

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D	2. Court or Organization Middle District of N C	3. Date of Report 1/12/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S District Judge Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 1/09/2007 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2006 to 12/31/2006
7. Chambers or Office Address One West 4th Street Winston-Salem, N.C. 27101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member (Partner)	Womble Carlyle Sandridge & Rice, PLLC
2. Board Member	FirmLogic, LLC
3. Board Member	FirmLogic International, LLC
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/2006	ALAS Insurance Contract with Womble Carlyle Sandridge & Rice, PLLC; no control
2. 4/1/1994	Firm Operating Agmt, Womble Carlyle law firm, Operating Reserve balance payable up to 5 years following departure; other accounts paid upon departure
3. 3/1/1999	Keogh & 401(k) Plan, Womble Carlyle Sandridge & Rice, PLLC
4.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2005	Womble Carlyle Sandridge & Rice, PLLC - Distribution and bonus	\$ 908,782
2. 2006	Womble Carlyle Sandridge & Rice, PLLC - Distributions and bonus	\$ 1,071,486
3.		
4.		
5.		
6.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. Exempt	
2.	
3.	
4.	
5.	

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Credit Card (monthly)	J
2. Mastercard/Visa	Credit Card (monthly)	J
3. Secondary education institution	tuition balance for 2006-07 school year	J
4. Secondary education institution	tuition balance for 2006-07 school year	J
5.		
6.		

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Certificates of Deposit & Account- BBT	E	Interest	O	T	Exempt				
2. Partner Loan Account- WCSR	E	Interest	O	T					
3. Wachovia Accounts	C	Interest	M	T					
4. BB&T Account	A	Interest	J	T					
5. US Treasury Series EE Bond		None	J	T					
6. Arbor Joint Account									
7. iShares Russell 1000 Index	B	Dividend	L	T					
8. iShares Russell 2000 Index	A	Dividend	K	T					
9. Russell International Securities Fund	B	Dividend	K	T					
10. Russell Emerging Markets Fund	A	Dividend	J	T					
11. Vanguard Limited-Term Tax-Exempt	A	Dividend	K	T					
12. Vanguard Short-Term Tax-Exempt	A	Dividend	K	T					
13. Fidelity Tax Free Money Market	A	Dividend	J	T					
14. Fidelity Municipal Money Market	A	Dividend							
15. IRA									
16. iShares Russell 1000 Index	A	Dividend	K	T					
17. Russell Select Growth Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Russell Select Value Fund	A	Dividend	J	T					
19. iShares Russell 2000 Index	A	Dividend	J	T					
20. Russell International Securities Fund	B	Dividend	J	T					
21. Russell Emerging Markets Fund	A	Dividend	J	T					
22. Vanguard Inflation-Protected Sec.	A	Dividend	J	T					
23. Vanguard Short-Term Invstment-Grd	A	Dividend	J	T					
24. Eaton Vance Floating Rate Adv.	A	Dividend	J	T					
25. Fidelity Cash Reserves	A	Dividend							
26. Keogh									
27. iShares Russell 1000 Index	B	Dividend	M	T					
28. Russell Select Growth Fund		None	L	T					
29. Russell Select Value Fund	D	Dividend	L	T					
30. iShares Russell 2000 Index	A	Dividend	L	T					
31. Russell International Securities Fund	D	Dividend	L	T					
32. Russell Emerging Markets Fund	C	Dividend	K	T					
33. Vanguard Inflation-Protected Sec.	C	Dividend	M	T					
34. Vanguard Short-Term Invstmnt-Grd	B	Dividend	L	T					

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Eaton Vance Floating Rate Adv	B	Dividend	L	T					
36. Marshall Prime Money Market CI Y	A	Dividend	J	T					
37. M&I Stable Principal Fund	A	Dividend							
38. Custodial Account									
39. DWS Value Builder Fund CI A	A	Dividend	K	T					
40. Mass Mutual Whole Life Insurance	C	Dividend	L	T					
41. Mass Mutual Whole Life Insurance	A	Dividend	J	T					
42. Loyal American Insurance	A	Dividend							
43. Winston-Salem Foundation Donor Advised Fund		None	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
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R = Cost (Real Estate Only)
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W = Estimated

D = \$5,001 - \$15,000
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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VII. Treasury EE bonds value based on value reported on the government website (<http://www.publicdebt.treas.gov/BC/SBCPrice>) as of December 2007.

The Winston-Salem Foundation Donor Advised Fund is money we donated irrevocably to, and owned by, the Foundation but I and my spouse can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather the Fund receives any income.

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IX. CERTIFICATION.

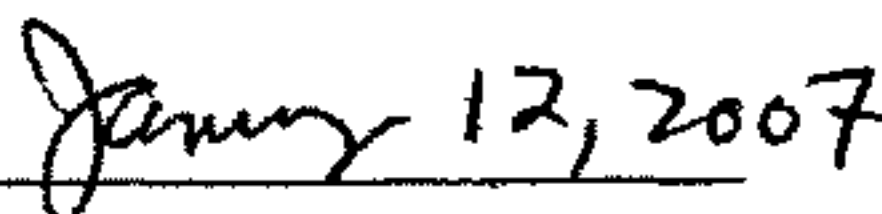
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		653	475	Notes payable to banks-secured			
U.S. Government securities-add schedule		7	826	Notes payable to banks-unsecured			
Listed securities-add schedule				Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:		892	374	Accounts and bills due		13	249
Due from relatives and friends				Unpaid income tax			
Due from others		172	438	Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		299	046
Real estate owned-add schedule		806	184	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		37	875	2006-07 Tuition payments		12	390
Cash value-life insurance		73	572				
Other assets itemize:							
Country Club membership		42	000				
401(k) and Keogh Accounts		732	951				
IRA accounts		308	777	Total liabilities		324	685
(household assets omitted)				Net Worth	3	402	787
Total Assets	3	727	472	Total liabilities and net worth	3	727	472
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							