

Financial Disclosure Incomplete

## FINANCIAL DISCLOSURE REPORT

Eaton, Richard K.

09/05/1999

**VII. Page 7 INVESTMENTS and TRUSTS— income, value, transactions**

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

| A.<br>Description of Assets                                                                                                                                                                                                    | B.<br>Income during reporting period | C<br>Gross value at end of reporting period       | D.<br>Transactions during reporting period                      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------|-----------------------------------------------------------------|
| <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> | (1)<br>Amount Code<br>(A-H)          | (2)<br>Type<br>(e.g., dividend, rent or interest) | (1) Value Code<br>(J-P)<br>(2) Value Method<br>(Q-W)            |
|                                                                                                                                                                                                                                |                                      |                                                   | (1) Type<br>(e.g., buy, sell, partial sale, merger, redemption) |
| <i>Place "(X)" after each asset exempt from prior disclosure.</i>                                                                                                                                                              |                                      |                                                   | If not exempt from disclosure                                   |
|                                                                                                                                                                                                                                | (2) Date:<br>Month-<br>Day           | (3) Value Code<br>(J-P)                           | (4) Gain Code<br>(A-H)                                          |
|                                                                                                                                                                                                                                |                                      |                                                   | (5) Identity of buyer/seller<br>(if private transaction)        |
| NONE (No reportable income, assets, or transactions.)                                                                                                                                                                          |                                      |                                                   |                                                                 |
| 103 Safeway Inc Common (Spouse)                                                                                                                                                                                                | A                                    | Dividend                                          | K T Exempt                                                      |
| 104 Sony Corp. Common (Spouse)                                                                                                                                                                                                 | A                                    | Dividend                                          | K T Exempt                                                      |
| 105 The Goldman Sachs Grp. Inc. Common (Spouse)                                                                                                                                                                                | A                                    | Dividend                                          | K T Exempt                                                      |
| 106 Unilever NV NY Shares (New) Common (Spouse)                                                                                                                                                                                | A                                    | Dividend                                          | K T Exempt                                                      |
| 107 Cash (Spouse)                                                                                                                                                                                                              | D                                    | Interest                                          | P1 T Exempt                                                     |
| 108 Valley Natl Bankcorp Common (Spouse)                                                                                                                                                                                       | E                                    | Dividend                                          | O T Exempt                                                      |
| 109 Fed Portfolio Inst. Liquid Asset Mutual Fund (Spouse)                                                                                                                                                                      | D                                    | Interest                                          | M T Exempt                                                      |
| 110 Conroe Tax Cert. Munip. Bonds (Spouse)                                                                                                                                                                                     | B                                    | Interest                                          | K T Exempt                                                      |
| 111 Aetna Inc. Common (Spouse)                                                                                                                                                                                                 | A                                    | Dividend                                          | K T Exempt                                                      |
| 112 Alcoa Inc.Common (Spouse)                                                                                                                                                                                                  | B                                    | Dividend                                          | L T Exempt                                                      |
| 113 Alcan Alluminium Ltd. Common (Spouse)                                                                                                                                                                                      | A                                    | Dividend                                          | L T Exempt                                                      |
| 114 American Elect. Power Co. Inc. Common (Spouse)                                                                                                                                                                             | A                                    | Dividend                                          | J T Exempt                                                      |
| 115 Ambac Finacial Brp. Inc. Common (Spouse)                                                                                                                                                                                   | A                                    | Dividend                                          | J T Exempt                                                      |
| 116 Arrow Electronics Inc. Common (Spouse)                                                                                                                                                                                     | A                                    | Dividend                                          | J T Exempt                                                      |
| 117 American Standard Cos. Common (Spouse)                                                                                                                                                                                     | A                                    | Dividend                                          | K T Exempt                                                      |
| 118 Avnet Inc. Common (Spouse)                                                                                                                                                                                                 | A                                    | Dividend                                          | J T Exempt                                                      |
| 119 Bank One Corp. Common (Spouse)                                                                                                                                                                                             | C                                    | Dividend                                          | M T Exempt                                                      |

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000  
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000  
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market  
(Col. C2) U=Book Value V=Other W=Estimated

## FINANCIAL DISCLOSURE REPORT

Eaton, Richard K.

