

FINANCIAL DISCLOSURE REPORT
Nomination ReportReport required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Eagan, Claire V.	2. Court or Organization USDC/N. Dist. of Oklahoma	3. Date of Report 08/06/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5. Report Type (check type) ☒ Nomination, Date 08/02/2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 07/31/2001
7. Chambers or Office Address United States Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 Trustee	Trust #1 (See #17 in Part VII and Note in Part VIII)
2 Trustee	Trust #2 (See #18 in Part VII and Note in Part VIII)
3	
4	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 2001	University of Tulsa College of Law	\$1,500
2 2001	Advanced Medical Instruments, Inc.	
3 2000	Advanced Medical Instruments, Inc.	
4 1999	Advanced Medical Instruments, Inc.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	EXEMPT		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE
☐	NONE (No reportable liabilities.)		
1	Homeside Lending, Inc.	Mortgage loan on parents' residence	L
2			
3			
4			
5			
6			

* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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(includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	EXEMPT		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE
☐	NONE (No reportable liabilities.)		
1	Homeside Lending, Inc.	Mortgage loan on parents' residence	L
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* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Eagan, Claire V.

Date of Report
08/06/2001

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure				
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions.)					EXEMPT	-	-	-	-	EXEMPT
1 Investor Fund / Bank of Oklahoma, N.A.	A	Dividend	J	T						
2 Property #1 - Tulsa, OK.		None	L	W						
3 Property #2 - Highland Beach, FL		None	M	W						
4 Exxon Corp. - Common	A	Dividend	K	T						
5 The Williams Cos. - Common (-0- Ending Value)	E	Dividend		T						
6 Merrill Lynch Managed IRA #1 (#7-11 below) (See VIII)										
7 Alliance Growth Fund (-0- Ending Value)	A	Dividend		T						
8 Davis New York Venture Fund (-0- Ending Value)	A	Dividend		T						
9 MFS Emerging Growth Fund (-0- Ending Value)	A	Dividend		T						
10 Ivy International Fund (-0- Ending Value)	A	Dividend		T						
11 Merrill Lynch Global Allocation Fund (-0- End Val)	A	Dividend		T						
12 Merrill Lynch Managed IRA #2 (#13-16 below) (See VIII)										
13 Merrill Lynch Global Allocation Fund (-0- End Val)		None		T						
14 Evergreen High Yield Bond Fund (-0- Ending Value)		None		T						
15 Cash & Money Funds (-0- Ending Value)		None		T						
16 Merrill Lynch CMA Acct., Cash Management Fund	A	Interest	J	T						
17 Trust #1 (See Note in VIII)		None	J	T	↓					↓

1 Inc/Gain Codes: A=\$1,000 or less
(Col. B1, D4)

F=\$50,001-\$100,000

B=\$1,001-\$2,500

G=\$100,001-\$1,000,000

C=\$2,501-\$5,000

H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000

H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less
(Col. C1, D3)

O=\$500,001-\$1,000,000

K=\$15,001-\$50,000

P1=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000

P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000

P3=\$25,000,001-\$50,000,000

N=\$250,001-\$500,000

P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal
(Col. C2)

U=Book Value

R=Cost (real estate only)

V=Other

S=Assessment

W=Estimated

T=Cash/Market

Name of Person Reporting
Eagan, Claire V.

Date of Report
08/06/2001

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure				
							(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)	
NONE (No reportable income, assets, or transactions.)						EXEMPT -	-	-	-	-	EXEMPT
35 Fremont Inst. U.S. Micro		D	Dividend	K	T						
36 Schwab One Inv. Acct. (#2) (#37-45 below) (See VIII)											
37 Hibernia Corp. (-0- Ending Value)		A	Dividend		T						
38 Abgenix Inc.			None	K	T						
39 Incyte Pharmaceuticals (-0- Ending Value) (See VIII)			None		T						
40 PE Corp-Celera Genomics (See Note in VIII)			None	J	T						
41 Money Market Fund		A	Interest	J	T						
42 Geron Corp. (-0- Ending Value)			None		T						
43 Charles Schwab Corp. (-0- Ending Value)		A	Dividend		T						
44 Williams Communications Group A			None	K	T						
45 XETA Technologies (-0- Ending Value)			None		T						
46 Genvec			None	J	T						
47 Schwab One IRA - (#3) (See #48-50 below) (See VIII)											
48 Schwab Money Market		A	Dividend	J	T						
49 Fremont U.S. Microcap		D	Dividend	K	T						
50 Rydex Biotechnology			None	K	T						
51											

1 Inc/Gain Codes: A=\$1,000 or less Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market			

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	\$ 9,095	Notes payable to banks - secured	
U.S. Government securities - add schedule		Notes payable to banks - unsecured	
Listed securities - add schedule	\$ 80,815	Notes payable to relatives	
Unlisted securities - add schedule		Notes payable to others	
Accounts and notes receivable:		Accounts and bills due	
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable - Add schedule	\$ 171,700
Real estate owned - add schedule	\$ 510,000	Chattel mortgages and other lists payable	
Real estate mortgages receivable		Other debts - itemize	
Autos and other personal property	\$ 57,000	Credit card	
Cash value - life insurance			
Other assets - See Schedule	\$ 809,701		
		Total Liabilities	\$ 171,700
		Net Worth	\$1,294,911
Total Assets	\$1,466,611	Total Liabilities and Net Worth	\$1,466,611
CONTINGENT LIABILITIES	None	GENERAL INFORMATION	
As endorser, comaker or guarantor		Are any assets pledged? (Add schedule)	Yes
On leases or contracts		Are you defendant in any suits or legal actions?	No
Legal Claims		Have you ever taken bankruptcy?	No
Provision for Federal Income Tax			
Other special debt			