

AO-10
Rev. 1/2002

FINANCIAL DISCLOSURE REPORT
Calendar Year 2002

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Saylor, F. Dennis IV	2. Court or Organization U.S. District Court, Mass	3. Date of Report 7/31/2003
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-(Nominee)	5. Report type (check appropriate type) ☒ Nomination Date 7/30/2003 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2002 to 7/25/2003
7. Chambers or Office Address Goodwin Procter LLP Exchange Place Boston, MA 02109	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Goodwin Procter LLP
2. Member	Josiah Smith Tavern Committee, Town of Weston

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	I will resign from the partnership of Goodwin Procter LLP as of the date of my confirmation. It is my understanding and expectation that [cont'd at Part VIII]

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ NONE - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2001-03	U.S. Department of Justice [trial attorney]	
2. 2001-03	Goodwin Procter LLP [law practice]	\$1,622,441 (total)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Saylor, F. Dennis IV

Date of Report

7/31/2003

IV. REIMBURSEMENTS—transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

SOURCE:

DESCRIPTION

1. Exemptions

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ **NONE** - (No such reportable gifts)

SOURCE

DESCRIPTION

	VALUE
1980-1981	16.7
1981-1982	16.7
1982-1983	16.7
1983-1984	16.7
1984-1985	16.7
1985-1986	16.7
1986-1987	16.7
1987-1988	16.7
1988-1989	16.7
1989-1990	16.7
1990-1991	16.7
1991-1992	16.7
1992-1993	16.7
1993-1994	16.7
1994-1995	16.7
1995-1996	16.7
1996-1997	16.7
1997-1998	16.7
1998-1999	16.7
1999-2000	16.7
2000-2001	16.7
2001-2002	16.7
2002-2003	16.7
2003-2004	16.7
2004-2005	16.7
2005-2006	16.7
2006-2007	16.7
2007-2008	16.7
2008-2009	16.7
2009-2010	16.7
2010-2011	16.7
2011-2012	16.7
2012-2013	16.7
2013-2014	16.7
2014-2015	16.7
2015-2016	16.7
2016-2017	16.7
2017-2018	16.7
2018-2019	16.7
2019-2020	16.7
2020-2021	16.7
2021-2022	16.7
2022-2023	16.7
2023-2024	16.7
2024-2025	16.7
2025-2026	16.7
2026-2027	16.7
2027-2028	16.7
2028-2029	16.7
2029-2030	16.7
2030-2031	16.7
2031-2032	16.7
2032-2033	16.7
2033-2034	16.7
2034-2035	16.7
2035-2036	16.7
2036-2037	16.7
2037-2038	16.7
2038-2039	16.7
2039-2040	16.7
2040-2041	16.7
2041-2042	16.7
2042-2043	16.7
2043-2044	16.7
2044-2045	16.7
2045-2046	16.7
2046-2047	16.7
2047-2048	16.7
2048-2049	16.7
2049-2050	16.7
2050-2051	16.7
2051-2052	16.7
2052-2053	16.7
2053-2054	16.7
2054-2055	16.7
2055-2056	16.7
2056-2057	16.7
2057-2058	16.7
2058-2059	16.7
2059-2060	16.7
2060-2061	16.7
2061-2062	16.7
2062-2063	16.7
2063-2064	16.7
2064-2065	16.7
2065-2066	16.7
2066-2067	16.7
2067-2068	16.7
2068-2069	16.7
2069-2070	16.7
2070-2071	16.7
2071-2072	16.7
2072-2073	16.7
2073-2074	16.7
2074-2075	16.7
2075-2076	16.7
2076-2077	16.7
2077-2078	16.7
2078-2079	16.7
2079-2080	16.7
2080-2081	16.7
2081-2082	16.7
2082-2083	16.7
2083-2084	16.7
2084-2085	16.7
2085-2086	16.7
2086-2087	16.7
2087-2088	16.7
2088-2089	16.7
2089-2090	16.7
2090-2091	16.7
2091-2092	16.7
2092-2093	16.7
2093-2094	16.7
2094-2095	16.7
2095-2096	16.7
2096-2097	16.7
2097-2098	16.7
2098-2099	16.7
2099-2100	16.7
2100-2101	16.7
2101-2102	16.7
2102-2103	16.7
2103-2104	16.7
2104-2105	16.7
2105-2106	16.7
2106-2107	16.7
2107-2108	16.7
2108-2109	16.7
2109-2110	16.7
2110-2111	16.7
2111-2112	16.7
2112-2113	16.7
2113-2114	16.7
2114-2115	16.7
2115-2116	16.7
2116-2117	16.7
2117-2118	16.7
2118-2119	16.7
2119-2120	16.7