03/05/1999

**VII. Page 8 INVESTMENTS and TRUSTS— income, value, transactions**

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

| A.<br>Description of Assets                                                                                                                                                                                                                                                    | B.<br>Income during reporting period<br><br>(1) Amount Code (A-H)<br>(2) Type (e.g., dividend, rent or interest) | C.<br>Gross value at end of reporting period<br><br>(1) Value Code (J-P)<br>(2) Method Code (Q-W) | D.<br>Transactions during reporting period<br><br>(1) Type (e.g., buy, sell, partial sale, merger, redemption)<br><br>If not exempt from disclosure:<br>(2) Date: Month-Day<br>(3) Value Code (J-P)<br>(4) Gain Code (A-H)<br>(5) Identity of buyer/seller (if private transaction) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NONE (No reportable income/assets, or transactions.)                                                                                                                                                                                                                           |                                                                                                                  |                                                                                                   |                                                                                                                                                                                                                                                                                     |
| 120 BP Amoco PLC Common (Spouse)                                                                                                                                                                                                                                               | C Dividend                                                                                                       | M T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 121 Bank of America Corp. Common (Spouse)                                                                                                                                                                                                                                      | C Dividend                                                                                                       | M T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 122 Canadian Pacific LTD Common (Spouse)                                                                                                                                                                                                                                       | A Dividend                                                                                                       | J T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 123 Chase Manhattan Corp. Common (Spouse)                                                                                                                                                                                                                                      | B Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 124 Cigna Corp. Common (Spouse)                                                                                                                                                                                                                                                | A Dividend                                                                                                       | K T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 125 CSX Corp. Common (Spouse)                                                                                                                                                                                                                                                  | B Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 126 Cabot Corp. Common (Spouse)                                                                                                                                                                                                                                                | A Dividend                                                                                                       | K T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 127 Central and South West Corp. Common (Spouse)                                                                                                                                                                                                                               | B Dividend                                                                                                       | K T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 128 Champion International Corp. Common (Spouse)                                                                                                                                                                                                                               | A Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 129 Chubb Corp. Common (Spouse)                                                                                                                                                                                                                                                | A Dividend                                                                                                       | J T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 130 Consolidated Papers Inc. Common (Spouse)                                                                                                                                                                                                                                   | A Dividend                                                                                                       | K T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 131 Dow Chemical Co. Common (Spouse)                                                                                                                                                                                                                                           | D Dividend                                                                                                       | M T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 132 Eastman Chemical Co. Common (Spouse)                                                                                                                                                                                                                                       | B Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 133 Eaton Corp. Common (Spouse)                                                                                                                                                                                                                                                | B Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 134 Firstenergy Corp. Common (Spouse)                                                                                                                                                                                                                                          | C Dividend                                                                                                       | L T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 135 FMC Corp. Common (Spouse)                                                                                                                                                                                                                                                  | A Dividend                                                                                                       | J T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| 136 Federated Dept. Stores Common (Spouse)                                                                                                                                                                                                                                     | A Dividend                                                                                                       | M T                                                                                               | Exempt                                                                                                                                                                                                                                                                              |
| <b>Inc/Gain Codes:</b> A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000<br>(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more                                            |                                                                                                                  |                                                                                                   |                                                                                                                                                                                                                                                                                     |
| <b>Val Codes:</b> J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000<br>(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more |                                                                                                                  |                                                                                                   |                                                                                                                                                                                                                                                                                     |
| <b>Val Mth Codes:</b> Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market<br>(Col. C2) U=Book Value V=Other W=Estimated                                                                                                                                           |                                                                                                                  |                                                                                                   |                                                                                                                                                                                                                                                                                     |

Eaton, Richard K.

