

AO-10
Rev. 1/2002

FINANCIAL DISCLOSURE REPORT
Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Harwell, Robert B	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 1/21/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date 1/20/04 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/02 to 1/1/04
7. Chambers or Office Address 205 North Irby Street Florence, S.C. 29501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Officer/Director	Harwell Farms and Investment, Inc.
2. Officer/Director	Harwell Properties, Inc.
3. Officer/Director	Harwell Development Co., Inc.
4. Commissioner/Trustee	Florence Darlington Technical College
5. Partner	Harwell, Ballenger, Barth & Hoefer, LLP
6. Trustee	Trust #1
7.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1/15/04	Harwell, Ballenger, Barth & Hoefer, LLP- My law partners and I have agreed that I will be paid 25% of the contingent fees generated on specifically
2.	designated files existing at the time of my departure, to be completed within three (3) years. My interest in the real estate has been agreed upon and
3.	will be paid within two (2) years of departure. There is no retirement account.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ NONE - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
------	-----------------	---------------------------------------

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ NONE - (No reportable non-investment income.)

DATE		SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.	2003	Harwell, Ballenger, Barth & Hoefer, LLP	500,508.00
2.	2002	Barth & Harwell Partnership	5,836.00
3.	2002	Harwell, Ballenger, Barth & Hoefer, LLP	377,820.00
4.	2001	Barth & Harwell Partnership	118,436.00
5.	2001	Harwell, Ballenger, Barth & Hoefer, LLP	462,193.00

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	Harwell, Robert B	1/21/04

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Exempt	

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Reliance	/PCDG, LLC/note & mtg/ limited liability	J

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "XX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(3) Value Code 2 (J-P)	(4) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Charles Schwab/Sweep Acct	A	Interest	L	T	EXEMPT				
2. Common WB	A	Dividend	K	T					
3. Common TSFG	B	Dividend	L	T					
4. BB&T MMA & CD'S	D	Interest	O	T					
5. First Reliance MMA & CD'S	B	Interest	N	T					
6. Harwell Farms & Investment, Inc. (12 1/2%)	A	Dividend	K	U					
7. Harwell Properties, Inc. (12 1/2%)	C	Distribution	L	U					
8. Harwell Development Co., Inc. (12 1/2%)	D	Distribution	K	U					
9. PCDG, LLC (10%) 1997-\$32,500		None	K	R					
10. Charles Schwab [RA #1	A	Dividend	L	T					
11. -DFA Intl Small Co Port									
12. -DFA Value III Port									
13. -DFA Large Cap Intl Port									
14. -DFA Large Cap Value III									
15. -DFA US Large Co Instl									
16. -DFA US Small Cap. Port									
17. -DFA US Small Cap Port									
18. -DFA US Small Cap Value									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
					If not exempt from disclosure				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Trust #1	A	Dividend	L	T	EXEMPT				
20. -BB&T Corp									
21. -Vanguard short-term tre									
22. Richland County Zero 8/1/2005	B	Interest	L	T					
23. Berkeley Co., SC Zero 6/1/2012	C	Interest	L	T					
24. Clemson University, SC Zero 5/1/2010	A	Interest	L	T					
25. Piedmont Mun. Power Zero 1/1/2008	A	Interest	J	T					
26. Piedmont Mun. Power Zero 1/1/2013	A	Interest	K	T					
27. Piedmont Mun. Power Zero 1/1/2013	A	Interest	K	T					
28. Richland Co. S.C. Zero 8/1/2009	C	Interest	L	T					
29. Richland Co., SC Zero 8/1/2007	A	Interest	J	T					
30. S.C. Zero 7/1/2011	A	Interest	L	T					
31. Three Rivers Solid Zero 1/1/2009	B	Interest	K	T					
32. Three Rivers Solid Zero1/1/2011	B	Interest	K	T					
33. Three Rivers Solid Zero 1/1/2012	B	Interest	K	T					
34. Three Rivers Solid Zero 1/1/2013	B	Interest	K	T					
35. Piedmont Mun. Power Zero 2/1/2015	A	Interest	L	T					
36. DFA Tax Man US Mktwde	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

