

FD-203 (4)
Rev. 10/79**FINANCIAL DISCLOSURE REPORT**
Initial ReportReport required by the Ethics
Reform Act of 1976, Pub. L. No.
94-406, November 26, 1976
(5 U.S.C. App. 4, Sec. 101-1.12)

1. Person Reporting (Last name, first, middle initial) SMITH, ALEX R.		2. Court or Organization USDC NORTHERN MARIANA ISLANDS	3. Date of Report 09/18/1990
4. Job (Circle all judges indicate active or under duress; magistrate judges indicate full or part-time) CHIEF JUDGE - ACTIVE	5. Report Type (check type) ____ Nomination, Date ____ / ____ / ____ X Initial ____ Annual ____ Final ____		6. Reporting Period 01/01/1990 to 09/18/1990
7. Chamber or Office Address USC Northern Mariana Islands P.O. Box 687 Saipan, MP 96950		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTE: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.			

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1	My wife and I are co-leasees with Mary and James Lin, of a parcel of land for 55 years. See Section VIII.
2	
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (year, not spouse)
☐ NONE (No reportable non-investment income.)		
1 1987	NON EDUCATIONAL SERVICES, SCHOOL TEACHER (S)	
2		
3		
4		

FINANCIAL DISCLOSURE REPORT

MUNSON, ALEX R.

09/10/1996

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "S" and "DC" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-26 of Instructions.)

☒ X	SOURCE (% each reportable reimbursement)	DESCRIPTION
1	NONE	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "S" and "DC" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

☒ X	SOURCE (% each reportable gift)	DESCRIPTION	VALUE
1	NONE		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "S" for separate liability of the spouse, "J" for joint liability of reporting individual and spouse, and "DC" for liability of a dependent child. See pp. 33-35 of Instructions.)

☒ X	CREDITOR (% reportable liability)	DESCRIPTION	VALUE CODE*
1	NONE		
2			
3			
4			
5			
6			

* VAL CODES: J-\$11,000 or less K-\$11,001-\$20,000 L-\$20,001 to \$100,000 M-\$100,001-\$250,000 N-\$250,001-\$500,000
O-\$500,001-\$1,000,000 P-\$1,000,001-\$5,000,000 Q-\$5,000,001-\$25,000,000 R-\$25,000,001-\$50,000,000 S-\$50,000,001 or more

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Name of Person Reporting
MUNSON, ALEX R.

