

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Kapala, Frederick J	2. Court or Organization District Court, N D Illinois	3. Date of Report 12/07/2006
4. Title (Article III Judges indicate active or senior status; magistrate Judges indicate full- or part-time) District Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 12/05/2006 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2005 to 11/30/2006
7. Chambers or Office Address 6801 Spring Creek Road Rockford, IL 61107	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1
2. Trustee	Trust # 2
3. Trustee	Trust # 3
4. Trustee	Trust # 4
5. Trustee	Trust # 5
6. Director	Anderson Gardens, an Illinois not-for-profit corporation
7. Trustee	Trust # 6
8. Trustee	Trust #7

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/30/2006	State of Illinois Retirement System, pension benefits payable at retirement at or after age 55
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2004	State of Illinois - Circuit Court Judge compensation	\$ 148,581.43
2. 2005	State of Illinois - Circuit Court Judge compensation	\$ 142,689.68
3. 2006	State of Illinois - Circuit Court Judge compensation	\$ 146,182.22
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. EXEMPT	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Wanda Brunngart	personal loan	K
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank account # 1	A	Interest	J	T	EXEMPT				
2. Chase Bank account # 2	A	Interest							
3. Chase Bank account # 3	E	Interest							
4. Blackhawk Bank account # 1	A	Interest	J	T					
5. Blackhawk Bank account # 2	A	Interest	J	T					
6. Blackhawk Bank account # 3	D	Interest	M	T					
7. Blackhawk Bank account # 4	C	Interest							
8. US Bank account	A	Interest	J	T					
9. Union Trust Co. account	B	Interest							
10. N.Y. Community Bank account	B	Interest							
11. Stillwater Bank account		Interest	L	T					
12. Heritage Cash Trust	A	Interest	J	T					
13. RJ Bank deposit program	A	Interest	J	T					
14. First Trust S&P Target SMID 60 Portfolio	A	Dividend	J	T					
15. First Trust Equity Income Select # 7	A	Dividend	K	T					
16. First Trust Portfolios L. P. Large-Cap Growth Port Series 8	A	Dividend	K	T					
17. First Trust Portfolios L. P. Large-Cap Value Port. Series 8	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessed W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. First Trust Target VIP June 2006	A	Dividend	J	T					
19. Van Kampen Strategic Growth Lrg-Cap 2006-2 Wrap	A	Dividend	K	T					
20. Van Kampen Great Intl Firms, series 28 - Cash Wrap 5.5	A	Dividend	J	T					
21. Van Kampen Global 45 Div Strategic Port 2006-03	A	Dividend	K	T					
22. DWS Multi Mkt Income Trust	A	Dividend	J	T					
23. ING Prime Rate Tr SH Ben Int	B	Dividend	K	T					
24. Ishares Tr S&P500 Grw	A	Dividend	K	T					
25. Ishares Tr S&P500 Value	A	Dividend	K	T					
26. Ishares Tr Msci EAFE IDX	A	Dividend	J	T					
27. Ishares Tr Rusl 2000 Valu	A	Dividend	J	T					
28. Trust # 1, trustee and income beneficiary	A	None	J	T					
29. Drugstore.com stock									
30. Trust # 2, trustee and income beneficiary	A	None	J	T					
31. Drugstore.com stock									
32. IRA # 1	A	Dividend	J	T					
33. Kinross Gold corp. stock									
34. Time Warner, Inc. stock									

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Amortment W = Estimated		T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. IRA # 2	A	Interest	K	T					
36. Drugstore.com stock									
37. Morgan Stanley Money market									
38. Mary Anderson, note receivable		None	J	T					
39. Real Estate # 1, Winnebago County, Illinois (2005, \$100,000)		None	L	R					
40. Real Estate # 2, Winnebago County, Illinois (2003, \$25,000)	A	Rent	K	R					
41. Real Estate # 3, Winnebago County, Illinois (\$47,129)		None	K	S					
42. Real Estate # 4, Ashland County, Wisconsin (\$19,775)		None	K	S					
43. Trust # 6, income beneficiary	A	Interest	J	T					
44. Wachovia Securities Bank Sweep Option									
45. Trust # 7, income beneficiary	B	Interest	K	T					
46. Wachovia Securities Bank Sweep Option									

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part I. Positions and Part VII. Investments and Trusts. For Trusts # 3, 4 and 5 no assets are reported in Part VII. The reporting person resigned as trustee in March 2006 and transferred all records to the successor trustee. Neither the reporting person, the reporting person's spouse nor the reporting person's dependent children have any beneficial interest in Trusts # 3, 4, or 5. Neither Trust # 3, 4 nor 5 was created by the reporting person, his spouse or any dependent child.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

12-7-06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		259	683	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule		206	826	Notes payable to relatives		34	000
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		1	000
Due from relatives and friends		9	375	Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		105	005
Real estate owned-add schedule		476	904	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		60	000				
Cash value-life insurance							
Other assets itemize:							
CD		100	000				
Trust accounts		50	252				
IRA Accounts		39	000	Total liabilities		140	005
				Net Worth	1	062	035
Total Assets	1	202	040	Total liabilities and net worth	1	202	040
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							