

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Thapar, Amul R	2. Court or Organization U.S. District Court EDKY	3. Date of Report 05/26/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 5/24/2007 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2006 to 5/26/2007
7. Chambers or Office Address U.S. Attorney's Office 260 W. Vine Street, Ste. 300 Lexington, Kentucky 40507	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors and Vice Chairman	Appalachia HIDTA
2. Member	Attorney General's Advisory Committee (AGAC)
3. Chairman	AGAC Controlled Substances and Asset Forfeiture Subcommittee
4. Member	AGAC Terrorism Subcommittee, Violent Crime Subcommittee and Child Exploitation and Obscenity Working Group
5. Honorary Non-Voting Board Member	Operation UNITE (Unlawful Narcotics Investigations, Treatment, and Education)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Thapar, Amul R	05/26/2007

III. NON-INVESTMENT INCOME, (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. EXEMPT	
2.	
3.	
4.	
5.	

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Thapar, Amul R

Date of Report

05/26/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Savings Account	B	Interest	K	T	Exempt				
2. Vanguard Prime Money Market	A	Interest	J	T					
3. TD Bank USA (Ameritrade Money Market)	A	Interest	K	T					
4. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
5. Paypal Inc. (Money Market)	A	Interest	J	T					
6. Autozone Inc. (AZO) Common		None	J	T					
7. Nuance Comm. Inc. (NUAN) Common		None	J	T					
8. Select Comfort Corp. (SCSS) Common		None	J	T					
9. Vocom Inc. (VLCM) Common		None	J	T					
10. Accenture Ltd (ACN) Common	A	Dividend	J	T					
11. Dawson Geophysical Co. (DWSN) Common		None	J	T					
12. Electro Scientific Indus. Inc. (ESIO) Common		None	J	T					
13. Hussman Strategic Growth Fund (HSGFX)	A	Dividend	J	T					
14. Lloyds TSB Group ADR (LYG)	A	Dividend	J	T					
15. Grupo Aeroportuario Del Pac (PAC)		None	J	T					
16. Universal Technical Institute (UTI) Common		None	J	T					
17. Zumiez Inc. (ZUMZ) Common		None	J	T					

1. Income Origin Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$1,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Activdentity Corp. (ACTI) Common		None	J	T					
19. Chipotle Mexican Grill Inc. (CMG/B) Common		None	J	T					
20. Columbia Sportswear Co. (COLM) Common	A	Dividend	J	T					
21. Endurance Specialty Holdings (ENH) Common	A	Dividend	J	T					
22. Middelby Corp. (MIDD) Common		None	J	T					
23. Wexford TR Muhlenkamp FD (MUHLX)	A	Dividend	J	T					
24. New York & Company (NWK) Common		None	J	T					
25. Portfolio Recovery Assoc. (PRAA) Common		None	J	T					
26. RCZ Corporation (RCRC) Common		None	J	T					
27. Fannie Mae (FNM) Common	A	Dividend	J	T					
28. Home Depot Inc. (HD) Common	A	Dividend	J	T					
29. Montpelier Re Holdings Ltd (MRH) Common	A	Dividend	J	T					
30. Neenah Paper Inc. (NP) Common	A	Dividend	J	T					
31. Sanderson Farms Inc. (SAFM) Common	A	Dividend	J	T					
32. United Fire & Casualty Company (UFCS) Common	A	Dividend	J	T					
33. Berkshire Hathaway Inc. Del Class B (BRK.B) Common		None	K	T					
34. Celstar Corp. (CLST) Common		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Cryptologic Inc. (CRYP) Common	A	None	J	T					
36. Flamel Tech Spon ADR (FLML)		None	J	T					
37. Fording Canadian Coal Trust (FDG) Common	A	Dividend	J	T					
38. Freightcar America Inc. (RAIL) Common		None	J	T					
39. Intel Corp. (INTC) Common	A	Dividend	J	T					
40. Microsoft Corp. (MSFT) Common	A	Dividend	J	T					
41. PW Eagle Inc. (PWEI) Common	A	Dividend	J	T					
42. Buffalo Wild Wings (BWLD) Common		None	J	T					
43. CTRIP.com International (CTRP) Common		None	J	T					
44. Formfactor Inc. (FORM) Common		None	J	T					
45. Inventiv Health Inc. (VTIV) Common		None	J	T					
46. Rofin-Sinar Technologies Inc. (RSTI) Common		None	J	T					
47. Unico Amern Corp. (UNAM) Common		None	J	T					
48. United Health Group Inc. (UNH) Common	A	Dividend	J	T					
49. Advance Cash America (AEA) Common	A	Dividend	J	T					
50. Altria Group Inc. (MO) Common	A	Dividend	J	T					
51. Anheuser Busch Cos. Inc. (BUD) Common	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

I = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Federated Investors Inc. (FII) Common	A	Dividend	J	T					
53. Pfizer Inc. (PFE) Common	A	Dividend	J	T					
54. Kraft Foods Inc. (KFT) Common	A	Dividend	J	T					
55. Medco Health Solutions (MHS) Common		None	J	T					
56. McDonalds (MCD) Common	A	Dividend	J	T					
57. Bristol-Myers Squibb (BMY) Common	A	Dividend							
58. Harley Davidson (HOG) Common	A	Dividend							
59. Encore Wire (WIRE) Common	A	Dividend							
60. Merck (MRK) Common	A	Dividend							
61. Federal Express (FDX) Common	A	Dividend							
62. Fresh Del Monte Produce (FDP) Common	A	Dividend							
63. Alderwoods Common	A	Dividend							

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Name of Person Reporting	Date of Report
Thapar, Amul R	05/26/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Filer received non-reportable salary during the reporting period for services as an employee of the United States Government.

Name of Person Reporting	Date of Report
Thapar, Amul R	05/26/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 5/26/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		57	089	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule		88	894	Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			772
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		218	083
Real estate owned-add schedule		375	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		41	635				
Cash value-life insurance							
Other assets itemize:							
TSP Accounts		128	609				
Paypal Inc. Money Market fund			805				
				Total liabilities		218	855
				Net Worth		473	177
Total Assets		692	032	Total liabilities and net worth		692	032
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							