VII Page 1 INVESTMENTS AND TRUSTS-- income, value, transactions

Indicate basis of income and
Applicable child no. See pp. 46-51 of 1040 return

A. Description of Assets	B. Income during reporting period	C. Gross value at end of reporting period	D. Transactions during reporting period
(1) Asset Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (K-F) (2) Value Code (Q-W)	(1) Type (e.g., buy, sell, gift, exchange)
Indicate where applicable, owner of the asset by using the parenthetical "J" for joint ownership of reporting individual and spouse, "S" for separate ownership by spouse, "DC" for ownership by dependent child.			
Place "JO" after each asset except from prior disclosures.			
NONE (No reportable income, assets, or transactions.)			
1 Sanford G. Bernstein Fund- Intl. Value Portfolio IRA	Div/Int	L T	
2 Sanford G. Bernstein Fund - Intl. Value Portfolio IRA (S)	Div/Int	K T	
3 Sanford G. Bernstein Fund - Intl. Value Portfolio (J)	C Div/Int	K T	
4 Sanford G. Bernstein Fund - Intl. Value Portfolio (J)	C Div/Int	K T	
5 Mutual Funds Bernstein Intermediate Duration (J)	D Div/Int	M T	
6 Mutual Funds Bernstein Intermediate Duration (J)	B Div/Int	J T	
7 Mutual Funds Bernstein Intermediate Duration (J)	A Div/Int	J T	
8 Mutual Funds Bernstein Intermediate Duration (J)	A Div/Int	J T	
9 Mutual Funds Bernstein Emerging Markets (J)	A Div/Int	K T	
10 U.S. Treasury Note Series C-2006 (J)	A Interest	J T	
11 U.S. Treasury Note Series C-2006 (J)	A Interest	J T	
12 U.S. Treasury Note Series C-2006 (J)	A Interest	J T	
13 U.S. Treasury Note Series C-2006 (J)	A Interest	J T	
14 Owens Corning Common Stock (J)	A Div/Int	J T	
15 Federal National Mortgage Association (J)	A Div/Int	J T	
16 Golden West Financial Corp. DEL Common Stock (J)	A Div/Int	J T	
17 Old Republic Int. Corporation Common Stock (J)	A Div/Int	J T	
1 No/Own Codes: A-\$1,000 or less (Col. B1, D4) F-\$30,001-\$100,000 2 Val Codes: J-\$15,000 or less (Col. C1, D3) O-\$500,001-\$1,000,000 3 Val Mls Codes: Q=Appraised (Col. C2) U=Stock Value B-\$1,001-\$2,500 G-\$100,001-\$1,000,000 K-\$15,001-\$50,000 P1-\$1,000,001-\$3,000,000 R=Cost (real estate only) V=Other C-\$2,501-\$5,000 H1-\$1,000,001-\$7,000,000 L-\$50,001-\$100,000 P2-\$5,000,001-\$27,000,000 S=Appraised T=Gift			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MUNSON, ALEX R.Date of Report
09/18/1998VII. Page 2 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "QQ" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-F)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 St. Paul Companies Inc. Common Stock (J)	A	Int/Div	J	T					
19 American General Corp. Common Stock (J)	A	Int/Div	J	T					
20 U.S. Life Corporation Common Stock (J)	A	Int/Div	J	T					
21 Cigna Corporation Common Stock (J)	A	Int/Div	J	T					
22 Lincoln National Corporation Common Stock (J)	A	Int/Div	J	T					
23 Fleet Financial Group Inc. New Common Stock (J)	A	Int/Div	J	T	Sell	2/98	J	A	Public Trading
24 Fleet Financial Group Inc. New Common Stock (J)	A	Int/Div	J	T	Sell	4/98	J	A	Public Trading
25 PNC Bank Corporation Common Stock (J)	A	Int/Div	J	T					
26 Republic New York Corporation Common Stock (J)	A	Int/Div	J	T	Sell	6/98	J	A	Public Trading
27 Pacific Telesis Group Common Stock (J)	A	Int/Div	J	T					
28 Health Systems Int. Common Stock (J)	A	Int/Div	J	T					
29 Phillip Morris Co. Inc. Common Stock (J)	A	Int/Div	J	T					
30 RJR Nabisco Holding Corp. New Common Stock (J)	A	Int/Div	J	T					
31 Supervalu Inc. Common Stock (J)	A	Int/Div	J	T					
32 Anheuser-Busch Co. Common Stock (J)	A	Int/Div	J	T	Sell	8/98	J	A	Public Trading
33 VF Corporation Common Stock (J)	A	Int/Div	J	T					
34 Whirlpool Corporation Common Stock (J)	A	Int/Div	J	T					
1 Invt/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000		
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more		
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market				

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Name of Person Reporting
MUNSON, ALEX R.Date of Report
09/18/1998VIL Page 3 INVESTMENTS AND TRUSTS— Income, value, transactions
(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-F)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 Ford Motor Company Common Stock (J)	A	Int/Div	J	T					
36 Lubrizol Corporation Common Stock (J)	A	Int/Div	J	T					
37 Intl. Business Machines Corporation Common Stock (J)	A	Int/Div	J	T	Sell	8/98	J	A	Public Trading
38 Amet Inc. Common Stock (J)	A	Int/Div	J	T					
39 Browning-Ferris Ind. Inc. Common Stock (J)	A	Int/Div	J	T	Sell	6/98	J	A	Public Trading
40 Royal Dutch Petrol Inc. Common Stock (J)	A	Int/Div	J	T	Sell	5/98	J	A	Public Trading
41 Canadian Pacific Ltd. New Common Stock (J)	A	Int/Div	J	T					
42 Comarica Inc. Common Stock (J)	A	Int/Div	J	T					
43 Key Corporation Common Stock (J)	A	Int/Div	J	T					
44 Union Carbide Common Stock (J)	A	Int/Div	J	T					
45 Pacificare Health Systems Inc. Common Stock (J)	A	Int/Div	J	T					
46 Hydr System Common Stock (J)	A	Int/Div	J	T					
47 Eastman Chemical Common Stock (J)	A	Int/Div	J	T					
48 Westvaco Corporation Common Stock (J)	A	Int/Div	J	T					
49 Champion International Common Stock (J)	A	Int/Div	J	T					
50 AETNA Inc. Common Stock (J)	A	Int/Div	J	T					
51 Gen Edison Company Common Stock (J)	A	Int/Div	J	T					
1 Net/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 (Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more									
2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more									
3 Val Mth Codes: Q=Appraised R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated									

