

FINANCIAL DISCLOSURE REPORT
Nomination Report

Report required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) McConnell, Michael W.	2. Court or Organization Court of Appeals, 10th Cir.	3. Date of Report 05/14/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct of Appeals Judge (nominee)	5. Report Type (check type) X Nomination, Date 05/09/ 2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 05/00/2001
7. Chambers or Office Address Univ.of Utah College of Law 332 South 1400 East Rm. 101 Salt Lake City, UT 84112	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 Special Consultant (partner status)	Mayer, Brown & Platt, 190 S. LaSalle St., Chicago, IL 60603
2 Professor	University of Utah College of Law
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2001	University of Utah College of Law: Have discussed possibility of part-time teaching.
2 2001	Harvard Law School: Have discussed possibility of part-time teaching.
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 2000	University of Utah, salary	127472
2 2000	Mayer, Brown & Platt compensation	138975
3 2000	Boy Scouts of America, fee for legal services	51412
4 2000	Liberty Fund, honorarium	700

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
NONE	(No such reportable reimbursements.)	
1	Exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
NONE	(No such reportable gifts.)		
1	Exempt		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
NONE	(No reportable liabilities.)		
1	None		
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

Name of Person Reporting
McConnell, Michael W.

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period								
						(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
											(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)														
1	American Performance Cash Management (Retirement)	A	Interest	K	T	Exempt								
2	Dodge & Cox Stock (Mutual Fund) (Retirement)	A	Gain	M	T									
3	Bankers Trust Inst. Equity 500 Index (Retirement)	A	Gain	J	T									
4	Franklin Small Cap Growth Mutual Fund	B	Gain	M	T									
5	Spartan Mkt. Index Fund	A	Dividend	J	T									
6	Fidelity Worldwide (IRA) (Mutual Fund)	C	Dividend	K	T									
7	Fidelity Emerging Markets Fund (IRA)	A	Dividend	J	T									
8	Fidelity Blue Chip Growth Fund (IRA)	B	Dividend	J	T									
9	Fidelity Magellan Fund (IRA)	D	Dividend	M	T									
10	Fidelity Magellan Fund (IRA)	D	Dividend	M	T									
11	Fidelity Low Priced Stock Fund (Sep IRA)	D	Dividend	L	T									
12	Spartan 500 Index Fund (Sep IRA)	A	Dividend	J	T									
13	Fidelity Cash Reserves (Sep IRA)	A	Interest	J	T									
14	Spartan 500 Index Fund (UTMA)	A	Dividend	K	T									
15	Spartan 500 Index Fund (UTMA)	A	Dividend	K	T									
16	Spartan 500 Index Fund (UTMA)	A	Dividend	K	T									
17	Fidelity Worldwide (Mutual Fund)	D	Dividend	K	T									
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000						
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more						
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market								

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
McConnell, Michael W.

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05/14/2001

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 Spartan Total Mkt. Index Fund	A	Dividend	L	T					
19 Spartan 500 Index	B	Dividend	N	T					
20 Fidelity Magellan Fund	B	Dividend	L	T					
21 Fidelity Municipal Money Mkt. Fund	B	Interest	K	T					
22 Fidelity Retirement Reserve (Retirement Annuity Contract)		None	L	T					
23 Prudential Mutual Life Ins. Co. Policy		None	K	T					
24 TIAA	E	Interest	M						
25 CREF Equity Index		Loss	M	T					
26 CREF Global Equities		Loss	K	T					
27 Wells Fargo Bank checking account	A	Interest	J	T					
28									
29									
30									
31									
32									
33									
34									

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2)		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market			

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	McConnell, Michael W.	05/14/2003

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

None

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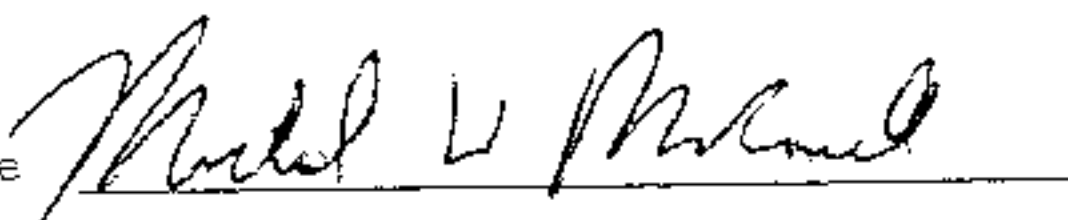
Name of Person Reporting
McConnell, Michael W.Date of Report
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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

5/14/2001

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	5	00	00	Notes payable to banks--secured			
U.S. Government securities--add schedule				Notes payable to banks--unsecured			
Listed securities--add schedule	2,013	447	00	Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due	3	000	00
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable--add schedule	325	213	00
Real estate owned--add schedule	440	003	00	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts--itemize:			
Autos and other personal property	15	000	00				
Cash value--life insurance	49	465	00				
Other assets--itemize:							
family effects	12	000	00				
				Total liabilities	328	213	00
				Net Worth	2,201	699	00
Total Assets	2,524	912	00	Total liabilities and net worth	2,524	912	00
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule.)	Yes		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							