

Financial Disclosure Incomplete

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Surrick, Richard B.

4/12/00

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements.)

1	Exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts.)

1	Exempt		\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities.)

1	PNC Bank (J)	Line of Credit	K
2	AMS Education Loan Trust	Education Loans	K
3	Academic Financial Services Assoc.	Education Loan	J
4			
5			
6			

*Value Codes: F=\$1,000 or less; G=\$500,001-\$1,000,000; H=\$1,000,001-\$5,000,000; I=\$5,000,001-\$25,000,000; J=\$25,000,001-\$50,000,000; K=\$10,001-\$50,000; L=\$50,001-\$100,000; M=\$100,001-\$250,000; N=\$250,001-\$500,000; O=\$500,001-\$1,000,000; P1=\$1,000,001-\$5,000,000; P2=\$5,000,001-\$25,000,000; P3=\$25,000,001-\$50,000,000; P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code 1 (A-H)	Type (e.g., div., rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									Exempt
1 Commonwealth of PA State Employees Retirement System	None		P1	W					
2 Equi-Vest Retirement Program (S)	None		K	W					
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) E=\$50,001-\$100,000

B=\$1,001-\$2,500 G=\$100,001-\$1,000,000

C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000 H2=More than \$5,000,000

E=\$15,001-\$50,000

2 Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000

K=\$15,001-\$50,000 O=\$500,001-\$1,000,000

L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000

M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000

3 Value/Method Codes: Q=Appraisal (See Col. C2) U=Book value

R=Cost (real estate only) V=Other

S=Assessment W=Estimated

T=Cash/Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

4/12/00

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT
NET WORTH (4/1/00)

ASSETS		LIABILITIES	
Cash on hand and in banks (PNC Checking Account)	7,306.36	Notes payable to banks -- secured	None
U.S. Government securities -- add schedule	None	Notes payable to banks -- unsecured PNC Bank	24,500.00
Listed securities -- add schedule	None	Notes payable to relatives	None
Unlisted securities -- add schedule	None	Notes payable to others	
		-Sallie Mae -- AMS Education Loan Trust (as of 7/11/99)	45,351.39
		-Columbia University	13,641.59
Accounts and notes receivables	None	Accounts and bills due	
Due from relatives and friends	---	-Franklin Mint (auto loan)	3,929.26
Due from others	---	-First USA Credit Card,	3,856.75
Doubtful	---	-PNC Credit Card	1,910.56
		-American Express	2,272.62
Real estate owned -- add schedule	346,880.00	Unpaid income tax	None
Real estate mortgages receivable	None	Other unpaid tax and interest	None
Autos and other personal property	25,000.00	Real estate mortgages payable -- add schedule (Franklin Mint Federal Credit Union)	231,103.74
Cash value -- life insurance	None	Chattel mortgages and other liens payable	None
Other assets -- itemize:	None	Other debts -- itemize:	None
Total Assets:	379,186.36	Total Liabilities:	326,565.91
		Net Worth:	52,620.45
		Total liabilities and net worth:	379,186.36
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, co-maker or guarantor	None	Are any assets pledged? (Add schedule)	No
On leases or contracts	None	Are you defendant in any suits or legal actions?	No
Legal claims	None	Have you ever taken bankruptcy?	No
Provision for Federal Income Tax	None		
Other special debt	None		