

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duval, Stanwood R.	2. Court or Organization U. S. District Court, Eastern District of Louisiana	3. Date of Report 02/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 01/31/2017
7. Chambers or Office Address U. S. District Court 500 Poydras St., Rm. C-368 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Covenant House, Non-Profit Organization
2. Board Member	New Orleans, Shakespeare Festival at Tulane, Non-Profit Organization
3. Board Member	KIPP New Orleans Charter Schools
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel #2, Terrebonne Parish, LA		None	J	W					
2. Whitney Bank (Accounts)	A	Interest	M	T					
3. Judicial & Justice Fed. Credit Union (Accounts)		None			Closed	12/01/16	J		
4. Mineral Interest #1, Terrebonne Parish, LA		None	J	W					
5. Mineral Interest #2, Terrebonne Parish, LA		None	J	W					
6. IRA #1 (H)		None							
7. -Wells Fargo Sweep Account		None			Closed	01/03/17	K		
8. - ALLY BANK CD	A	Interest	K	T					
9. - AMERICAN EXP CENT BK CD	A	Interest	K	T					
10. - GOLDMAN SACHS BK USA CD	A	Interest	K	T	Redeemed (part)	11/25/16	K	A	
11. IRA #2 (H)		None							
12. - Raymond James Citibank - Sweep Acct	A	Interest	J	T					
13. - EFA	B	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
14.					Buy (add'l)	02/12/16	J		
15.					Buy (add'l)	01/11/17	J		
16. - NOBL	A	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
17.					Buy (add'l)	02/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - SDY	B	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
19.					Buy (add'l)	02/12/16	J		
20. - VIG	A	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
21.					Buy (add'l)	01/12/16	J		
22. - VWO	B	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
23.					Buy (add'l)	02/12/16	J		
24. Raymond James Bank Deposit Prog a/k/a Fixed Income (H)		None							
25. - Raymond James Citibank n/k/a American Express Centurion	A	Interest	L	T					
26. - Raymond James Bank NA		None			Closed	05/20/16	J		
27. - Barclays Bank PLC 3Y Bond	A	Interest	J	T	Buy	05/20/16	J		
28. - JP Morgan Chase Financial Bond	A	Interest	J	T	Buy	06/17/16	J		
29. - Wells Fargo & Co Bond	A	Interest	J	T	Buy	06/17/16	J		
30. - Ally Bank Midvale, UT CD	A	Interest	K	T	Buy	08/01/16	K		
31. - AFLAC Inc. PFD	B	Interest	K	T	Buy	03/31/16	K		
32. - ALLSTATE Corp PFD	B	Interest	K	T	Buy	03/31/16	K		
33. - Assured Guaranty Municipal Holdings CQUIBS	B	Interest	K	T	Buy	03/31/16	K		
34. - Entergy Texas Inc 1st Mtg BD	B	Interest	K	T	Buy	03/31/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Goldman Sachs Group, Inc PFD A	B	Interest	K	T	Buy	03/31/16	K		
36. - US Bancorp Perpetual Fixed to Float PFD	B	Interest	K	T	Buy	03/31/16	K		
37. - Wells Fargo Bank NA Bond	A	Interest	J	T	Buy	01/17/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS

Line 11 - IRA #2 is the sole financial interest of my spouse, of which I do not derive or expect to derive a benefit (details on line 12 to 23)

Line 24 - Raymond James Bank Deposit Program (Fixed Income) is the sole financial interest of my spouse, of which I do not derive or expect to derive a benefit. (details on line 25 to 37)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stanwood R. Duval**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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