

FINANCIAL DISCLOSURE REPORT
Nomination Report

1. Person Reporting (Last name, first, middle initial) Mays, Jr., Samuel H.	2. Court or Organization U.S. District Court, W.D. TN	3. Date of Report 01/25/2002
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Nominee	5. Report Type (check type) X Nomination, Date 01/23/2002 Initial Annual Final	6. Reporting Period 01/01/2001 to 01/01/2002
7. Chambers or Office Address 165 Madison Avenue Suite 2000 Memphis, TN 38103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 Attorney/Shareholder/Employee	Baker, Donelson, Bearman & Caldwell
2 Director	Memphis Orchestral Society
3 Director	Memphis Botanic Garden

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2000	State of Tennessee Consolidated Retirement System - vested in former employer's retirement plan, no control
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 2001	Baker, Donelson, Bearman & Caldwell (law firm - shareholder/employee)	\$281,417.00
2 2001	Wellmont Health System (compensation for professional services)	\$7,500.00
3 2000	State of Tennessee (employee)	\$15,037.00
4 2000	Baker, Donelson, Bearman & Caldwell (law firm - shareholder/employee)	\$139,333.00

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POSITION	NAME OF ORGANIZATION / ENTITY
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4. Deputy to the Governor and Chief of Staff	State of Tennessee
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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

SOURCE		DESCRIPTION
☐ NONE	(No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

SOURCE		DESCRIPTION	VALUE
☐ NONE	(No such reportable gifts.)		
1	EXEMPT		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

CREDITOR		DESCRIPTION	VALUE CODE*
☐ NONE	(No reportable liabilities.)		
1	First Tennessee Bank	Credit Card	J
2	MBNA America Bank	Credit Card	J
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-34 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value: Code (J-P)	(4) Gain: Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 Verizon Common Stock	A	Dividend	J	T	Exempt				
2 MetLife Common Stock	A	Dividend	J	T	Exempt				
3 Bradner Central Common Stock	A	Dividend	J	U	Exempt				
4 Equitable IRA #1		None	M	T	Exempt				
5 - EQ/Bernstein Diversified Value Fund									
6 - EQ/Equity 500 Index Fund									
7 - EQ/MFS Emerging Growth Cos. Fund									
8 - EQ/MFS Research Fund									
9 - EQ/Alliance Small Cap Growth Fund									
10 - EQ/FT Small/Mid Cap Value Fund									
11 Equitable IRA #2	A	Interest	L	T	Exempt				
12 - EQ/Alliance Common Stock Fund									
13 - Guaranteed Interest Account									
14 First Tennessee Bank-Accounts	A	Interest	K	T	Exempt				
15									
16									
17									

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 C=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

01/25/02

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL STATEMENT NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	\$ 42,172		Notes payable to banks-secured		
U.S. Government securities-add schedule			Notes payable to banks-unsecured		
Listed securities-add schedule 1	4,378		Notes payable to relatives		
Unlisted securities-add schedule 2	8,775		Notes payable to others		
Accounts and notes receivable:			Accounts and bills due - current		
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid income and interest		
Doubtful			Real estate mortgages payable-add schedule 4	\$ 52,977	
Real estate owned-add schedule 3	128,200		Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts-itemize:		
Autos and other personal property					
Cash value-life insurance					
Other assets itemize:					
IRA - Equitable No. 1*	129,381				
IRA - Equitable No. 2*	56,671				
Club Membership	3,250		Total liabilities	52,977	
			Net Worth	319,850	
Total Assets	\$ 372,827		Total liabilities and net worth	\$ 372,827	
CONTINGENT LIABILITIES	None		GENERAL INFORMATION		
As endorser, comaker or guarantor			Are any assets pledged? (Add schedule)	NO	
On leases or contracts			Are you defendant in any suits or legal actions?	NO	
Legal Claims			Have you ever taken bankruptcy?	NO	
Provision for Federal Income Tax					
Other special debt					

*Current value. Distributions will be subject to tax as ordinary income.