

FINANCIAL DISCLOSURE REPORT

NOMINATION FILING

1. Person Reporting (Last name, First name, Middle initial) Bailey, John P	2. Court or Organization Northern District of W. Va.	3. Date of Report 1/10/2007
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - nominee	5. ReportType (check appropriate type) ☒ Nomination, Date 1/9/2007 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2006 to 12/31/2006
7. Chambers or Office Address 900 Riley Building P.O. Box 631 Wheeling, WV 26003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. President	Bailey, Riley, Buch & Harman, L.C.
2. Director & Secretary	Kalkreuth Roofing & Sheet Metal, Inc.
3. Secretary	The Sweeney Group, Inc.
4. Executor	Estate #1
5. Trustee	Trust #1
6. Trustee	Trust #2
7. Secretary & Director	GJR Enterprises, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2005	Bailey, Riley, Buch & Harman, L.C. Profit Sharing Plan

	Name of Person Reporting Bailey, John P	Date of Report 1/10/2007
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ **NONE** - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1. 2005	Bailey, Riley, Buch & Harman, L.C. -salary & bonus	142,434
2. 2006	Bailey, Riley, Buch & Harman, L.C. -salary & bonus	129,801

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☐ **NONE** - (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2006	Bailey Behavioral Health - salary

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

SOURCE	DESCRIPTION
1. EXEMPT	

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT	

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. United Bank	VISA card	J
2. U.S. Dept. of Education	Parent Loan for children's education	M
3. College Foundation, Inc.	Parent Loan for daughter's education	L

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Reserve Fund Primary	B	Interest	J	T	Exempt				
2. IRA #1	B	Dividend	K	T					
3. - Prime Obligations Fund 10									
4. - CCE Spincor, Inc.									
5. - Merck and Company common									
6. - Medco Health Solutions									
7. - Time Warner, Inc.									
8. - CVS Corp.									
9. - Home Depot Inc.									
10. - Clear Channel Communications									
11. Bailey, Riley, Buch & Harman Profit Sharing Plan	E	Dividend	N	T					
12. Mass Mutual Life Insurance	A	Dividend	J	T					
13. Estate #1	A	Interest	J	T					
14. Trust #1	G	Int. & Div.	P2	T					
15. - JPMorgan Liquid Assts Mny Mkt Investment	D	Interest	M	T					
16. - JPMorgan Chase & Co (com)	A	Dividend	K	T					
17. - Wesbanco Inc (com)	E	Dividend	O	T					
18. - General Electric (com)	B	Dividend	L	T					

1. Income/Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

B = \$1,001-\$2,500

C = \$2,501-\$5,000

D = \$5,001-\$15,000

E = \$15,001-\$50,000

F = \$50,001-\$100,000

G = \$100,001-\$1,000,000

H1 = \$1,000,001-\$5,000,000

H2 = More than \$5,000,000

J = \$15,000 or less

K = \$15,001-\$50,000

L = \$50,001-\$100,000

M = \$100,001-\$250,000

N = \$250,000-\$500,000

O = \$500,001-\$1,000,000

P1 = \$1,000,001-\$5,000,000

P2 = \$5,000,001-\$25,000,000

P3 = \$25,000,001-\$50,000,000

P4 = More than \$50,000,000

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash/Market

U = Book Value

V = Other

W = Estimated

VII. INVESTMENTS and TRUSTS

- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. - 3M Co (com)	B	Dividend	K	T					
20. - Masco Corp (com)	B	Dividend	L	T					
21. - General Mills Inc. (com)	B	Dividend	K	T					
22. - Heinz H J Co (com)	C	Dividend	L	T					
23. - Proctor & Gamble (com)	D	Dividend	N	T					
24. - BP P L C Sponsored ADR	C	Dividend	M	T					
25. - Chevron Corp (com)	C	Dividend	M	T					
26. - Exxon Mobil Corp (com)	D	Dividend	N	T					
27. - Citigroup Inc (com)	B	Dividend	L	T					
28. - FHLMC (com)	A	Dividend	J	T					
29. - Marsh & McLennan Cos Inc (com)	A	Dividend	J	T					
30. - Bristol Myers Squibb Co (com)	E	Dividend	O	T					
31. - Wyeth (com)	D	Dividend	O	T					
32. - Dow Chemical Co (com)	D	Dividend	M	T					
33. - PPG Inds Inc (com)	B	Dividend	L	T					
34. - Ishares TR Dow Jones US Real Est Idx (mutual fund)	B	Dividend	L	T					
35. - JPMorgan Diversified Mid Cap Growth (mutual fund)	A	Dividend	J	T					
36. - JPMorgan Diversified Mid Cap Value (mutual fund)	A	Dividend	J	T					

