

FINANCIAL DISCLOSURE REPORT
Nomination Report

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
Friot, Stephen P.	District Court - W.D. of Okla.	08/06/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. Report Type (check type)	6. Reporting Period
U.S. District Judge (Nominee)	X Nomination, Date 08/02/2001 Initial Annual Final	01/01/2000 to 08/01/2001
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.	
101 Park Avenue, Suite 700 Oklahoma City, OK 73102-7283	Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION / ENTITY

☐ **NONE** (No reportable positions.)

1	Partner	Spradling, Alpern, Friot & Gum, L.L.P.
2	Trustee	Oklahoma Housing Finance Agency
3	Director	Central Oklahoma Habitat for Humanity, Inc.

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ **NONE** (No reportable agreements.)

1	2001	Spradling, Alpern, Friot & Gum, L.L.P. - See Explanatory Comments.
2	2001	KEOGH/401(K) Plan - See Explanatory Comments.
3		

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

☐ **NONE** (No reportable non-investment income.)

1	1999	Spradling, Alpern, Friot & Gum, L.L.P.	\$186,359
2	2000	Spradling, Alpern, Friot & Gum, L.L.P.	\$220,051
3	2001	Spradling, Alpern, Friot & Gum, L.L.P.	\$124,000 (est.)
4	1999	Westminster School	

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

SOURCE

DESCRIPTION

☒ NONE (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒ NONE (No such reportable gifts.)

2

3

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐ NONE (No reportable liabilities.)

1 Charles Schwab & Co., Inc.

Margin Account

K

2

3

4

5

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure.	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 Rental Prop, OKC, OK	C	Rent	K	W	Exempt				
2 Local Federal Bank	A	Interest	J	T	Exempt				
3 Transocean Common	A	Dividend	J	T	Exempt				
4 Deere & Co. Common	A	Dividend	J	T	Exempt				
5 Schlumberger Common	A	Dividend	J	T	Exempt				
6 CMI Corp Common	A	Dividend	J	T	Exempt				
7 Medtronic Common	A	Dividend	L	T	Exempt				
8 Compaq Common	A	Dividend	J	T	Exempt				
9 Intel Common	A	Dividend	M	T	Exempt				
10 Oracle Common	A	Dividend	J	T	Exempt				
11 Am. Cent Ultra Fund	A	Dividend	J	T	Exempt				
12 Wm Blair Growth Fund	A	Dividend	J	T	Exempt				
13 Templeton Growth Fund	B	Dividend	J	T	Exempt				
14 Salomon S-B IRA - SPF									
15 --Transocean Common	A	Dividend	J	T	Exempt				
16 --At Home Common	A	Dividend	J	T	Exempt				
17 --Comcast Common	A	Dividend	J	T	Exempt				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
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2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
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3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market
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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
18 --Corus Ent. Common	A	Dividend	J	T	Exempt				
19 --Cox Comm. Common	A	Dividend	J	T	Exempt				
20 --Schlumberger Com.	A	Dividend	J	T	Exempt				
21 --Shaw Comm. Common	A	Dividend	J	T	Exempt				
22 --Aim Constellation Fnd	C	Dividend	J	T	Exempt				
23 --EuroPacific Growth Fnd	A	Dividend	K	T	Exempt				
24 --Hancock Strategic Inc	A	Dividend	J	T	Exempt				
25 --S-B International	B	Dividend	J	T	Exempt				
26 Salomon S-B IRA - NRF									
27 --Transocean Common	A	Dividend	J	T	Exempt				
28 --At Home Common	A	Dividend	J	T	Exempt				
29 --Comcast Common	A	Dividend	J	T	Exempt				
30 --Corus Ent. Common	A	Dividend	J	T	Exempt				
31 --Cox Comm. Common	A	Dividend	J	T	Exempt				
32 --Schlumberger Com.	A	Dividend	J	T	Exempt				
33 --Shaw Comm. Common	A	Dividend	J	T	Exempt				
34 --Aim Constellation Fnd	C	Dividend	J	T	Exempt				
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000									
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 N=\$250,001-\$500,000 P4=\$50,000,001 or more									
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VII. Page 3 INVESTMENTS and TRUSTS-- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

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NONE (No reportable income, assets, or transactions.)									
35 --EuroPacific Growth Fnd	A	Dividend	K	T	Exempt				
36 --Hancock Strategic Inc	A	Dividend	J	T	Exempt				
37 --S-B International	B	Dividend	J	T	Exempt				
38 ABRA (KEOGH/401(K))									
39 --Intermediate Bond		None	M	T	Exempt				
40 --Balanced Fund		None	M	T	Exempt				
41 --Growth Equity		None	M	T	Exempt				
42 --T. Rowe Price Int. Stk	C	Dividend	K	T	Exempt				
43 TIAB/CREF		None	L	T	Exempt				
44									
45									
46									
47									
48									
49									
50									
51									
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2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more									
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