1. Exempt

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

VII. INVESTMENTS and TRUSTS-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Fleet Bank	A	Interest	J	T	exempt				
2. Fleet Bank	A	Interest	J	T	exempt				
3. Fidelity Cash Reserves	C	Dividend	N	T	exempt				
4. Fidelity Cash Reserves	A	Dividend	J	T	exempt				
5. 3M Common Stock		None	J	T	exempt				
6. Wilmington Trust Common Stock	A	Dividend	J	T	exempt				
7. Fidelity Growth Co. Mutual Fund		None	J	T	exempt				
8. Fidelity Small Cap Independence Mutual Fund		None	J	T	exempt				
9. Fidelity Mid Cap Stock Mutual Fund	A	Dividend	K	T	exempt				
10. Fidelity Growth Co. Mutual Fund		None	J	T	exempt				
11. Fidelity Asset Manager Growth Mutual Fund	A	Dividend	J	T	exempt				
12. Janus Worldwide Mutual Fund	A	Dividend	J	T	exempt				
13. Third Avenue Value Mutual Fund	A	Dividend	J	T	exempt				
14. Vanguard 500 Index Mutual Fund	A	Dividend	J	T	exempt				
15. Vanugard US Growth Mutual Fund	A	Dividend	J	T	exempt				
16. Templeton World Fund A Mutual Fund	A	Dividend	J	T	exempt				
17. T. Rowe Price New Horizons Mutual Fund		None	J	T	exempt				
18. Vanguard 500 Index Mutual Fund	A	Dividend	J	T	exempt				

1. Income/Gain Codes:	A -- \$1,000 or less	B -- \$1,001-\$2,500	C -- \$2,501-\$5,000	D -- \$5,001-\$15,000	E -- \$15,001-\$50,000
(See Columns BE and D4)	F -- \$50,001-\$100,000	G -- \$100,001-\$1,000,000	H1 -- \$1,000,001-\$5,000,000	H2 -- More than \$5,000,000	
2. Value Codes:	J -- \$15,000 or less	K -- \$15,001-\$50,000	L -- \$50,001-\$100,000	M -- \$100,001-\$250,000	
(See Columns C1 and D3)	N -- \$250,000-\$800,000	O -- \$800,001-\$1,000,000	P1 -- \$1,000,001-\$5,000,000	P2 -- \$5,000,001-\$25,000,000	
	P3 -- \$25,000,001-\$50,000,000		P4 -- \$More than \$50,000,000		
3. Value Method Codes:	Q -- Appraisal	R -- Cost (Real Estate Only)	S -- Assessment	T -- Cash/Market	
(See Column C2)	U -- Trust X (S, L, G, L)	V -- Other	W -- Estimated		

VII. INVESTMENTS and TRUSTS-- income, value, transactions (includes those of the spouse and dependent children See pp. 34-57 of filing instructions)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date- Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Vanguard 500 Index Mutual Fund	A	Dividend	J	T	exempt				
20. Vanguard 500 Index Mutual Fund	A	Dividend	J	T	exempt				
21. Fidelity UFund 429 Plan		None	K	T	exempt				
22. Fidelity UFund 429 Plan		None	K	T	exempt				
23. Fidelity UFund 429 Plan		None	K	T	exempt				
24. IRAs Fidelity: Contrafund II Mutual Fund	A	Dividend	K	T	exempt				
25. IRAs Fidelity: Japan Mutual Fund		None	J	T	exempt				
26. IRAs Fidelity: Puritan Mutual Fund	A	Dividend	L	T	exempt				
27. IRAs Fidelity: Aggressive Growth Mutual Fund		None	J	T	exempt				
28. IRAs Fidelity: Contrafund II Mutual Fund	A	Dividend	J	T	exempt				
29. IRAs Fidelity: Magellan Mutual Fund	A	Dividend	J	T	exempt				
30. IRAs Fidelity: Blue Chip Mutual Fund	A	Dividend	J	T	exempt				
31. MONY: Life Insurance Policies	A	Dividend	J	T	exempt				
32. Goodwin Procter -- interest on capital	D	Interest	M	T	exempt				
33. Goodwin Procter Partnership Profit Sharing Savings Plan:									
34. Fidelity Funds: Aggressive Growth Mutual Fund		None	J	T	exempt				
35. Fidelity Funds: Blue Chip Mutual Fund	A	Dividend	L	T	exempt				
36. Fidelity Funds: Capital Appreciation	A	Dividend	K	T	exempt				

