

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Falk, Mark	2. Court or Organization US District Court-New Jersey	3. Date of Report 10/18/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S.P.O. & Courthouse 1 Federal Square Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust 1
2. Power of Attorney *	Relative
3. Trustee *	Trust 2
4. Trustee *	Trust 3
5. Executor *	Estate 1
6. Secretary	Federal Magistrate Judges Association
7. Treasurer	Federal Magistrate Judges Association
8. Adjunct Professor	Rutgers School of Law
9. Adjunct Professor	Fordham Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Fordham Law School - teaching	\$2,500.02
2. 2015	Rutgers Law School - teaching	\$1,742.77
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed (court stenography)
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Law Association	03/27/15	New York, NY	Bar Association Dinner	Transportation, meals
2.	Federal Magistrate Judges Association	9/15/2015 - 9/17/2015	Washington, DC	Executive Board Meeting	Transportation, lodging
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #1									
2. - Fidelity Ginnie Mae Fund	A	Int./Div.	J	T					
3. Fidelity N.J. Muni Money Market Fund	A	Int./Div.	K	T					
4. Fidelity Floating Rate	A	Int./Div.	J	T	Redeemed (part)	08/24/15	J		
5. Fidelity Cash Reserves	A	Int./Div.	L	T	Buy	08/24/15	J		
6. IRA #1									
7. - MS U.S. Govt. Securities Trust B	B	Int./Div.	L	T					
8. IRA #2									
9. - Rydex Invers. Govt. Long Bond	A	Dividend	J	T					
10. - Vanguard Short Term Investor	A	Dividend	K	T					
11. - Vanguard Fixed Income High Yield	B	Dividend	K	T					
12. - Vanguard Div. App. Index	B	Dividend	K	T					
13. - Vanguard Value Index	A	Dividend	K	T					
14. - Ishares Russell Midcap Value Index	A	Dividend	J	T					
15. - Ishares Russell 1000 Value Index	A	Dividend	K	T					
16. - Fidelity Convertible Securities Fund	C	Dividend	K	T					
17. - Nasdaq 100 Trust Unit Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Fidelity Overseas Mutual Fund	A	Dividend	K	T					
19. - Fidelity Puritan Mutual Fund	A	Dividend	J	T					
20. - Fidelity Blue Chip Growth Mutual Fund	A	Dividend	J	T					
21. - Fidelity Cash Reserves	B	Interest	N	T	Redeemed (part)	01/20/15	J		
22.					Redeemed (part)	03/30/15	J		
23.					Redeemed (part)	05/06/15	J		
24. - Fidelity US Bond Index	A	Dividend	K	T					
25. - Spartan Ext. MKT Index	A	Dividend	J	T					
26. - Spartan Intl. Index	A	Dividend	K	T					
27. - Spartan Intl. Treasury Bond	A	Dividend	J	T					
28. - Spartan 500 Index	A	Dividend	J	T					
29. - Vanguard Inflation Protected SECs	A	Interest	K	T					
30. - Vanguard Small Cap Value Index	A	Dividend	K	T					
31. - Fidelity Magellan Mutual Fund	B	Dividend	J	T					
32. - Fidelity Ginnie Mae Fund	A	Dividend	J	T					
33. - Fidelity Growth & Income Mutual Fund	A	Dividend	J	T					
34. - Fidelity Intermediate Bond Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - Fidelity Retirement Govt. Money Market	A	Interest	J	T					
36. - Fidelity Short Term Bond	A	Interest	J	T					
37. - Vanguard Wellesley Inc.	A	Dividend	K	T					
38. - Doubleline Bond Fund	A	Dividend	K	T					
39. - Ishares Silver	A	Interest	J	T					
40. - Ishares China ETF	A	Interest	K	T					
41. - Pro Shares Ultra Short Russell 2000	A	Interest	J	T					
42. - Pro Shares Short Dow	A	Interest	K	T					
43. - Pro Shares Ultra Short Cap	A	Int./Div.	J	T					
44. - Pro Shares Short S&P 500	A	Interest	L	T					
45. - Pro Shares Short QQQ	A	Interest	J	T					
46. - Fidelity Convertible Securities	C	Int./Div.	K	T					
47. IRA #3									
48. - Vanguard Total Stock Market Index Mutual Fund	B	Dividend	L	T					
49. Alcoa Common Stock	A	Dividend	J	T					
50. Coca Cola Common Stock	A	Dividend	J	T					
51. Eastman Kodak Common Stock (Y) **									

