

FINANCIAL DISCLOSURE REPORT
Nomination Report

1. Person Reporting (Last name, first, middle initial) Owen, Priscilla R.		2. Court or Organization U.S. Court of Appeals, 5th Cir	3. Date of Report 05/11/2001
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge		5. Report Type (check type) X Nomination, Date 05/09/2001 Initial Annual Final	6. Reporting Period 01/01/2000 to 05/11/2001
7. Chambers or Office Address Supreme Court of Texas P.O. Box 12248 Austin, Texas 78711		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 Executrix	Estate of Jesse Allen Derrick
2 Trustee	Jesse Derrick Children's Trust
3 Director	Texas Hearing & Service Dogs

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2000	Employees Retirement System of Texas, paid at age 65 or can withdraw contributions upon leaving employment and pay taxes
2 2000	State of Texas TexasSaver 401K Plan, can leave with the State, roll over to IRA or qualified 401K
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 1999	State of Texas, salary	113,471.54
2 2000	State of Texas, salary	113,869.88
3 2000	Andrews & Kurth L.L.P., distribution to pay tax on additional income assessed by IRS for 1990	11,397.00
4 2000	United States Department of Agriculture, Program 2000 Flexibility Contract	479.00

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	exempt		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
18 Safeco Equity Fund (agency acct. CB&T)	A	Dividend	J	T	exempt				
19 Vanguard Index 500 Fund (agency acct. CB&T)	A	Dividend	J	T	exempt				
20 Intel Corp. common stock (agency acct. CB&T)	A	Dividend	J	T	exempt				
21 E M C Corp. Mass. common stock (agency acct. CB&T)	A	Dividend	J	T	exempt				
22 Nortel Networks Corp., foreign equities (agency acct. CB&T)	A	Dividend	J	T	exempt				
23 Mercury Pan Euro GR CL C	A	Dividend	J	T	exempt				
24 Mercury U.S. Large Cap B	A	Dividend	J	T	exempt				
25 cash, Community Bank (agency account)	A	Interest	J	T	exempt				
26 Federated Prime Obligation (IRA)	B	Interest	K	T	exempt				
27 Small Business Invt. Corp. (IRA)	A	Interest	J	T	exempt				
28 Federal Home Loan Mtg. Corp. (IRA)	B	Interest	K	T	exempt				
29 Federated Stock Trust (IRA)	A	Dividend	K	T	exempt				
30 Federated Communication Technology Fund (IRA)	A	Dividend	J	T	exempt				
31 Harbor Capital Appreciation (IRA)	B	Dividend	J	T	exempt				
32 Janus Worldwide Fund (IRA)	B	Dividend	L	T	exempt				
33 Janus Enterprise Fund (IRA)	A	Dividend	J	T	exempt				
34 LKCM Small Cap Equity Port (IRA)	A	Dividend	L	T	exempt				

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2 Val Codes: J=\$15,000 or less (Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 3 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 T Rowe Price Blue Chip Growth Fund (IRA)	A	Dividend	L	T	exempt				
36 T Rowe Price Mid-Cap Growth Fund (IRA)			K	T	exempt				
37 Safeco Equity Fund (IRA)	A	Dividend	L	T	exempt				
38 Vanguard Growth & Income Fund (IRA)	B	Dividend	M	T	exempt				
39 Vanguard Index 500 Fund (IRA)	B	Dividend	M	T	exempt				
40 Security First Trust Growth & Income Series (401K)	C	Dividend	J	T	exempt				
41 Fidelity VIP Growth Portfolio (401K)	A	Dividend	J	T	exempt				
42 Vanguard Funds GNMA (401K)	B	Interest	J	T	exempt				
43 cash, Chase Bank, checking	A	Interest	J	T					
44 Chase Bank, savings	A	Interest	J	T					
45 Community Bank, checking	A	Interest	J	T					
46									
47									
48									
49									
50									
51									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

Part I, Positions

Jesse Allen Derrick was my stepfather. All assets in the Estate of Jesse Allen Derrick have been distrubited.
I was not a beneficiary and was not compensated for serving as Executrix.

All assets in the Jesse Derrick Children's Trust have been distributed. I was not a beneficiary and was not compensated for serving as Trustee.

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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

5/11/2001

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544