest

Financial Statement and Schedule are attached for Question 5

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	1	800	00	Notes payable to banks—secured	7	300	00
U.S. Government securities—add schedule		0		Notes payable to banks—unsecured	40	000	00
Listed securities—add schedule		0		Notes payable to relatives		0	
Unlisted securities—add schedule		0		Notes payable to others		0	
Accounts and notes receivable:		0		Accounts and bills due		0	
Due from relatives and friends				Unpaid income tax		0	
Due from others				Other unpaid tax and interest		0	
Doubtful				Real estate mortgages payable—add schedule	35	000	00
Real estate owned—add schedule	175	000	00	Chattel mortgages and other liens payable		0	
Real estate mortgages receivable		0		Other debts—itemize:		0	
Automobiles and other personal property	74	000	00				
Cash value—Life insurance	18	262	00				
Other assets—itemize:							
Retirement Accounts	1,525	800	00				
—add schedule							
				Total Liabilities	82	300	00
				Net Worth	1,712	562	00
Total Assets	1,794	862	00	Total Liabilities and net worth	1,794	862	00
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor		0		Are any assets pledged? (Add schedule.)			Yes
On leases or contracts		0		Are you defendant in any suits or legal actions?			No
Legal Claims		0		Have you ever taken bankruptcy?			No
Provision for Federal Income Tax		0					
Other special debt		0					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

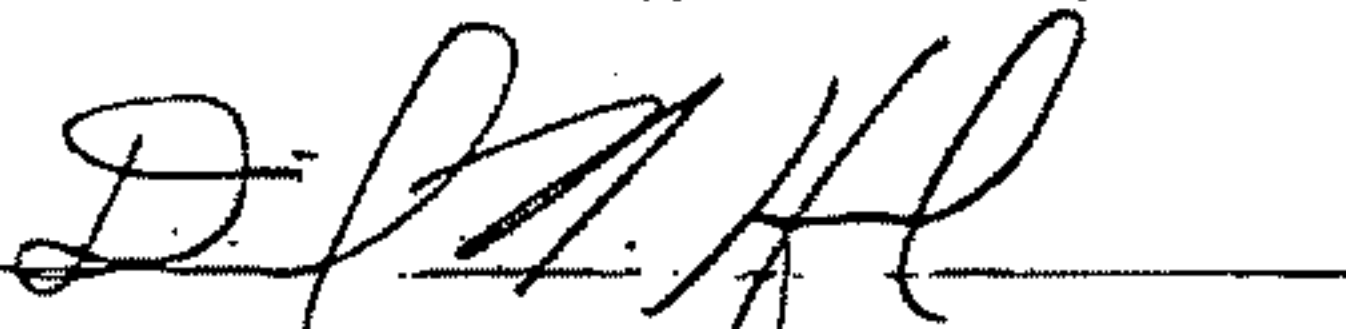
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activity and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it is applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date February 12, 1999

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544