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Name of Person Reporting
MUNSON, ALEX R.Date of Report
09/18/1998VII. Page 4 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "00" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
52 Amer Electric Power Common Stock (J)	A	Int/Div	J	T					
53 DGE Common Stock (J)	A	Int/Div	J	T					
54 PG&E Common Stock (J)	A	Int/Div	J	T					
55 Puget Sound Energy Common Stock (J)	A	Int/Div	J	T					
56 Allegheny Power System Inc. Common Stock (J)	A	Int/Div	J	T					
57 CSX Common Stock (J)	A	Int/Div	J	T					
58 Sun Co. Inc. Common Stock (J)	A	Int/Div	J	T					
59 Seagate Tech. Inc. Common Stock (J)	A	Int/Div	J	T	Sell	1/98	J	A	Public Trading
60 Compaq Computer Corp. Common Stock (J)	A	Int/Div	J	T	Sell	1/98	J	A	Public Trading
61 Compaq Computer Corp. Common Stock (J)	A	Int/Div	J	T	Sell	7/98	J	A	Public Trading
62 Arrow Electronics Common Stock (J)	A	Int/Div	J	T					
63 Quantum Common Stock (J)	A	Int/Div	J	T					
64 Leased Land (J)		None	L	R	Buy	11/90	L	A	Juan (Pan) and Roberta Guerrero
65 U.S. Treasury Note Series A-2004 (J)	B	Int/Div	K	T					
66 Alcan Aluminum (J)	A	Int/Div	J	T	Buy	1/98	J	A	Public Trading
67 Aetna Inc. (J)	A	Int/Div	J	T	Buy	2/98	J	A	Public Trading
68 Millennium Chemicals Inc. (J)	A	Int/Div	J	T	Buy	4/98	J	A	Public Trading
1 Ino/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000		
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more		
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other		S=Amortment W=Estimated		T=Cash/Market				

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VII. Page 5 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(D)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(Q)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-F)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
69 Consolidated Papers Inc. (J)	A	Int/Div	J	T	Buy	7/98	J	A	Public Trading
70 Teradyne Inc. (J)	A	Int/Div	J	T	Buy	7/98	J	A	Public Trading
71 Associates First Capital (J)	A	Int/Div	J	T	Sell	7/98	J	A	Public Trading
72 Dow Chemical (J)	A	Int/Div	J	T	Buy	8/98	J	A	Public Trading
73 Dow Chemical (J)	A	Int/Div	J	T	Buy	9/98	J	A	Public Trading
74 Goodyear Tire and Rubber Co. (J)	A	Int/Div	J	T	Buy	9/98	J	A	Public Trading
75 Temple Inland Inc. (J)	A	Int/Div	J	T	Buy	9/98	J	A	Public Trading
76 Pressair Inc. (J)	A	Int/Div		T	Buy	9/98	J	A	Public Trading
77 Cabot Corp (J)	A	Int/Div	J	T	Buy	9/98	J	A	Public Trading
78 Mutual Funds Bernstein Intermediate Duration (J)	A	Int/Div	J	T	Buy	2/98	J	A	Public Trading
79 Mutual Funds Bernstein Intermediate Duration	A	Int/Div	J	T	Buy	5/98	J	A	Public Trading
80 Mutual Funds Bernstein Emerging Market (J)	A	Int/Div	J	T	Buy	1/98	J	A	Public Trading
81 Mutual Funds Bernstein Emerging Market (J)	A	Int/Div	J	T	Buy	7/98	J	A	Public Trading
82									
83									
84									
85									

1 Int/Div Codes: A=\$1,000 or less (Excl. B1, D4)	B=\$1,001-\$2,500 O=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Excl. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$500,000,001 or more
3 Val Mtd Codes: Q=Appraisal (Excl. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL

DATE OF REPORT
09/18/1998

VII. Part

Discretionary

Indicate
the date
"6/1"
indicate
error
for use

P
K.A.

... at the property. We leased the property
... Sam Ample paid an equal amount of \$100,000
... described in Section VII, No 1, of my

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting MUNSON, ALEX R.	Date of Report 09/10/1978
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II. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Alex R. Munson

Date

September 13, 1978

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544