

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Jarvey, John A	2. Court or Organization ND IA	3. Date of Report 07/24/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 06/28/2006 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 06/30/2006
7. Chambers or Office Address 101-1st Street SE 211 Federal Building Cedar Rapids, Iowa 52401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Jarvey, John A	07/24/2006

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filler's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. Sept 05	University of Iowa -- College of Law	\$ 3,000
2. Dec 05	Drake University School of Law	\$ 900
3. May 2006	University of Iowa -- College of Law	\$ 3,000
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2005-2006	Self-Employment Piano Teacher
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. Exempt	
2.	
3.	
4.	
5.	

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Legg Mason Part Fundamental Value Fund Class A-See Part VIII	B	Dividend	K	T	Exempt				
2. Family Trust-See Part VIII	A	Appreciation	K	R					
3. Legg Mason Partners Cap. Growth Fund Class B-See Part VIII	B	Dividend	K	T					
4. Legg Mason Part Large Cap. Growth Fund Class A-See Part VIII	A	Dividend	J	T					
5. Legg Mason Partn Social Awareness Fund Class A-See Part VIII	A	Dividend	K	T					
6. Legg Mason Partn Social Awareness Fund Class B-See Part VIII	A	Dividend	J	T					
7. Legg Mason Part Fundamental Value Fund Class A-See Part VIII	A	Dividend	J	T					
8. Legg Mason Part Large Cap. Growth Fund Class B-See Part VIII	A	Dividend	J	T					
9. Legg Mason Partn Social Awareness Fund Class A-See Part VIII	A	Dividend	J	T					
10. Firstar Bank-Self & Spouse Checking Account	A	Interest	J	T					
11. Firstar Bank-Self & Spouse Savings Account	A	Interest	M	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part III.A. - Additional non-investment income was received by filer as United States Government salary for services as a United States Magistrate Judge.

All From Part VII:

- 1. John IRA-Mutual Funds
- 2. The only asset in this trust is a residence located in Maple Grove, MN. The asset was gifted to the trust on July 9, 2003 by my parents but the trust pays a mortgage on the residence and I fund 1/5 of the mortgage payments. The purchase price of the residence was \$320,000. The mortgage is for \$120,000. Therefore, my interest in the Family Trust is (\$320,000 minus \$120,000) divided by 5 or \$40,000. I am not aware of any appreciation in its value.
- 3. John IRA-Mutual Funds
- 4. John IRA-Mutual Funds
- 5. John IRA-Mutual Funds
- 6. John IRA-Mutual Funds
- 7. Spouse IRA-Mutual Funds
- 8. Spouse IRA-Mutual Funds
- 9. Spouse IRA-Mutual Funds

Part VII - The Mutual funds listed in this section all changed names in 2006. The name changed from "Smith Barney [Mutual Fund]" to "Legg Mason Partners [Mutual Fund]."

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

July 24, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		12	000	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule				Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		151	000
Real estate owned-add schedule		400	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		58	000				
Cash value-life insurance							
Other assets itemize:							
IRA		70	000				
IRA		8	000				
TSP		52	000	Total liabilities		151	000
Family Trust		40	000	Net Worth		489	000
Total Assets		640	000	Total liabilities and net worth		640	000
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							