

AO-10
REV. 1/97FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1996Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) MARCUS, STANLEY	2. Court or Organization U.S. COURT OF APPEALS FOR THE ELEVENTH CIRCUIT	3. Date of Report 9/23/97
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U.S. CIRCUIT COURT NOMINEE	5. Report Type (check appropriate type) ☒ Nomination. Date 9/25/97 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/97-9/23/97
7. Chambers or Office Address 301 North Miami Avenue 5th Floor Miami, Florida 33128	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
☒	NONE	(No reportable positions)

I. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
☒	NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (You, not spouse or
☐	NONE (No reportable non-investment income)	
1 1997	Professor-Trial Advocacy Course-Brooklyn Law School (May-July 1997)	\$ 7,000
2 1997	Williams-Sonoma, Inc. (S)	\$
3 1997	Temple Beth Am (S)	\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MARCUS, STANLEYDate of Report
9/23/97

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements or gifts)

1	Brooklyn Law School	May-July 1997, Coach air fare and lodging
2		at Brooklyn Law School Dormitory (May 28,
3		June 2, 9, 16, 23, June 30-July 9).
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒	NONE (No such reportable gifts)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			
6			

*Value Codes: J-\$15,000 or less K-\$15,001-\$50,000 L-\$50,001-\$100,000 M-\$100,001-\$250,000 N-\$250,001-\$500,000
 O-\$500,001-\$1,000,000 P1-\$1,000,001-\$5,000,000 P2-\$5,000,001-\$25,000,000
 P3-\$25,000,001-\$50,000,000 P4-\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
STANLEY MARCUSDate of Report
9/23/97

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(K)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-K)	(2) Type (e.g., div., rent or int.)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month/Day	(3) Value Code (J-P)	(4) Gain/ Loss Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 First Union Miami, FL Checking	A	Int	J	T					
2 T. Rowe Price Small-Cap Value Mutual Fund - IRA Baltimore, MD	A	Div	J	T					
3 Vanguard Index 500, Mutual Fund - IRA, Valley Forge, PA	A	Div	J	T					
4 Fidelity BI. Chp. Growth Mutual Fund - IRA, Cin., OH	A	Div	J	T					
5 Fidelity SoftwCom Mutual Fund-IRA, Cin., OH	A	Div	J	T					
6 U.S. Treasury Note 99 NV 30	B	Int	K	T					
7 Coca Cola Common Stock Stanley Marcus, Cust. for Jonathan Marcus (son)	A	Div	J	T					
8 Young Stovall & Co. (Alliance Money Mkt Fund)	A	Div	J	T					
9 Dell Computer Common Stk Jonathan (son) & Judith A Marcus (spouse)	A	Div	J	T	Buy	8/1	J		
10									
11									
12									
13									
14									
15									
16									
17									
18									
1 Inc/Gain Cds: A-\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B-\$1,001-\$2,500 C=\$2,501-\$5,000	D=\$5,001-\$15,000 H=\$15,001-\$50,000	E=\$15,001-\$50,000 H2=\$50,001 or more						
2 Val Cds: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 P=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000	P1=\$1,000,001-\$5,000,000 P4=\$50,000,001 or more							
3 Val Mch Cds: O-Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment M=Estimated	T=Cash/Market						

FINANCIAL DISCLOSURE REPORT (cont'd)	Name of Person Reporting	Date of Report
	STANLEY MARCUS	9/23/97

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

My son, Jonathan Marcus' bank account (NationsBank-Savings) listed in Part VII, line 7, on my 1996 Annual Report dated 5/12/97, is now below the value that requires reporting.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Stanley Marcus

Date

Sept. 23, 1997

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.,
Washington, D.C. 20544

FD-10
Rev. 1/97FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1996Report Required by the Ethics
Reform Act of 1985, Pub. L. No.
101-194, November 10, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) MARCUS, STANLEY	2. Court or Organization U.S. DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA	3. Date of Report 5/12/97
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5. Report Type (check appropriate type) — Nomination, Date <u> / / </u> — Initial ☒ Annual — Final	6. Reporting Period 1/1/96 to 12/31/96
7. Chambers or Office Address 301 North Miami Avenue 5th Floor Miami, Florida 33128	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☒ NONE (No reportable positions)	

