

FINANCIAL DISCLOSURE REPORT
Nomination ReportReport required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Bates, John D.	2. Court or Organization Dist. Ct. - District of Columb	3. Date of Report 06/20/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. Report Type (check type) X Nomination, Date 06/20/2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 05/31/2001
7. Chambers or Office Address Miller & Chevalier, Chartered 655 15th St., N.W., Ste. 900 Washington, D.C. 20005	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION / ENTITY

☐ NONE (No reportable positions.)

1	Member	Miller & Chevalier, Chartered
2	Member, Board of Directors	Washington Lawyers' Committee for Civil Rights and Urban Affairs
3		

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ NONE (No reportable agreements.)

1	NONE -- No reportable agreements
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐ NONE (No reportable non-investment income.)

1	1999	Miller & Chevalier	231,000
2	1999	United States - retirement annuity	36,000
	2000	Miller & Chevalier	273,000
4	2000	United States -- retirement annuity	36,000

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SECTION HEADING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
5	2001	Miller & Chevalier	115,000
6	2001	United States -- retirement annuity	15,000
7	1999	Steptoe & Johnson	
8	2000	Steptoe & Johnson	
9	2000	Georgetown University Law School	
10	2001	Steptoe & Johnson	
11	2001	Georgetown University Law School	

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(Includes those of spouse and

dependent children. See pp. 36-54 of Instructions.)

II. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure.		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
							(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)						EXEMPT				
1 Union First Account		A	Interest	K	T					
2 Sun Trust Accounts		A	Interest	J	T					
3 Fidelity Invest. -- Roth IRA		A	Interest	J	T					
4 City Nat'l Invest. Account										
5 --AIM Const. Fund #2		A	Dividend	L	T					
6 --Alliance Tech. FD/CL		A	Dividend	K	T					
7 --EFT UT Strategic Ser		B	Dividend	K	T					
8 --EIF UT Select Ten Dow		A	Dividend	K	T					
9 --EIF UT Select Ten Port		A	Dividend	J	T					
10 --Invest. Co. of Amer. #004		C	Dividend	M	T					
11 --Putnam Health Sciences		A	Dividend	L	T					
12 --Wash. Mutual Invest.		B	Dividend	M	T					
13 --Smith Barney MNY FD		A	Dividend	J	T					
14 --Fidelity Adv Growth & Inc		A	Dividend	J	T					
15 --CNI Charter PrimeMny Fd		A	Dividend	J	T					
16 Fidelity Invests. Account										
17 --Fidelity Blue Chip Growth		C	Dividend	L	T					

Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2)		R=Cost (real estate only) U=Book Value V=Other		S=Assessment W=Estimated		T=Cash/Market			

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)					EXEMPT				
18 --Fidelity Dividend Growth	C	Dividend	L	T					
19 American Funds Account									
20 --Invest. Co. of Amer.	A	Dividend	K	T					
21 --New Economy Fund	A	Dividend	K	T					
22 Salomon Smith Barney Joint									
23 --Citibank Money Fund	B	Interest	J	T					
24 --AIM Aggres. Growth	A	Dividend	J	T					
25 --AIM Const. Fund C	A	Dividend	J	T					
26 --GE Life & Annuity	A	Dividend	J	T					
27 --Transamerica Life Annuity	A	Dividend	J	T					
28 --Keyport Life Annuity	A	Dividend	J	T					
29 --Maricopa Co., AZ Bond	D	Interest	L	T					
30 --MD State Health & Ed Bond	A	Interest	K	T					
31 Salomon Smith Barney IRA									
32 --Alliance Tech FD C	A	Dividend	K	T					
33 --Fidelity Adv. Tech Fund	A	Dividend	K	T					
34 --CG Cap'l Mkts-Lg Cap Val.	B	Dividend	K	T					

Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
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VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)					EXEMPT				
35 --CG Cap'l Mkts-Sm Cap Val.	A	Dividend	K	T					
36 --CG Cap'l Mkts-Emerg.	A	Dividend	J	T					
37 --CG Cap'l Mkts Int'l	A	Dividend	K	T					
38 --CG Cap'l Mkts Lg Cap Growth	A	Dividend	K	T					
39 --CG Cap'l Mkts Sm Cap Gr.	A	Dividend	J	T					
40 Salomon Smith Barney IRA									
41 --CG Cap'l Mkts-Lg Cap Val	A	Dividend	J	T					
42 --CG Cap'l Mkts-Sm Cap Val	A	Dividend	J	T					
43 --CG Cap'l Mkts-Emerg.	A	Dividend	J	T					
44 --CG Cap'l Mkts-Int'l	A	Dividend	J	T					
45 --CG Cap'l Mkts-Lg Cap Gr.	A	Dividend	J	T					
46 --CG Cap'l Mkts-Sm Cap Gr.	A	Dividend	J	T					
47 Salomon Smith Barney - I									
48 --Strips Tint-US Treas	A	Interest	J	T					
49 Salomon Smith Barney - B									
50 --Invest Co. of Amer.	B	Dividend	J	T					
51 --CG Cap'l Mkts-Lg Cap Val	B	Dividend	J	T					

Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

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06/20/2001VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)					EXEMPT				
52 --CG Cap'l Mkts-Sm Cap Val	A	Dividend	J	T					
53 --CG Cap'l Mkts-Emerg	A	Dividend	J	T					
54 --CG Cap'l Mkts-Int'l	A	Dividend	J	T					
55 --CG Cap'l Mkts-Lg Cap Gr.	A	Dividend	J	T					
56 --CG Cap'l Mkts-Sm Cap Gr.	A	Dividend	J	T					
57 Salomon Smith Barney - K									
58 --AIM Const. Fund	B	Dividend	K	T					
59 --Invest. Co. of Amer.	A	Dividend	J	T					
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62									
63									
64									
65									
66									
67									
68									

1/2 Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: (Col. C1, D3)	J=\$15,000 or less O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
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III. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

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CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

6/22/01

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544