

FINANCIAL DISCLOSURE REPORT
Nomination ReportReport required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. n Reporting (Last name, first, middle initial) Johnson, William P.	2. Court or Organization District Court - New Mexico	3. Date of Report 08/03/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Nominee	5. Report Type (check type) X Nomination, Date 08/02/2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 07/31/2001
7. Chambers or Office Address Chaves County Courthouse P.O. Box 1776 Roswell, NM 88202-1776	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 State District Judge	Fifth Judicial District, State of New Mexico
2 Vice-Chairman	Governor's Juvenile Justice Advisory Committee
Chairman	Board of Trustees (Directors), Camp Sierra Blanca, a non-profit entity

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2000-01	State Employees Pension Fund; pension upon retirement, no control
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 1999	State of New Mexico, District Judge Salary	79,174.83
2 2000	State of New Mexico, District Judge Salary	79,463.23
6/30/01	State of New Mexico, District Judge Salary	40,796.08
4 2000	State of New Mexico	

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	EXEMPT		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	G.E. Capital Mortgage Services, Inc.	Mortgage on rental house, Albuquerque, NM	M
2			
3			
4			
5			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions *dependent children. See pp. 36-54 of instructions.*

A Description of Assets, (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Rental House, Albuquerque, NM	D	Rent	M	Q	EXEMPT				
2 Brokerage Account					EXEMPT				
3 IBM Common Stock	A	Dividend	K	T	EXEMPT				
4 Merrill Lynch Banking Advantage	A	Interest	J	T	EXEMPT				
5 Merrill Lynch IRA					EXEMPT				
6 Merrill Lynch Bank USA	B	None	J	T	EXEMPT				
7 Amazon Inc. common stock		None	J	T	EXEMPT				
8 AOL Time Warner common stock		None	K	T	EXEMPT				
9 Apache Corp. common stock	A	Dividend	J	T	EXEMPT				
10 Ascential Corp. common stock		None	J	T	EXEMPT				
11 AT&T Wireless common stock		None	J	T	EXEMPT				
12 At Home (ATHM) common stock		None	J	T	EXEMPT				
13 AT&T common stock	A	Dividend	J	T	EXEMPT				
14 Atmel Corp. common stock		None	J	T	EXEMPT				
15 Avaya Inc. common stock		None	J	T	EXEMPT				
16 Biogen Inc. common stock		None	J	T	EXEMPT				
17 Caldera International Inc. common stock		None	J	T	EXEMPT				

Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

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VII Page 2 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
18 CISCO Inc. common stock		None	J	T	EXEMPT				
19 Dell Corp. common stock		None	J	T	EXEMPT				
20 EMC Corp. common stock		None	J	T	EXEMPT				
21 E Trade Inc. common stock		None	J	T	EXEMPT				
22 LM Ericsson Tel. American Depository Receipt		None	J	T	EXEMPT				
23 Gateway Inc. common stock		None	J	T	EXEMPT				
24 Intel Corp. common stock	A	Dividend	J	T	EXEMPT				
25 Loral (LOR) common stock		None	J	T	EXEMPT				
26 Lucent Inc. common stock	A	Dividend	J	T	EXEMPT				
27 McData Corp. common stock		None	J	T	EXEMPT				
28 Merrill Lynch common stock	A	Dividend	J	T	EXEMPT				
29 Microsoft Corp. common stock		None	J	T	EXEMPT				
30 Motorola Inc. common stock	A	Dividend	J	T	EXEMPT				
31 Network Assoc. common stock		None	J	T	EXEMPT				
32 Nokia Corp. American Depository Receipt	A	Dividend	J	T	EXEMPT				
33 Nortel Corp.	A	Dividend	J	T	EXEMPT				
34 Novell Inc. common stock		None	J	T	EXEMPT				

1 Val Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more	2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more	3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated
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08/03/2001VII. Page 3 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

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☐ NONE (No reportable income, assets, or transactions.)									
35 Oracle Corp. common stock		None	J	T	EXEMPT				
36 Pacific Cent. (PCWKF) foreign stock		None	J	T	EXEMPT				
37 Phillips Petr. common stock	A	Dividend	J	T	EXEMPT				
38 Safelink Corp. common stock		None	J	T	EXEMPT				
39 Sun Microsystems com. stock		None	J	T	EXEMPT				
40 Teradyne Inc. common stock		None	J	T	EXEMPT				
41 Terayon Comm. common stock		None	J	T	EXEMPT				
42 Walmart Inc. common stock	A	Dividend	J	T	EXEMPT				
43 Williams Cos. (WGS) com. stock		None	J	T	EXEMPT				
44 Williams Comp. common stock	A	Dividend	J	T	EXEMPT				
45 XYBR Corp. common stock		None	J	T	EXEMPT				
46 Nuveen Ritten. Growth Fund	A	Dividend	J	T	EXEMPT				
47 Custodial Account #1					EXEMPT				
48 American Century Select Fund	A	Dividend	J	T	EXEMPT				
49 Custodial Account #2					EXEMPT				
50 American Century Select Fund	A	Dividend	J	T	EXEMPT				
51 Custodial Account #3					EXEMPT				