*(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

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| A.<br>Description of Assets                                                                                                                                                                                                                                               | B.<br>Income during reporting period |                                                      | C.<br>Gross value at end of reporting period |                                                             | D.<br>Transactions during reporting period                               |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------|
| <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i>                                            | (1)<br>Amount<br>Code<br>(A-H)       | (2)<br>Type<br>(e.g., dividend,<br>rent or interest) | (1)<br>Value<br>Code<br>(J-P)                | (2)<br>Value<br>Method<br>(Q-W)                             | (1)<br>Type<br>(e.g., buy,<br>sell, partial sale,<br>merger, redemption) |
|                                                                                                                                                                                                                                                                           | If not exempt from disclosure        |                                                      |                                              |                                                             |                                                                          |
|                                                                                                                                                                                                                                                                           | (2)<br>Date:<br>Month-<br>Day        | (3)<br>Value<br>Code<br>(J-P)                        | (4)<br>Gain<br>Code<br>(A-H)                 | (5)<br>Identity of buyer/seller<br>(if private transaction) |                                                                          |
| NONE (No reportable income, assets, or transactions.)                                                                                                                                                                                                                     |                                      |                                                      |                                              |                                                             |                                                                          |
| 137 Ford Motor Company Common (Spouse)                                                                                                                                                                                                                                    | B                                    | Dividend                                             | L                                            | T                                                           | Exempt                                                                   |
| 138 Genuine Parts Co. Common (Spouse)                                                                                                                                                                                                                                     | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 139 Golden West Financial Corp. Common (Spouse)                                                                                                                                                                                                                           | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 140 Goodyear Tire and Rubber Co. Common (Spouse)                                                                                                                                                                                                                          | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 141 Hormel Foods Corp. Common (Spouse)                                                                                                                                                                                                                                    | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 142 IBM Common (Spouse)                                                                                                                                                                                                                                                   | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 143 International Paper Co. Common (Spouse)                                                                                                                                                                                                                               | B                                    | Dividend                                             | L                                            | T                                                           | Exempt                                                                   |
| 144 Litton Industries Inc. Common (Spouse)                                                                                                                                                                                                                                | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 145 Lubrizol Corp. Common (Spouse)                                                                                                                                                                                                                                        | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 146 MBIA Inc. Common (Spouse)                                                                                                                                                                                                                                             | A                                    | Dividend                                             | L                                            | T                                                           | Exempt                                                                   |
| 147 Millenium Chemicals Inc. Common (Spouse)                                                                                                                                                                                                                              | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 148 May Department Stores C. Common (Spouse)                                                                                                                                                                                                                              | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 149 Mead Corp. Common (Spouse)                                                                                                                                                                                                                                            | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 150 Nalco Chem. Co. Common (Spouse)                                                                                                                                                                                                                                       | A                                    | Dividend                                             | J                                            | T                                                           | Exempt                                                                   |
| 151 Norfolk Southern Corp. Common (Spouse)                                                                                                                                                                                                                                | B                                    | Dividend                                             | L                                            | T                                                           | Exempt                                                                   |
| 152 Owens Corning Common (Spouse)                                                                                                                                                                                                                                         | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 153 Pacificare Health Systems Inc. Common (Spouse)                                                                                                                                                                                                                        | A                                    | Dividend                                             | K                                            | T                                                           | Exempt                                                                   |
| 1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000<br>(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more                                            |                                      |                                                      |                                              |                                                             |                                                                          |
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| 3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market<br>(Col. C2) U=Book Value V=Other W=Estimated                                                                                                                                           |                                      |                                                      |                                              |                                                             |                                                                          |

## FINANCIAL DISCLOSURE REPORT

Eaton, Richard K.

**VII. Page 10 INVESTMENTS and TRUSTS— income, value, transactions**

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

| A.<br>Description of Assets                                                                                                                                                                                             | B.<br>Income during reporting period |                                                   | C.<br>Gross value at end of reporting period |                                         | D.<br>Transactions during reporting period                         |                               |                               |                              |                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------|----------------------------------------------|-----------------------------------------|--------------------------------------------------------------------|-------------------------------|-------------------------------|------------------------------|----------------------------------------------------------------|
| Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. | (1)<br>Amount<br>Code<br>(A-H)       | (2)<br>Type<br>(e.g., dividend, rent or interest) | (1)<br>Value<br>Code<br>(I-P)                | (2)<br>Value<br>Method<br>Code<br>(Q-W) | (1)<br>Type<br>(e.g., buy, sell, partial sale, merger, redemption) | If not exempt from disclosure |                               |                              |                                                                |
|                                                                                                                                                                                                                         |                                      |                                                   |                                              |                                         |                                                                    | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code<br>(J-P) | (4)<br>Gain<br>Code<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <b>NONE</b> (No reportable income, assets, or transactions.)                                                                                                                                                            |                                      |                                                   |                                              |                                         |                                                                    |                               |                               |                              |                                                                |
| 154 Occidental Petroleum Corp. Common (Spouse)                                                                                                                                                                          | C                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 155 JC Penney Co. Inc. Common (Spouse)                                                                                                                                                                                  | C                                    | Dividend                                          | M                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 156 Philip Morris Companies Inc. Common (Spouse)                                                                                                                                                                        | C                                    | Dividend                                          | M                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 157 Praxair Inc. Common (Spouse)                                                                                                                                                                                        | A                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 158 Puget Sound Energy Inc. Common (Spouse)                                                                                                                                                                             | B                                    | Dividend                                          | J                                            | T                                       | Energy                                                             |                               |                               |                              |                                                                |
| 159 Quantum Corp. Common (Spouse)                                                                                                                                                                                       | A                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 160 Republic New York Corp. Inc. Common (Spouse)                                                                                                                                                                        | B                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 161 Reynolds Metals Co. Common (Spouse)                                                                                                                                                                                 | A                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 162 RJR Nabisco Holdings Corp. Common (Spouse)                                                                                                                                                                          | C                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 163 St. Paul Companies Inc. Common (Spouse)                                                                                                                                                                             | B                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 164 Sears Roebuck & Co. Common (Spouse)                                                                                                                                                                                 | A                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 165 Temple Inland Inc. Common (Spouse)                                                                                                                                                                                  | A                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 166 Union Carbide Corp. Common (Spouse)                                                                                                                                                                                 | B                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 167 Union Pacific Corp. Common (Spouse)                                                                                                                                                                                 | B                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 168 Unicom Corp. Common (Spouse)                                                                                                                                                                                        | B                                    | Dividend                                          | L                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 169 Valley National Bancorp Common (Spouse)                                                                                                                                                                             | G                                    | Dividend                                          | P2                                           | T                                       | Exempt                                                             |                               |                               |                              |                                                                |
| 170 Westvaco Corp. Common (Spouse)                                                                                                                                                                                      | B                                    | Dividend                                          | K                                            | T                                       | Exempt                                                             |                               |                               |                              |                                                                |