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52. Brokerage Account #1									
53. - Aegon Common Stock	A	Dividend			Sold	11/05/15	J		
54. - Alcoa Common Stock	A	Dividend			Sold	11/05/15	J		
55. - Alliant Tech System Common Stock - Orbital ATK Inc. Com ****	A	Dividend			Sold	11/05/15	J		
56. - American Electric Power Common Stock	A	Dividend			Sold	11/05/15	J		
57. - Eastman Chemical	A	Dividend			Sold	11/05/15	J		
58. - Eastman Kodak Common Stock (Y) **									
59. - Honeywell Int'l Common Stock	A	Dividend			Sold	11/05/15	K		
60. - IBM Common Stock	A	Dividend			Sold	11/05/15	K		
61. - Pactiv Corp. Common Stock	A	Dividend			Sold	11/05/15	J		
62. - Morgan Stanley Tax Free Daily Income Trust ***	B	Dividend	N	T	Buy	11/05/15	L		
63. - Invesco Quality Municipal Income Trust	C	Dividend			Sold	11/05/15	K		
64. - Invesco Municipal Income Y *****	C	Dividend	L	T	Buy	11/05/15	L		
65. - InvescoTax Exempt Securities Trust	C	Dividend			Sold	11/05/15	J		
66. - Apple	A	Dividend			Buy	05/19/15	J		
67.					Sold	11/05/15	J		
68. Southern Co. (X)	A	Dividend			Sold	11/10/15	L		

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69. IRA - #4 - Phoenix Variable Annuity									
70. - Virtus Capital Growth	A	Int./Div.	J	T					
71. - Virtus Strat Alloc	A	Int./Div.	J	T					
72. - Virtus International	A	Int./Div.	J	T					
73. - Wanger USA	A	Int./Div.	J	T					
74. - Wanger International	A	Int./Div.	J	T					
75. - Federated Prime Money Market	A	Int./Div.	J	T					
76. SEP Account 1									
77. - Vanguard Total Stock Market Index Mutual Fund	D	Dividend	O	T	Buy	04/06/15	J		
78.					Buy	11/02/15	J		
79. - Vanguard Prime Money Market Fund	B	Interest	K	T					
80. Wells Fargo Bank Account 1	A	Interest	N	T					
81. Wells Fargo Bank Account 2	B	Interest	L	T					
82. Wells Fargo Account 3	A	Interest	J	T					
83. Bank of America Account 1	A	Interest	J	T					
84. Amboy Bank Account	A	Interest	J	T					
85. Fidelity NJ Muni Income	A	Dividend	J	T					

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86. Fidelity NJ Muni Money Market	A	Interest	J	T					
87. Fidelity Floating Rate	A	Interest	J	T					
88. Fidelity Ginnie Mae	A	Dividend	J	T					
89. Fidelity Cash Reserves	A	Dividend	L	T					
90. Bank of America Account 2	A	Interest	J	T					
91. Bank of America Account 3	A	Interest	J	T					
92. Bank of America Account 4	A	Interest	L	T					
93. Vanguard Total Stock Market Index Mutual Fund	A	Dividend	L	T					
94. Santander	A	Interest	J	T					
95. Fidelity Convertible Securities Fund	B	Dividend	K	T					
96. Vanguard Growth & Income	A	Dividend	K	T					
97. Vanguard Healthcare	B	Dividend	M	T					
98. Vanguard Inter-Tax Exempt	B	Dividend	K	T					
99. Vanguard Prime Mkt Fund	A	Dividend	K	T					
100. Vanguard Total Stk. Mk.	A	Dividend	K	T					
101. Vanguard 500 Index	B	Dividend	L	T					
102. Charles Schwab Money Market	B	Interest	J	T					