1 Val Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
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						(2) Date: Month- Day	(3) Value Code (J-F)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
52 Paine Webber zero coup. bond		None	J	T	EXEMPT				
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1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
J=\$50,001-\$100,000 F=\$100,001-\$1,000,000 G=\$1,000,001-\$5,000,000 H1=\$5,000,001 or more2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
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SECTION HEADING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

1. POSITIONS (cont'd.)

Line	Position	Name of Organization/Entity
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4	Vice-Chairman	Program Development Cmte., Associated Marine Institutes, a non-profit entity
5	Custodian	Two college savings accounts

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
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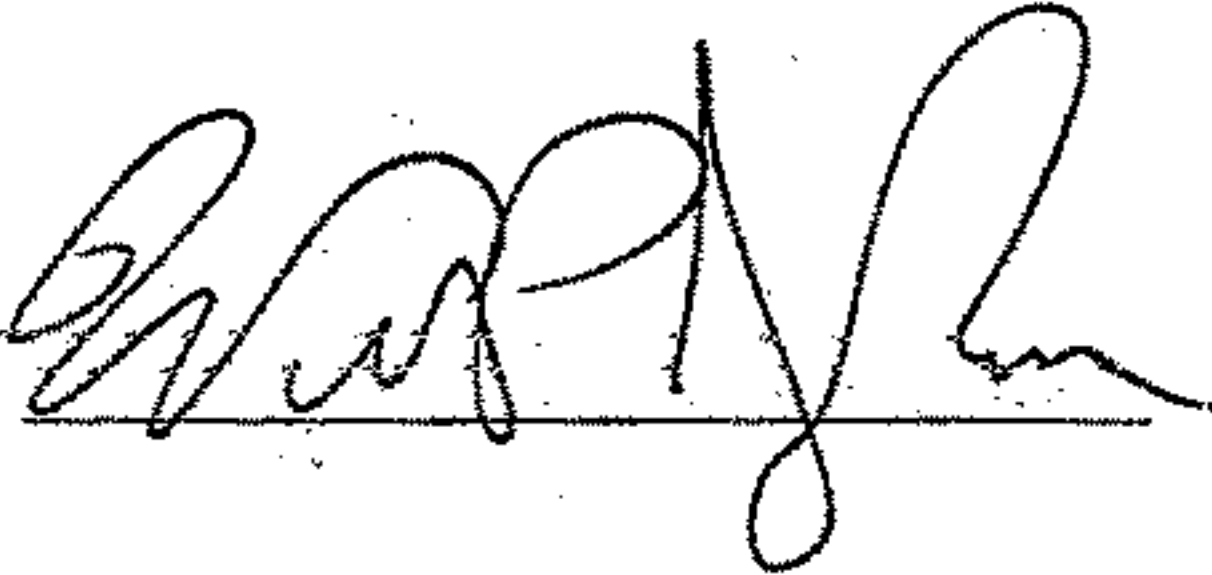
5	6/30/01	State of New Mexico	
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08/03/2001**IX. CERTIFICATION**

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

8/6/01

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL STATEMENT NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

As of 6/20/01

ASSETS		LIABILITIES	
Cash on hand and in banks	\$ 2,623.00	Notes payable to banks - secured	\$ 14,000.00
U. S. Government securities-add schedule	0	Notes payable to banks-unsecured	0
Listed securities - add schedule	0	Notes payable to relatives	0
Unlisted securities - add schedule	0	Notes payable to others	0
Accounts and notes receivable:	0	Accounts and bills due	0
Due from relatives and friends	0	Unpaid income tax	0
Due from others	0	Other unpaid income and interest	0
Doubtful	0	Real estate mortgages payable - add schedule (see below)	0
Real estate owned - add schedule	\$112,500.00	Chattel mortgages and other liens payable	0
Real estate mortgages receivable	0	Other debts - itemize:	
Autos and other personal property	\$ 20,000.00	Personal residence mortgage	\$ 78,858.00
Cash value - life insurance	0	Home Equity Line/Credit Loan	\$ 9,566.00
Other assets itemize: See schedule	\$157,005.00		
		Total liabilities	\$ 108,424.00
		Net Worth	\$ 269,204.00
Total Assets	\$377,628.00	Total liabilities and net worth	\$ 377,628.00
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, comaker or guarantor	0	Are any assets pledged? (Add schedule) 1998 Ford Expedition pledged to secure car loan. Personal residence is pledged to secure mortgage debt and home equity line of credit.	
On leases or contracts	0	Are you defendant in any suits or legal actions?	No
Legal Claims	0	Have you ever taken bankruptcy?	No
Provision for Federal Income Tax	0		
Other special debt	0		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Inc. part of report.)

The liability referenced in Part VI was paid in full and asset #1 in Part VII was disposed of in June of 2000 when the rental house in Albuquerque was sold.