

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes) ☐ Incumbent ☒ New Entrant, Nominee, or Candidate	Calendar Year Covered by Report	Termination Date (If Applicable) (Month, Day, Year)	Agency Use Only NOV 12 1999
Reporting Individual's Name	Last Name MOSS	First Name and Middle Initial RANDOLPH D.	OGE Use Only NOV 12 1999	
Position for Which Filing	Title of Position ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL COUNSEL	Department or Agency (If Applicable) U.S. DEPARTMENT OF JUSTICE	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.	
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code) 950 PENNSYLVANIA AVENUE, N.W. WASHINGTON, D.C. 20530	Telephone No. (Include Area Code) 202-514-2051		
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held ACTING ASSISTANT ATTORNEY GENERAL FOR THE OFFICE OF LEGAL COUNSEL (JULY 1998 - PRESENT)			
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination SENATE JUDICIARY COMMITTEE	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No		
Certification	Signature of Reporting Individual <i>Randolph D. Moss</i>		Date (Month, Day, Year) Oct. 4, 1999	
Other Review (If desired by agency)	Signature of Other Reviewer <i>Mary G. Grier</i>		Date (Month, Day, Year) 11/12/99	
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official <i>Louis G. Smith</i>		Date (Month, Day, Year) 11/12/99	
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature <i>Stephen D. Roth</i>		Date (Month, Day, Year) 11-16-99	
Office of Government Ethics Use Only 11/15/99 JMC				
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)				
(Check box if comments are continued on the reverse side) ☐				

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

1/91 Edition Can Be Used; Editions Prior to 1/91 Cannot Be Used.

Reporting Individual's Name		SCHEDULE A continued														Page Number												
RANDOLPH D. MOSS																4												
Block A		Block B							Block C																			
Assets and Income		Valuation of Assets							Income: Type										Amount									
Identify each asset held for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period. Identify each asset or source of income which generated over \$200 in income during the reporting period.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000	Actual Amount Only if "Other" specified	Date (Mo., Day, Yr.) Only if Honoraria
1	CHARTWELL RE CORP. COMMON	X							X				X				CO	X			X							
2	WILMER, CUTLER & PICKERING	X															LAW FIRM RETIREMENT/ WITHDRAWAL									\$72,376 WITHDRAWAL PAYMENTS		
3	LANDRY SEAFOOD REST. COMMON		X						X									X										
4	LONDON PACIFIC GROUP COMMON		X						X			X									X							
5	SCOTTISH ANNUITY & LIFE COMMON		X						X									X										
6	BAYCORP HOLDING LTD. Common ^{mb}			X					X									X										
7	BURLINGTON NORTHERN SANTA FE CORP. COMMON		X						X									X										
8	^{mb} Trust for Land Trust containing the following residential properties Alexandria VA					X				X													X					
9	Alexandria VA					X				X												X						
	Verndon VA				X					X												X						

Reporting Individual's Name RANDOLPH D. MOSS		SCHEDULE B					Page Number 5									
Part I: Transactions Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE. None ☐																
Identification of Assets				Transaction Type (x)		Date (Mo., Day, Yr.)	Amount of Transaction (x)							Certificate of Divestiture		
				Purchase	Sale		Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000		Over \$1,000,000	
Example: Central Airlines Common				x			2/1/91			x						
1 NOMINEE - NOT APPLICABLE																
2																
3																
4																
5																
Part II: Gifts, Reimbursements, and Travel Expenses For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling \$250 or more; and (2) travel-related cash reimbursements received from one source totaling \$250 or more. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$100 or less. See instructions for other exclusions. None ☐																
Source (Name and Address)				Brief Description					Value							
Example: Nat'l Assn. of Rock Collectors, NY, NY				Airline ticket, hotel room & meals incident to national conference 6/15/80 (personal activity unrelated to duty)					\$500							
Frank Jones, San Francisco, CA				Leather briefcase (personal friend)					\$200							
1 NOMINEE - NOT APPLICABLE																
2																
3																
4																
5																

Reporting Individual's Name RANDOLPH D. MOSS	SCHEDULE C	Page Number 6
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒ *md*

Creditors (Name and Address)		Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)							
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1981	13%	25 yrs.			x					
	John Jones, 123 J St., Washington, DC	Promissory note	1989	10%	on demand					x			
1	NONE <i>md</i>												
2													
3													
4													
5													