1. Income/Item Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date- Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Fidelity Funds: Contrafund	A	Dividend	L	T	exempt				
38. Fidelity Funds: Equity-Income II	A	Dividend	K	T	exempt				
39. Fidelity Funds: Fidelity Fund	A	Dividend	K	T	exempt				
40. Fidelity Funds: Magellan	A	Dividend	K	T	exempt				
41. Fidelity Funds: Mid-Cap Stock	A	Dividend	J	T	exempt				
42. Fidelity Funds: Real Estate	A	Dividend	J	T	exempt				
43. Fidelity Funds: Value	A	Dividend	K	T	exempt				

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Broker's Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Saylor, F Dennis IV

7/31/2003

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

[continued from Part II]...

in connection with my resignation, I will receive a return of my invested capital in the firm, to be paid in a lump sum, and payment of a to-be determined amount reflecting my share of the firm's profits for the then-current fiscal year, also to be paid in a lump sum. I also understand and expect that my Goodwin Procter Partnership Profit Sharing Savings Plan will be rolled over into an Individual Retirement Account (or other appropriate tax-deferred arrangement)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Saylor, F. Dennis IV

Date of Report

7/31/2003

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

7/31/03

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

IRAs (CAF)		
Fidelity Mutual Funds		
Contrafund II	\$ 5,977.96	(5/23/03)
Magellan	\$ 10,067.29	(5/23/03)
Blue Chip Growth	\$ 8,575.34	(5/23/03)
Subtotal:	\$ 24,620.59	(5/23/03)
Goodwin Procter LLP Partnership		
Profit Sharing Savings Plan (FDS)		
Fidelity Mutual Funds		
Aggressive Growth	\$ 3,508.40	(5/22/03)
Blue Chip	\$ 68,840.06	(5/22/03)
Capital Appreciation	\$ 32,747.29	(5/22/03)
Contrafund	\$ 74,815.40	(5/22/03)
Equity-Income II	\$ 22,095.65	(5/22/03)
Fidelity Fund	\$ 36,003.43	(5/22/03)
Magellan	\$ 19,453.14	(5/22/03)
Mid-Cap Stock	\$ 6,798.01	(5/22/03)
Real Estate	\$ 5,964.72	(5/22/03)
Value	\$ 32,734.88	(5/22/03)
Subtotal:	\$ 302,960.98	

LIABILITIES

Real estate mortgages payable	\$ 425,000.00	(7/24/03)
Washington Mutual (Residence, Weston, MA)		

NOTES

- FDS = F. Dennis Saylor IV

CAF = Catherine Adams Fiske (wife)

JFS = John F. Saylor (minor son)

CFS = Charles F. Saylor (minor son)

ABS = Alexander B. Saylor (minor son)

Joint = F. Dennis Saylor IV and Catherine Adams Fiske

UTMA= Uniform Transfer to Minors Act
- Real estate: Valuation based on current tax assessment.

3. My wife, Catherine Adams Fiske, is one of nine beneficiaries of a trust created under the will of Robert B. Fiske dated April 20, 1990, F/B/O Dorothy Frances Fiske. The total balance of funds held by the trust as of 12/31/02 was \$4,682.99, of which a one-ninth share is \$520.33. The funds are held at Fleet National Bank in Connecticut.

LIBA/1268169.2