

AO-10 Rev. 1/2004		FINANCIAL DISCLOSURE REPORT Calendar Year 2006		Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)	
1. Person Reporting (Last name, First name, Middle initial) Southwick, Leslie H		2. Court or Organization Fifth Circuit Court of Appeals		3. Date of Report 01/12/2007	
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - nominee		5. Report Type (check appropriate type) ☒ Nomination, Date 01/09/2007 ☐ Initial ☐ Annual ☐ Final		6. Reporting Period 01/01/2006 to 12/31/2006	
7. Chambers or Office Address Mississippi College Law School 151 E. Griffith Street Jackson, MS 39201		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

☐ NONE

POSITION	NAME OF ORGANIZATION/ENTITY
1. Judge	Mississippi Court of Appeals
2. Adjunct Professor	Mississippi College School of Law
3. Commissioned Officer	United States Army
4. Commissioned Officer	Mississippi National Guard
5. Visiting Professor	Mississippi College School of Law

II AGREEMENTS (Reporting individual only; see no. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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☐ NONE - (No reportable non-investment income.)

<u>DATE</u>		<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2005	United States Army - salary	\$73, 400
2.	2006	Mississippi National Guard – drill pay	\$4,000
3.	2006	Mississippi Court of Appeals - salary	\$101, 500
4.			

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE - (No reportable non-investment income.)

<u>DATE</u>		<u>SOURCE AND TYPE</u>
1.	2006	W.S. Quinn CLU - salary
2.		

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

☐ NONE - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Exempt	

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☐ NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. exempt		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Berkshire-Hathaway - A common		None	M	T	exempt				
2. First National Bank Group, Inc. common	C	Dividend	M	T					
3. Parcel # 1, Mineral Interest, 2001 appraisal		None	J	Q					
4. Parcel # 2, Mineral interest, 2001 appraisal		None	J	Q					
5. Parcel # 3, Hidalgo County, Tex., 2001 appraisal		None	M	Q					
6. Citizens National Bank account and CD	C	Interest	N	T					
7. State Bank & Trust account and CD's	B	Interest	M	T					
8. MetLife Bank Account	D	Interest	M	T					
9. Jackson National Beneficiary Account	A	Interest	J	T					
10. Prudential Financial Variable Life: Conservative Balanced		None	J	T					
11. Prudential Financial Variable Life: Flexible Managed		None	J	T					
12. Prudential Financial Variable Life: Equity		None	K	T					
13. Prudential Financial Variable Life: Diversified Bond		None	J	T					
14. Prudential Financial Variable Life: Jennison		None	J	T					
15. Prudential Financial Variable Life: Small Cap Stock		None	J	T					
16. Trustmark National Bank account and CD	A	Interest	M	T					
17. Bancorp South CD	B	Interest	M	T					

1. Income/Code Codes (See Columns B3 and D3)	A — \$1,000 or less B — \$1,001-\$2,500 C — \$2,501-\$5,000 D — \$5,001-\$15,000 E — \$15,001-\$50,000 F — \$50,001-\$100,000 G — \$100,001-\$1,000,000 H1 — \$1,000,001-\$5,000,000 H2 — More than \$5,000,000	H — \$1,000 or less I — \$1,001-\$50,000 J — \$50,001-\$100,000 K — \$100,001-\$1,000,000 L — \$1,000,001-\$5,000,000 M — \$5,000,001-\$25,000,000 N — \$25,000,001-\$50,000,000 P1 — \$1,000,001-\$5,000,000 P2 — \$5,000,001-\$25,000,000 P3 — \$25,000,001-\$50,000,000 P4 — More than \$50,000,000	Q — Appraisal R — Cost (Real Estate Only) S — Assessment T — Cash/Market U — Book Value V — Other W — Estimated
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**FINANCIAL DISCLOSURE REPORT**

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature Leslie H SouthwickDate 14 Jan 07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	1	010	000	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule		747	179	Notes payable to relatives			
Unlisted securities--add schedule		229	140	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		3	000
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule		555	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		105	000				
Cash value-life insurance		49	356				
Other assets itemize:							
				Total liabilities		3	000
				Net Worth	2	692	675
Total Assets	2	695	675	Total liabilities and net worth	2	695	675
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							