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000

2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market

## FINANCIAL DISCLOSURE REPORT

Eaton, Richard K.

## VII. Page 11 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

| A.<br>Description of Assets                                                                                                                                                                                             | B.<br>Income during reporting period |                                         | C.<br>Gross value at end of reporting period |                         | D.<br>Transactions during reporting period               |                               |                      |                     |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------|----------------------------------------------------------|-------------------------------|----------------------|---------------------|-------------------------------------------------------|
| Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. | (1)                                  | (2)                                     | (1)                                          | (2)                     | (1)                                                      | If not exempt from disclosure |                      |                     |                                                       |
|                                                                                                                                                                                                                         | Amount Code (A-H)                    | Type (e.g., dividend, rent or interest) | Value Code (J-P)                             | Value Method Code (Q-W) | Type (e.g., buy, sell, partial sale, merger, redemption) | (2) Date: Month-Day           | (3) Value Code (I-P) | (4) Gain Code (A-H) | (5) Identity of buyer/seller (if private transaction) |
| Place "(X)" after each asset exempt from prior disclosure.                                                                                                                                                              |                                      |                                         |                                              |                         |                                                          |                               |                      |                     |                                                       |
| NONE (No reportable income, assets, or transactions.)                                                                                                                                                                   |                                      |                                         |                                              |                         |                                                          |                               |                      |                     |                                                       |
| 171 Whirlpool Corp. Common (Spouse)                                                                                                                                                                                     | A                                    | Dividend                                | J                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 172 Willamette Industries Inc. Common (Spouse)                                                                                                                                                                          | A                                    | Dividend                                | K                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 173 Bernstein Div. Muni. Portfolio Mutual Fund (Spouse)                                                                                                                                                                 | E                                    | Interest                                | O                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 174 Bernstein Advanced Value Mutual Fund (Spouse)                                                                                                                                                                       | A                                    | Dividend                                | P1                                           | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 175 Bernstein Emerging Mkts. Portfolio Mutual Fund (Spouse)                                                                                                                                                             | B                                    | Dividend                                | M                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 176 Bernstein Tax-managed International Port. Mutual Fund                                                                                                                                                               | A                                    | Dividend                                | M                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 177 House, cabin and land in Livingston, MT (Spouse)                                                                                                                                                                    | D                                    | Rent                                    | O                                            | W                       | Exempt                                                   |                               |                      |                     |                                                       |
| 178 Fidelity Muni Money Market Mutual Fund (Spouse)                                                                                                                                                                     | B                                    | Interest                                | N                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 179 Aetna Growth and Income Mutual Fund 401K (Spouse)                                                                                                                                                                   | C                                    | Dividend                                | M                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 180 Schroders Equity Mutual Fund held in IRA (Spouse)                                                                                                                                                                   | A                                    | Dividend                                | L                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 181 Concord EFS Inc. Common (Spouse)                                                                                                                                                                                    | A                                    | Dividend                                | K                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 182 Juniper Network Inc. Common (Spouse)                                                                                                                                                                                | A                                    | Dividend                                | J                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 183 Seagram Ltd. Common (Spouse)                                                                                                                                                                                        | A                                    | Dividend                                | K                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 184 Bernstein International Value Port. II mutual fund (Spouse)                                                                                                                                                         | B                                    | Dividend                                | M                                            | T                       | Exempt                                                   |                               |                      |                     |                                                       |
| 185 Stroock Partners (II, III, IV) investment partnership                                                                                                                                                               | A                                    | Distribution                            | J                                            | U                       | Exempt                                                   |                               |                      |                     |                                                       |
| 186                                                                                                                                                                                                                     |                                      |                                         |                                              |                         |                                                          |                               |                      |                     |                                                       |
| 187                                                                                                                                                                                                                     |                                      |                                         |                                              |                         |                                                          |                               |                      |                     |                                                       |

1 Inc/Gain Codes: A=\$1,000 or less  
(Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000  
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Meth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market  
(Col. C2) U=Book Value V=Other W=Estimated