Part II: Agreements or Arrangements

Report your agreements or arrangements for:
(1) continuing participation in an employee benefit plan (e.g. pension, 401K, deferred compensation); (2) continuation of payment by a former employer (including severance payments);

(3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/91.	Doc Jones & Smith, Hometown, State	7/85
1	PURSUANT TO RETIREMENT/WITHDRAWAL PLAN, RECEIVED FIXED PAYMENTS. FINAL LUMP SUM PAID IN 1998.	WILMER, CUTLER & PICKERING WASHINGTON, D.C.	2/96
2	401K independently mgt by UMB Bank	Wilmer, Cutler & Pickering Washington D.C.	1/94
3			
4			
5			
6			

Reporting Individual's Name

RANDOLPH D. MOSS

SCHEDULE D

Page Number

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Organization (Name and Address)		Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Natl Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/82	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	11/91
1	LAND TRUST FOR THE BENEFIT OF VERA F. MORSE (PROPERTY IN ALEXANDRIA & HERNDON, VA)	TRUST TO HOLD PROPERTY	TRUSTEE	7/95	PRESENT
2					
3					
4					
5					
6					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

Source (Name and Address)		Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	NONE	
2		
3		
4		
5		
6		

NET WORTH

ASSETS				LIABILITIES			
Cash on hand and in banks	46	000	00	Notes payable to banks—secured		0	00
U.S. Government securities—add schedule				Notes payable to banks—unsecured		0	00
Listed securities—add schedule *	616	305	00	Notes payable to relatives		0	00
Unlisted securities—add schedule				Notes payable to others		0	00
Accounts and notes receivable:				Accounts and bills due	est 3	000	00
Due from relatives and friends		0	00	Unpaid income tax		0	00
Due from others		0	00	Other unpaid tax and interest		0	00
Doubtful		0	00	Real estate mortgages payable—add schedule	383	471	60
Real estate owned—add schedule	est. 650	000	00	Chattel mortgages and other liens payable		0	00
Real estate mortgages receivable		0	00	Other debts—itemize:		0	00
Autos and other personal property	est 60	000	00				
Cash value—life insurance		0	00				
Other assets—itemize:		0	00				
				Total liabilities	386	471	60
				Net worth	985	833	40
Total assets	1,372	305	00	Total liabilities and net worth	1,372	305	00
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		0	00	Are any assets pledged? (Add schedule.)			No
On leases or contracts		0	00	Are you defendant in any suits or legal actions? (private capacity)			No
Legal Claims		0	00	Have you ever taken bankruptcy?			No
Provision for Federal Income Tax		0	00				
Other special debt		0	00				

* Stocks and funds

Estimated Stock and Fund Holdings

Stock and Funds	Approximate Value
Aetna, Inc. Common	\$7,000
Baycorp Holding Ltd. Common	\$ 38,000
Dow Chemical Corporation Common	\$ 9,200
Exxon Corp. Common	\$ 33,000
Gannett Co. Common	\$ 15,200
IBM Common	\$ 17,600
Landry Seafood Restaurants Common	(sold)
London Pacific Group Common	\$ 11,800
Scottish Annuity & Life	\$ 8,000
Source Capital Common	\$ 8,100
Burlington Northern Santa Fe Corp. Common	\$ 4,000
Texaco Common	\$ 325
Newmont Mining Common	\$ 730
Fairfax Holding Contingent Rights	\$ 650
Vanguard Prime Money Market Fund	\$ 10,100
Vanguard Index 500 Fund	\$107,000
Schwab Federally Tax Exempt Money Fund	\$ 70,600
Schwab Money Fund	\$ 23,600
Dodge & Cox Fund (401k)	\$ 112,400
Value Line Fund (spouse 401k)	\$ 18,000
Federal Thrift Savings Plan	\$ 49,900
Federal Thrift Savings Plan (spouse)	\$ 50,900
ESG Re Limited	\$ 9,700
Redwood Trust Inc. REIT	\$ 10,500
Total	\$ 616,305

Estimated Cash and Bank Deposits

Cash and Bank Deposits	Approximate Value
Citibank	\$ 28,000
Citibank CD	\$ 8,000
First Union Bank	\$ 10,000
Total	\$ 46,000

Home

GE Capital Mortgage Service P.O. Box 7999 Philadelphia, PA 19101 (30 yr. mortgage/approx. 24 yrs. remaining)	\$ 383,471.60 (remaining principal due)
Value of Property	\$ 650,000.00 (est. resale value)