

1. Person Reporting (Last name, first, middle initial) Tallman, Richard C.		2. Court or Organization Ninth Circuit Court of Appeals		3. Date of Report 10/20/1999	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge Nominee		5. Report Type (check type) X Nomination, Date 10/20/1999 Initial Annual Final		6. Reporting Period 01/01/1998 to 10/20/1999	
7. Chambers or Office Address Tallman & Severin LLP 1011 Western Ave., Suite 803 Seattle, WA 98104-1040		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.					

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 Partner	Tallman & Severin LLP
2 Member	Bogle & Gates, P.L.L.C.
3 Executive Board Member	Chief Seattle Council, Boy Scouts of America

II. AGREEMENTS (Reporting individual only; see pp.14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 1999	Tallman & Severin LLP 401(k) Plan with former law firm, control over only my portion
2 1999	Tallman & Severin LLP, payout of interest in former law firm and compensation for legal services rendered before becoming a judge
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 1997	Bogle & Gates, P.L.L.C., Partnership Income	\$ 206,572.00
2 1998	Bogle & Gates, P.L.L.C., partnership income	\$ 207,755.00
3 1999	Tallman & Severin LLP, partnership income	\$ 54,000.00
4 1997	Seattle Police Department (S)	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	Exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	Exempt		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	None		
2			
3			
4			
5			
6			

* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
0=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Tallman, Richard C.

Date of Report
10/20/1999

VII. Page 1 INVESTMENTS and TRUSTS-- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Tallman & Severin LLP 401(k) Plan A.I.M. Mutual Funds (J)		None	O	T	Exempt				
2 Procyte Corp. common stock (J)		None	J	T	Exempt				
3 City of Sea. Def. Comp. Plan Fidelity Invest. Mut. Funds (J)		None	M	T	Exempt				
4 Washington State Law Enf. Off. Retirement Plan (J)		None	M	U	Exempt				
5 Union Bank of California, Sea., checking & savings accts (J)	A	Interest	J	T	Exempt				
6 Seattle City Credit Union savings account (J)	A	Interest	J	T	Exempt				
7 Washington Mutual Bank, Sea., checking & savings accts (J)	A	Interest	J	T	Exempt				
8 Bogle & Gates, P.L.L.C., Seattle 1998 (J)	B	Interest	J	T	Exempt				
9 Bogle & Gates, P.L.L.C., Seattle 1998 (J)	A	Dividend	J	T	Exempt				
10 IRA Distribution 1998 (J)	D	Distribution	J	T	Exempt				
11 Bogle & Gates, P.L.L.C., Seattle 1999 (J)	A	Dividend	J	T	Exempt				
12									
13									
14									
15									
16									
17									

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500	G=\$100,001-\$1,000,000	C=\$2,501-\$5,000	H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000	H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000	P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000	P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000	P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only)	V=Other	S=Assessr	T=Cash/Market	W=Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Tallman, Richard C.	10/20/1999

SECTION HEADING. (Indicate part of report.)
Information continued from Parts I through VI, inclusive.

PART 1. POSITIONS (cont'd.)

Line	Position	Name of Organization/Entity
4	Trustee	Tallman & Severin LLP 401(k) Plan

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
5	1998	Seattle Police Department (S) \$	0.00
6	1999	Seattle Police Department (S) \$	0.00

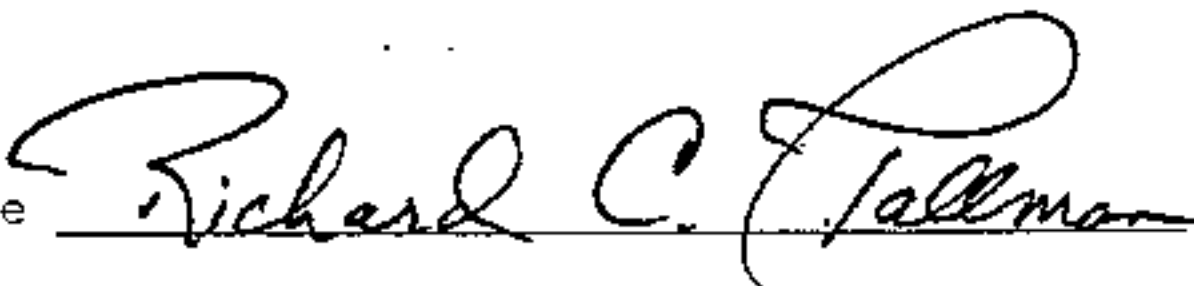
IX. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

10/20/99

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

NET WORTH

Richard C. and Cynthia O. Tallman

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	22,010			Notes payable to banks—secured	0		
U.S. Government securities—add schedule	0			Notes payable to banks—unsecured	0		
Listed securities—add schedule	699,593			Notes payable to relatives	0		
Unlisted securities—add schedule	0			Notes payable to others	0		
Accounts and notes receivable:	0			Accounts and bills due	0		
Due from relatives and friends				Unpaid income tax	0		
Due from others				Other unpaid tax and interest	3,503		
Doubtful				Real estate mortgages payable—add schedule	438,600		
Real estate owned—add schedule	570,000			Chattel mortgages and other liens payable	0		
Real estate mortgages receivable	0			Other debts—itemize:	0		
Autom and other personal property	80,500						
Cash value—life insurance	10,734						
Other assets—itemize:							
Cynthia's state retirement	112,503						
Richard's office equipment	11,202						
Richard's law firm cap. acct	10,000			Total Liabilities	442,103		
				Net Worth	1,074,439		
Total Assets	1,516,542			Total liabilities and net worth	1,516,542		
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor	none			Are any assets pledged? (Add schedule.)	No		
On leases or contracts	none			Are you defendant in any suits or legal actions?	yes, see schedule		
Legal Claims	none			Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax	9,500						
Other special debt	none						