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103. Brokerage Account 2 Charles Schwab									
104. - General Electric	A	Dividend	J	T					
105. - Halliburton	B	Dividend	L	T					
106. Brokerage Acct. 3 Charles A. Schwab (X)									
107. Chevron Corp. (X)	A	Dividend	K	T					
108. Eastman Chemical (X)	A	Dividend	K	T					
109. Fidelity NY Muni Income (X)	A	Interest	K	T					
110. IBM (X)	A	Dividend	M	T					
111. USAA Tax Exempt (X)	A	Interest	K	T					
112. IRA 5 (X)									
113. Bernstein Wealth Appreciation (X)	A	Dividend	K	T					
114. Cruz & Co. LLC (X) ***		None			Sold	11/09/15	N	G	Veritext
115. Trust #2 *									
116. - Santander Money Market #1 (X)	A	Interest		T					
117. - Santander Money Market #2 (X)	A	Interest	K	T					
118. - Chevron Corp. (X) *****	A	Dividend			Distributed				
119. - Eastman Chemical Co. (X) *****	A	Dividend			Distributed				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - IBM Corp. (X) *****	A	Dividend			Distributed				
121. - Fidelity NY Muni (X) *****	A	Dividend			Distributed				
122. - USAA Tax Exempt Interm. (X) *****	A	Dividend			Distributed				
123. Trust #3 (X) *									
124. - Chase Checking - Bank Account 1 (X)	A	Interest	K	T					
125. - Chase Bank Account 2 (X)	A	Interest	N	T					
126. - JP Morgan Deposit Sweep (X)	A	Interest	J	T					
127. - Franklin Templeton Funds NY (X)	A	Dividend	K	T					
128. - NY - Go Bond (X)	A	Dividend	K	T					
129. - BDS - Subser Go Bond (X)	A	Interest	J	T					
130. - IllinoisTool Works Inc. Stock (X)	A	Dividend	J	T					
131. - East Hampton Twn NY Bond (X)	A	Interest	K	T					
132. - Metropolitan and Transit Auth NYR Bond (X)	A	Interest			Sold	11/16/15	J		
133. - NY St Dorm Auth Revs Sec (X)	A	Interest	K	T					
134. - NY St Twy Auth Second GE Bond (X)	A	Interest	J	T					
135. - Goldman Sachs GRP Inc. (X)	A	Interest	J	T					
136. - Morgan Stanley SR (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - BAC Cap TR VIII (X)	A	Interest	J	T					
138. - Credit-Enhanced Corts TR AOH Corts (X)	A	Interest	J	T					
139. - Countrywide Cap V (X)	A	Interest	J	T					
140. - 1st Financial Bank USA Dakota Dunes SD (X)	A	Interest	K	T					
141. - Goldman Sachs Bank USA NY (X)	A	Interest	J	T					
142. - Goldman Sachs Bank USA NY (X)	A	Interest	J	T					
143. - Wells Fargo Bank NA (X)	A	Interest	J	T					
144. - Investment Managers SER TR Center CST MLP (X)	A	Interest	K	T					
145. - Columbia FDS SER TR II MASS High Yield Bond C (X)	A	Dividend	K	T					
146. - AB Discovery Growth FD Inc. Advisor CL (X)	A	Dividend	J	T					
147. - AB Discovery Value Fund (X)	A	Dividend	J	T					
148. - AB Global Thematic Growth Fund (X)	A	Dividend	J	T					
149. - AB Large Cap Growth Fund (X)	A	Dividend	K	T	Redeemed (part)	11/30/15	J		
150. - AB Portfolios (X)	A	Dividend	J	T					
151. - AB Value Fund (X)	A	Dividend	K	T	Redeemed (part)	10/31/15	J		
152.					Redeemed (part)	11/30/15	J		
153. - Bernstein Sanford C Fund Inc. (X)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Bernstein Tax Managed International (X)	A	Dividend	K	T					
155. - Bernstein Sanford C Fund Inc. Tax-Aware Overlay A (X)	A	Dividend	L	T					
156. - Bernstein Sanford C Fund Inc. Emerging Market Value (X)	A	Dividend	J	T					
157. - Bernstein Sanford C Fund Inc. NY Municipal Portfolio (X)	A	Dividend	M	T	Redeemed (part)	07/24/15	J		
158. - Bernstein Sanford C Fund Inc. Tax-Aware Overlay N-1	A	Dividend	L	T					
159. - Astoria Financial Corp. (X)	B	Dividend	K	T					
160. - Goldman Sachs Group Inc. (X)	B	Dividend	K	T					
161. - UIT Guggenheim Defined Portfolio SER 21 (X)	A	Dividend			Sold	05/18/15	K		
162. - RBC Prime Money Market Fund (X)	A	Dividend	L	T					
163. - 500 Index Fund Adm. (X)	A	Dividend	K	T					
164. - Windsor II Fund Investments (X)	A	Dividend	K	T					
165. - Inter-Term Tax Exempt Inv. (X)	A	Dividend	K	T					
166. - General Electric Co. Stock (X)	B	Dividend	M	T					
167. - Chevron Corp. Stock (X)	C	Dividend	M	T					
168. - Ally Bank (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* During the reporting period my relative became legally incompetent and I acted pursuant to her Power of Attorney. Shortly thereafter, she passed away and I assumed various roles as co-trustee of certain trusts and (in 2016) co-executor. With the help of counsel, I have endeavored to provide the most accurate information possible for assets held in my relative's trusts in Section VII. During reporting period, we were working to gather the assets of my relative's trusts; however, the records were limited so there could be minor inaccuracies. Further information, developments, and any corrections will be reported on 2016 report.

** Investment went bankrupt.

*** Entry refers to spouse's "business" as a court stenographer, which was sold during the reporting period. It is currently and previously was referred to only in Section III (B). I had no interest or involvement in the business or spouse's employment; however, I have some knowledge of it. Prior to sale, it had no known or quantifiable value or income, other than spouse's self-employed non-investment income reported in Section III (B). Spouse continues as a self-employed court stenographer including working for purchaser as an independent contractor; however, purchaser now owns the name of the LLC previously used by spouse in self-employment.

**** Alliant Tech System apparently changed its name to Orbital ATK Inc. Com during the reporting period.

***** Trust assets were transferred to beneficiary during reporting period.

***** Apparently the name of this fund changed. It appears that it was previously listed as Invesco Insured Municipal Income; however, I am still confirming this change.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark Falk

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544