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements)	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (Yours, Not Spouse's)
☐ NONE (No reportable non-investment income)		
1 1996	Williams-Sonoma, Inc. (S)	\$ _____
2 1996	Temple Beth Am (S)	\$ _____
3		\$ _____
4		\$ _____
5		\$ _____

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MARCUS, STANLEY

Date of Report

5/12/97

V. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE

DESCRIPTION

☒ X

NONE (No such reportable reimbursements or gifts)

1

2

3

4

5

6

7

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒ X

NONE (No such reportable gifts)

1

2

3

4

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒ X

NONE (No reportable liabilities)

1

2

3

4

5

6

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MARCUS, STANLEY

Date of Report

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II. Page 1 INVESTMENTS AND TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Am't Code (A-M)	Type (e.g., div., rent or inc.)	Value Code (J-P)	Value Method Code (Q-M)	(1) Type (e.g., buy, sell, merge, redemption)	(2) Date: Month Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 First Union Miami, FL Checking	A	Int	J	T					
2 Nations Bank, Miami, FL	A	Int	J	T	Close				
3 T. Rowe Price Small-Cap Value Mutual Fund	A	Div	J	T					
4 Vanguard Indx 500, Mutual Fund IRA	A	Div	J	T					
5 Fidelity Divd Growth Mutual Fund IRA, Cin., OH	A	Div	J	T					
6 Fidelity SoftwCom Mutual Fund, IRA, Cin., OH	A	Div	J	T					
7 Nations Bank (Savings) Miami, FL (son)	A	Int	J	T					
8 U.S. Treasury Note 99 NV 30	B	Int	K	T					
9 Intel Common Stock	A	Div	J	T	Sold	9/24	J	C	
10 Alliance Tech Mutual Fund CLA	A	Div	J	T	Sold	9/24	J	A	
11 Coca Cola Common Stock Stanley Marcus, Cust. for Jonathan Marcus (son)	A	Div	J	T					
12									
13 Young Stovall & Co. (Alliance Money Mkt Fund)	A	Div	J	T	Buy	9/24			
14									
15									
16									
17									
18									
1 Inc/Gain Cds: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000		G=\$100,001-\$1,000,000		H=\$1,000,001-\$5,000,000 I=\$5,000,001-\$5,000,000 J=\$5,000,001 or more				
2 Val Cds: J=\$15,000 or less (Col. C1, D3) K=\$500,001-\$1,000,000 L=\$1,000,001-\$5,000,000 M=\$5,000,001-\$25,000,000 N=\$25,000,001-\$500,000 P=\$25,000,001-\$50,000,000 Q=\$50,000,001 or more	R=Cost (real estate only) V=Other		S=Assessment M=Estimated		T=Cash/Market				
3 Val Mth Cds: Q=Appraisal (Col. C2) R=Book Value									

FINANCIAL DISCLOSURE REPORT (cont'd)	Name of Person Reporting	Date of Report
	MARCUS, STANLEY	5/12/97

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

- 1) Chase Federal, Miami, FL Bank was acquired by Nations Bank, Miami, FL,
Savings (son) Savings Account transferred to Nations Bank;
See Sec. VII (17).

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Stanley Marcus

Date May 12, 1997

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

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Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.,
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	22	370	40	Notes payable to banks—secured			
U.S. Government securities—add schedule	27	000	00	Notes payable to banks—unsecured			
Listed securities—add schedule	28	798	40	Notes payable to relatives			
Unlisted securities—add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable—add schedule	148	291	44
Real estate owned—add schedule	250	000	00	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts—itemize:			
(2) Autos and other personal property	20	175	00	Perkins (Student loan)		700	00
Cash value—life insurance							
Other assets—itemize:							
Florida PrePaid College Program	7	097	41				
				Total Liabilities	148	091	44
				Net Worth	206	549	77
Total Assets	355	591	21	Total Liabilities and net worth	355	591	21
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor	NA			Are any assets pledged? (Add schedule.)	YES		
On leases or contracts	NA			Are you defendant in any suits or legal actions?	NO		
Legal Claims	NA			Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax	Current						
Other special debt	NA						