

FINANCIAL DISCLOSURE REPORT
Nomination Report

1. Person Reporting (Last name, first, middle initial) Heaton, Joe L.	2. Court or Organization Western District of Oklahoma	3. Date of Report 08/01/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - nominee	5. Report Type (check type) X Nomination, Date 08/02/2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 08/01/2001
7. Chambers or Office Address 210 Park Avenue Suite 400 Oklahoma City, Oklahoma 73102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 General Partner	Fuller & Tubb, a partnership (inactive investment partnership; sole asset is interest in VMS Residential Portfolio I)
2 Director/President	Hunters Edge Homeowners Association
3 Custodian UGMA	Brokerage accounts for minor children; bank accounts for minor children

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 N/A	Oklahoma Public Employees Retirement System - vested. Eligible at age 62.
2 N/A	U. S. Government (Thrift Savings Plan; retirement & other employee benefits)
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☒ NONE (No reportable non-investment income.)		
1 None		
2		
3		
4		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	EXEMPT		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure.	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Duke Energy common stock	B	Dividend	I	T	Exempt				
2 Xcel Energy common stock	B	Dividend	K	T	Exempt				
3 Oil & gas working interest; see note.	C	Royalty	J	V	Exempt				
4 Fuller & Tubb partnership (inactive invest. part.)		None			Exempt				
5 - VMS Nat. Resident. Port. I (owned by Fuller & Tubb part.)		None			Exempt				
6 Residual beneficial interest - trust estate of great aunt	A	Interest	J	W	Exempt				
7 Smith Barney money funds	A	Dividend	K	T	Exempt				
8 Smith Barney Large Cap Value Fund	D	Dividend	L	T	Exempt				
9 Investment Company of America	D	Dividend	L	T	Exempt				
10 Texas State Veterans land bonds	B	Interest	J	T	Exempt				
11 TRAK Invest. Advisory Service (Smith Barney funds)	D	Dividend			Exempt				
12 Okla. Dev. Finance Auth. bonds (St. John's Health System)	B	Interest	K	T	Exempt				
13 Smith Barney Prem. Sel. All Cap Growth Fund		None	K	T	Exempt				
14 Bank of Oklahoma checking accounts		None	J	T	Exempt				
15 323 East Mosier Limited Partnership, ltd. part. int.	B	Rent	M	U	Exempt				
16 Masterpiece Properties LP - ltd. part. interest	B	Rent	L	U	Exempt				
17 Meadowood II LP, ltd. part. int. real est devel, Cleveland Co	A	Rent	M	U	Exempt				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)		R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

VII. Page 2 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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☐ NONE (No reportable income, assets, or transactions.)										
18	Boydview Corp. common stock	A	Dividend	K	U	Exempt				
19	Hollywood Shopping Center LLC, Norman, OK	D	Rent	J	U	Exempt				
20	West Oaks Rentals, gen. part., Norman, OK office buidling	A	Rent	J	U	Exempt				
21	Norman Builders Supply common stock		None	K	U	Exempt				
22	Norman Construction & Paving common stock		None	J	U	Exempt				
23	Individual Retirement Trust #1	E	Dividend	M	T	Exempt				
24	- Smith Barney Large Cap Value Fund									
25	- Amcap Fund									
26	- Investment Company of America									
27	- TRAK funds									
28	- Smith Barney Small Cap Growth Fund									
29	- Smith Barney Small Cap Value Fund									
30	- Smith Barney Intern. Aggressive Growth Fund									
31	- Smith Barney Large Cap Growth Fund									
32	- Smith Barney Intern. All Cap Growth Fund									
33	- Smith Barney Prem. Sel. All Cap Growth Fund									
34	- Smith Barney money funds									

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Name of Person Reporting
Heaton, Joe L.

Date of Report
08/01/2001

VII. Page 3 INVESTMENTS and TRUSTS— income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

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35 Individual Retirement Trust #2	D	Dividend	M	T	Exempt				
36 - Smith Barney Large Cap Value Fund									
37 - Amcap Fund									
38 - Investment Company of America									
39 - Smith Barney Small Cap Growth Fund									
40 - Smith Barney Small Cap Value Fund									
41 - Smith Barney Intern. Aggressive Growth fund									
42 - Smith Barney Large Cap Growth Fund									
43 - Smith Barney Intern. All Cap Growth Fund									
44 - Smith Barney money funds									
45 - Smith Barney Prem. Sel. All Cap Growth Fund									
46 Custodial Account #1	D		M	T	Exempt				
47 - Smith Barney moneys funds									
48 - Bank of Oklahoma savings account									
49 - Smith Barney Large Cap Value Fund									
50 - Growth Fund of America									
51 - Smith Barney Small Cap Growth Fund									
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52 - 323 East Mosier LP, ltd. part. interest									
53 - Masterpiece Properties LP, ltd. part. interest									
54 - Meadowood II LP, ltd. part. interest									
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56 Custodial Account #2	D		M	T	Exempt				
57 - Smith Barney money funds									
58 - Bank of Oklahoma savings account									
59 - Smith Barney Large Cap Value Fund									
60 - Growth Fund of America									
61 - 323 East Mosier LP, ltd. part. interest									
62 - Masterpiece Properties LP, ltd. part. interest									
63 - Meadowood II LP, ltd. part. interest									
64									
65									
66									
67									
68									

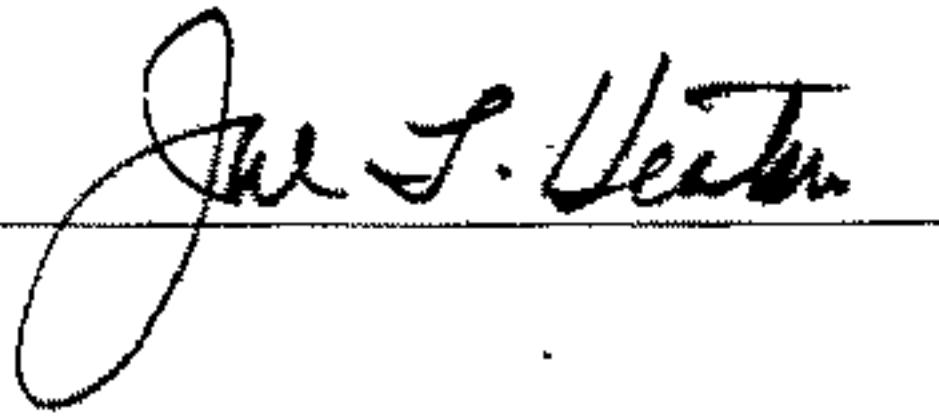
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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 301 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

8/6/01

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544