Name of Person Reporting	Date of Report
Harwell, Robert B	1/21/04

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(3) Value Code 2 (J-P)	(4) Value Method Code 3 (Q-W)	(5) Type (e.g. buy, sell, merger, redemption)	(6) (2) Date: Month - Day	(7) (3) Value Code 2 (J-P)	(8) (4) Gain Code 1 (A-H)	(9) (5) Identity of buyer/seller (if private transaction)
37. Charles Schwab IRA#2	A	Dividend	M	T	EXEMPT				
38. -DFA Intl Small Co Port									
39. -DFA Intl Value III Port									
40. -DFA Large Cap Intl Port									
41. -DFA US Lg.Co. Inst Index Port									
42. -DFA Us Small Cap Port									
43. -DFA US Small Cap Value									
44. Rental Property #1, SC		None	M	R					
45. Rental Property #2, W. VA	C	Rent	M	R					
46. David W.Harwell & Sons Prop.LP (Cherry Grove, Beach,SC 49%)		None	M	R					
47. 205 N. Irby St., Florence, S.C. (25%) purchase of previous	B	Rent	L	V					
48. partner's interest									
49. College Fund#1	A	Dividend	K	T					
50. -College Bound Fund									
51. -Bank of America Strategic Growth									
52. -Mid Cap Brown Port. Claas A									
53. -Small Co. Port Class A									
54. -Bond Port Class					EXEMPT				

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Claussen Farms, S.C.		None	M	R	EXEMPT				
56. Western Carolina Sewer Zero 3/1/03	D	Distribution							
57. College Fund #2									
58. -College Bound Fund									
59. -Small Co. Port Class A									
60. -Bank of America Strategic Growth									
61. -Bond Port Class									
62. -Mid Cap Growth Port Class A									
63. Richland Co., S.C. 8/1/03	E	Distribution							
64. Clemson University, 2002	E	Distribution							
65. Florence Co., S.C. 11/1/03	E	Distribution							
66. Richland Co., S.C. 12/1/03	D	Distribution							

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	J = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value/Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Harwell, Robert B

1/21/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Harwell, Robert B

Date of Report

1/21/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

1/21/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

12/07/03

NET WORTH

ROBERT BRYAN HARWELL

of

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks "A"		958	300	Notes payable to banks-secured		0	
U.S. Government securities - add schedule		0		Notes payable to bank-unsecured		0	
Listed securities - add schedule "B"		553	200	Notes payable to relatives		0	
Unlisted securities - add schedule "C"		151	500	Notes payable to other		0	
Accounts and notes receivable:				Accounts and bills due		5	000
Due from relative and friends		0		Unpaid income tax		0	
Due from others		0		Other unpaid income and interest		0	
Real Estate owned - add schedule "D"	1	460	000	Chattel mortgages and other liens payable		0	
Real Estate mortgages receivable		0		Other debts - itemize:		0	
Autos and other personal property		125	000	PCDG, LLC/note/mtg. limited liability		10	200
Cash value - life insurance		0					
Other assets - itemize		0					
Dependents #1 and 2 College Funds "E"		37	400				
RBH/Educational Trust "F"		99	800				
Robert B/Debra Harwell/JTWROS "G"		90	000	Total Liabilities		15	200
IRA "H"		336	300	Net Worth	3	796	300
Total Assets	3	881	500	Total liabilities and net worth	3	881	500
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		no		Are any assets pledged? See above PCDG,LLC		yes	
On leases or contracts		no		Are you defendant in any suits or legal actions?		no	
Legal claims		no		Have you ever taken bankruptcy?		no	
Provision for Federal Income Tax		no					
Other special debt		no					