

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ozerden, Halil S	2. Court or Organization U.S. District Court, S.D. Miss	3. Date of Report 01/10/2007
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 01/09/2007 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 2909 13th Street, 6th Floor P.O. Drawer W Gulfport, Mississippi 39502	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder/Partner/Attorney	Dukes, Dukes, Keating & Faneca, P.A.
2. President/Chairman	Gulfport Chamber of Commerce
3. Director	Mississippi Gulf Coast Chamber of Commerce, Board of Directors
4. President/Executive Committee	Gulfport Business Club
5. Director	Rotary Club of Gulfport, Mississippi, Board of Directors

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	Dukes, Dukes, Keating & Faneca, P.A., Shareholders agreement with current law firm; requires buyout of shares upon departure from firm
2. 1999	Dukes, Dukes, Keating & Faneca, P.A., 401k plan with current firm; self-directed investments while an employee of the firm
3. 1999	Dukes, Dukes, Keating & Faneca, P.A. Profit sharing plan with current firm; no control (plan terminated on 12/15/2006)

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-14 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	Dukes, Dukes, Keating & Faneca, P.A., salary	\$ 113,000.00
2. 2005	Dukes, Dukes, Keating & Faneca, P.A., car allowance	\$ 7,800.00
3. 2005	Seisin, LLC, rent/passive income	\$ 589.00
4. 2006	Dukes, Dukes, Keating & Faneca, P.A., salary	\$ 119,854.13
5. 2006	Dukes, Dukes, Keating & Faneca, P.A., car allowance	\$ 7,800.00
6. 2006	Seisin, LLC, rent/passive income	\$ 1,000.00
7. 2006	Dukes, Dukes, Keating & Faneca, P.A. Profit Sharing Plan termination/closeout	\$ 2,660.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Self-employed (Realtor), sales commissions
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. EXEMPT	
2.	
3.	
4.	
5.	

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Wachovia Bank, N.A. (as successor by merger to Southtrust Bank)	5% personal guaranty on loan to Seisin, LLC, for purchase of real property located in Gulfport, MS	J
2. USAA Federal Savings Bank	Credit card used for vehicle purchase	K
3. Sallie Mae Servicing	Student loans (law school)	J
4.		
5.		

Page 4 of 7

Ozerden, Halil S

01/10/2007

☐ NONE (No reportable income, assets, or transactions.)

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 Q = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	PJ = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	Pd = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS — Income, value, transactions (includes those of the spouse and dependent children. See pp. 14-17 of filing instructions)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. —John Hancock Classic Value Fund II	A	Dividend	J	T					
19. —ING Principal Protection Mutual Fund	A	Dividend	J	T					
20. —Munder Mid Cap Core Growth Mutual Fund	A	Dividend	J	T					
21. Brokerage Account #2 (Stifel Nicolaus Joint Stock Account)									
22. —Stifel Nicolaus Money Market	A	Dividend	J	T					
23. —Washington Mutual Investors Mutual Fund	A	Dividend	J	T					
24. IRA #1 (Stifel Nicolaus IRA)									
25. —Stifel Nicolaus Money Market	A	Dividend	J	T					
26. —Capital World Growth & Income Mutual Fund	A	Dividend	J	T					
27. —Growth Fund of America Mutual Fund	A	Dividend	J	T					
28. —Hancock Holding Common Stock	A	Dividend	J	T					
29. MassMutual Permanent Life Insurance	A	Interest	J	T					
30. State Farm Whole Life Insurance	A	Interest	J	T					
31. Allstate Universal Life Insurance	A	Interest	J	T					
32. Southern Farm Bureau Universal Life Insurance	A	Interest	J	T					
33. Whitney Bank, bank accounts	A	Interest	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A —\$1,000 or less P —\$50,001 - \$100,000	B —\$1,001 - \$2,500 G —\$100,001 - \$1,000,000	C —\$2,501 - \$5,000 H1 —\$1,000,001 - \$5,000,000	D —\$5,001 - \$15,000 H2 —More than \$5,000,000	E —\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J —\$15,000 or less N —\$250,001 - \$500,000	K —\$15,001 - \$50,000 O —\$500,001 - \$1,000,000	L —\$50,001 - \$100,000 P1 —\$1,000,001 - \$5,000,000	M —\$100,001 - \$250,000 P2 —\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 —\$25,000,001 - \$50,000,000 Q —Appraisal U —Book Value	R —Cost (Real Estate Only) Y —Other	P4 —More than \$50,000,000 S —Assessment W —Estimated	T —Cash Market	

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		26	000	Notes payable to banks-secured		18	620
U.S. Government securities-add schedule			250	Notes payable to banks-unsecured			
Listed securities-add schedule		49	122	Notes payable to relatives		44	000
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		3	000
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		272	000
Real estate owned-add schedule		350	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		75	000	Vehicle loan		22	095
Cash value-life insurance		22	678	Student loan		3	929
Other assets itemize:							
5% Interest in Investment real estate		13	099				
Value of shares in law firm		56	553				
				Total liabilities		363	644
				Net Worth		229	058
Total Assets		592	702	Total liabilities and net worth		592	702
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		13	099	Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							