

JD-10

Rev. 1 2002

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2002

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App., §§101-111)

1. Person Reporting (Last name, first, middle initial) Herrera, Judith C.	2. Court or Organization United States District Court, New Mexico	3. Date of Report 9/26/03
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date 9/23/03 ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2002 to 9/1/2003
7. Chambers or Office Address 2200 Brothers Road Santa Fe, NM 87505	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions.)

1 Partner Herrera, Long, Pound & Komer, P.A.

2 Regent University of New Mexico

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements.)

1 Will roll over profit sharing account out of law firm.

2

3

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

NONE (No reportable non-investment income.)

1 2002 Herrera, Long, Pound & Komer, P.A. (Salary) \$ 138,202.00

2 2003 Herrera, Long, Pound & Komer, P.A. (Salary) \$ 48,161.88

3 2002 Las Campanas Limited Partnership (Salary-Spouse) \$

4 2003 Las Campanas Limited Partnership (Salary-Spouse) \$

5 \$

Name of Person Reporting

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FINANCIAL DISCLOSURE REPORT**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION☐ NONE (No such reportable reimbursements.)

1 Exempt

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☐ NONE (No such reportable gifts.)

1 Exempt

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☐ NONE (No reportable liabilities.)

1 Bank of America

Office Building-Rental Property #1

O

2

3

4

5

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000 L=\$50,001-\$100,000

M=\$100,001-

\$250,000 N=\$250,001-\$500,000

FINANCIAL DISCLOSURE REPORT

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Herrera, Judith C.

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month Day	(3) Value Code2 (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,					Exempt				
1 Rental Property #1 Santa Fe, NM (2003)	G	Rent	O	S					
2 Ptnrshp Int - Law Firm, SF, NM		None	K	W					
3 Family Ptnrshp #1 Santa Fe, NM		None	P1	W					
4 Cabot Oil & Gas-Common		None	K	T					
5 Cisco Systems Inc.-Common		None	K	T					
6 Dell Computer Corp-Common		None	L	T					
7 Fidelity Natl Finl Inc.-Common		None	K	T					
8 Intel Corp-Common	A	div	K	T					
9 Intl Game Technology-Common		None	K	T					
10 Janus Worldwide Fund-Mutual Fund	A	div	J	T					
11 MCDATA Corp CL A Class A		None	J	T					
12 Microsoft Corp-Common		None	L	T					
13 N B T Y Inc.-Common		None	K	T					
14 Stewart Info Svcs Corp.-Common		None	K	T					
15 AOL Time Warner Inc-Common		None	J	T					
16 Avaya Inc Odd Lot Offer		None	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P1=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

Name of Person Reporting

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FINANCIAL DISCLOSURE REPORT

Herrera, Judith C.

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-37 of Instructions.)

A. Description of Assets (including trust assets)		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure.		(2) Type (e.g., div., rent or int.)	(1) Type (e.g., buy, sell, merger, redemption)			If not exempt from disclosure	
						(2) Date: Month- Day	(5) Identity of buyer/seller (if private transaction)
					Exempt		
17	Capital One Financial Corp-Common	A	div				
18	Baker Hughes Inc	A	div				
19	Caremark RX Inc.-Common		None	J	T		
20	D S T Systems Inc.-Common		None	J	T		
21	Gulferra Energy Ptrns-Common		None	J	T		
22	Johnson & Johnson-Common	A	div	J	T		
23	Latin Amer Discovery FD		None	J	T		
24	Lowes Companies Inc.-Common		None	J	T		
25	M G I C Investment Corp - Common		None	J	T		
26	Pfizer Incorporated-Common		None	J	T		
27	Profit Sharing Plan		None	L	T		
28	Spouse's 401(k)		None	K	T		
29	Spouse's Profit Sharing Plan		None	J	T		
30	Intl Game Technology		None	K	T		
31	NW Mutual Life Ins.		None	K	T		
32	NW Mutual Life Ins.		None	K	T		
33	NW Mutual Life Ins.		None	K	T		
34	NW Mutual Variable Extra Ordinary Life: Aggressive Growth Stock		None	J	T		

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Herrera, Judith C.		9/26/03

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VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets)	D. Transactions during reporting period					
	(2) Type (e.g., div., rent or int.)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			(5) Identity of buyer/seller (if private transaction)
			(2) Date: Month- Day			
Place "(X)" after each asset exempt from prior disclosure.						
35 NW Mutual Variable Extra Ordinary Life: Small Cap Growth	None	J T				
36 NW Mutual Variable Extra Ordinary Life: Aggressive Growth	None	J T				
37 NW Mutual Variable Extra Ordinary Life: Small Cap Growth	None	J T				
38 NW Mutual Variable Extra Ordinary Life: Aggressive Growth	None	J T				
39 NW Mutual Variable Extra Ordinary Life: Small Cap Growth	None	J T				
40						
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52						

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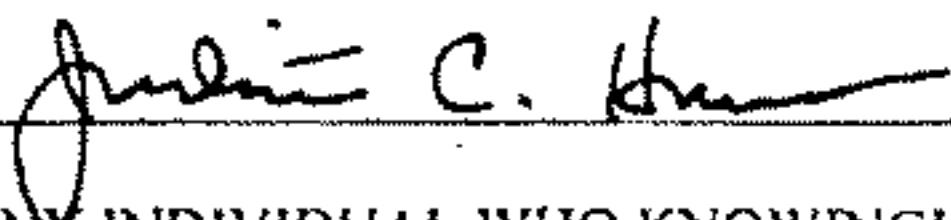
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

9/26/03

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544