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. - JPMorgan International Equity Index (mutual fund)	A	Dividend	J	T					
38. - JPMorgan Small Cap Growth Fund (mutual fund)	A	Dividend	J	T					
39. - JPMorgan Small Cap Value Fund (mutual fund)	A	Dividend	J	T					
40. - Dominion Res Inc VA New (com)	B	Dividend	L	T					
41. - Cisco Sys Inc (com)	A	Dividend	J	T					
42. - Dell Inc (com)	A	Dividend	J	T					
43. - Intel Corp (com)	A	Dividend	J	T					
44. - Microsoft Corp (com)	A	Dividend	K	T					
45. - AT & T Inc (com)	A	Dividend	K	T					
46. - BellSouth Corp BLS Group (com)	C	Dividend	M	T					
47. - Verizon Communications (com)	C	Dividend	L	T					
48. - United Parcel Svc Inc Cl B	A	Dividend	K	T					
49. - Charlotte NC Wtr&Svr Sys Ref Ser A Dtd 03/03/05	C	Interest	J	T					
50. - Greensboro NC Pub Impt Ser A Dtd 02/01/05	C	Interest	L	T					
51. - Raleigh NC Comb Enterprise Sys Rev Dtd 05/04/04	C	Interest	M	T					
52. - University NC Sys Pool Rev Ser A Dtd 10/29/03	B	Interest	L	T					
53. - Mason Cnty WV Single Family Mtg Dtd 08/01/79	B	Interest	K	T					
54. - Mecklenburg Cnty NC Pub Impt Ser A Dtd 02/01/05	C	Interest	L	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. - Rowan Cnty NC Ref Dtd 04/01/05	C	Interest	M	T					
56. - Appalachian St Univ NC Rev Ref Dtd 04/20/05	C	Interest	M	T					
57. - Mecklenburg Cnty NC Pub Impt Ser B Dtd 08/01/02	B	Interest	L	T					
58. - Goldsboro NC Ref Dtd 04/01/05	C	Interest	M	T					
59. - Beauford Cnty NC Dtd 04/01/05	C	Interest	M	T					
60. - Brunswick Cnty NC Cmnty College Ser A Dtd 04/01/05	C	Interest	M	T					
61. - East Carolina Univ NC Univ Rev Ref Ser A Dtd 06/19/03	C	Interest	L	T					
62. - Harbor FDS Intl Fund	B	Dividend	M	T					
63. - JPMorgan Highbridge Stat Mkt Neutral Fund	A	Dividend	L	T					
64. - JPMorgan Multi-Cap Mkt Neutral Fund	A	Dividend	L	T					
65. - Raleigh NC Comb Enterprise Sys Rev Ref 05/05/05	C	Interest	L	T					
66. - Cabarrus Cnty NC Pub Imp 09/01/06	B	Interest	L	T					

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FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		6	200	Notes payable to banks -secured			0
U.S. Government securities -add schedule			0	Notes payable to banks -unsecured (VISA)		14	500
Listed securities -add schedule			0	Notes payable to relatives			0
Unlisted securities -add schedule			0	Notes payable to others			0
Accounts and notes receivable:			0	Accounts and bills due			500
Due from relatives and friends			0	Unpaid income tax			0
Due from others			0	Other unpaid income and interest			0
Doubtful			0	Real estate mortgages payable -add schedule		311	981
Real estate owned-add schedule		350	000	Chattel mortgages and other liens payable (auto loans)		9	250
Real estate mortgages receivable			0	Other debts-itemize:			
Autos and other personal property		23	000	Parent educational loans		188	500
Cash value-life insurance		2	700				
Other assets itemize:							
IRA account		39	500				
Firm profit sharing		213	500				
Interest in firm		25	000	Total liabilities		524	231
				Net Worth		135	668
Total Assets		659	900	Total liabilities and net worth		659	900
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor			0	Are any assets pledged? (Add schedule)			NO
On leases or contracts			0	Are you defendant in any suits or legal actions?			NO
Legal Claims			0	Have you ever taken bankruptcy?			NO
Provision for Federal Income Tax			0				
Other special debt			0				