

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

Nomination Report

1. Person Reporting (Last name, first, middle initial) Gardephe, Paul G.	2. Court or Organization U.S. District Court, SDNY	3. Date of Report 04/30/08
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date 4/29/08 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2007 to 03/31/08
7. Chambers or Office Address 1133 Avenue of the Americas New York, New York 10036	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Partner	Patterson Belknap Webb & Tyler LLP
2 Board Member	Fund for Modern Courts
3 Advisory Board Member	Sunsglow

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2003	with Time Warner Inc., regarding deferred compensation plan, Time Warner Savings Plan
2 2008	with Patterson Belknap Webb & Tyler LLP, regarding capital account and fees earned while a firm partner, and regarding 401k plan, money purchase plans, and cash balance plans

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
A. Filer's Non-Investment Income		
☐ NONE (No reportable non-investment income.)		
1 2008	Patterson Belknap Webb & Tyler, partnership income	\$ 258,064.
2 2008	Time Warner Inc., deferred compensation payment	\$ 8948.52
3 2007	Patterson Belknap Webb & Tyler, partnership income	\$ 1,330,401
4 2007	Time Warner Inc., deferred compensation payment	\$ 9615.80
5 2007	New York Law School, teaching fee	\$ 4500
6 2006	Patterson Belknap Webb & Tyler, partnership income	\$ 1,119,742
7 2006	Time Warner Inc., deferred compensation payment	\$ 266,951.89

	2006	New York Law School, teaching fee	\$ 4500
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)			
	☐	NONE (No reportable non-investment income.)	
1	2007	Family Services of Westchester, Salary	
2	2008	Family Services of Westchester, Salary	

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements.)

1 Exempt

2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐ NONE (No such reportable gifts.)

1 Exempt

2		\$
3		\$
4		\$
5		\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒ NONE (No reportable liabilities.)

1		
2		
3		
4		
5		

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't Code1 (A-F)	Type (e.g., div., rent, etc.) (G-I)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-F)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Dreyfus Mutual Fund	D	Dividend	N	T	Exempt				
2 Janus Research Fund	A	Dividend	J	T					
3 Apple Bank cert of deposit	A	Interest	J	T					
4 Neuberger Berman International Fund	A	Dividend	K	T					
5 Janus Enterprise Fund	A	Dividend	J	T					
6 Janus Research Fund	A	Dividend	J	T					
7 Janus Growth and Income Fund	A	Dividend	L	T					
8 Janus Worldwide Fund	A	Dividend	K	T					
9 Fidelity Magellan Fund	A	Dividend	J	T					
10 Neuberger Berman Partners Fund	A	Dividend	J	T					
11 Time Warner Savings Plan	A	Dividend	M	T					
12 Time Warner Deferred Compensation Plan	A	Dividend	K	T					
13 New York College Savings Plan	A	Dividend	O	T					
14 Patterson Money Purchase Plan and 401k	A	Dividend	M	T					
15 Vanguard Tax Managed Cap Appreciation	A	Dividend	L	T					
16 Vanguard Tax Managed Growth & Income	A	Dividend	L	T					
17 Vanguard Tax Managed Int'l Fund	A	Dividend	M	T					

1	Income/Gain Codes (See Col. B1, D4)	A=\$1,000 or less P=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes (See Col. G1, E3)	F=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "00" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Order value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
18 Vanguard Tax Managed Small Cap Fund	A	Dividend	L	T					
19									
20									
21									
22									
23									
24									
25									
26									
27									
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29									
30									
31									
32									
33									
34									

1. Income/Gain Codes (See Col. B1, D4)	A-\$1,000 or less J-\$50,001-\$100,000	B-\$1,001-\$5,000 Q-\$100,001-\$1,000,000	C-\$5,001-\$5,000 H1-\$1,000,001-\$5,000,000	D-\$5,001-\$15,000 H2-More than \$5,000,000	E-\$15,001-\$50,000
2. Value Codes (See Col. C1-D3)	F-\$15,000 or less S-\$250,001-\$500,000	K-\$15,001-\$50,000 T-\$500,001-\$1,000,000	L-\$50,001-\$100,000 P1-\$1,000,001-\$5,000,000	M-\$100,001-\$250,000 P2-\$5,000,001-\$25,000,000	N-\$250,001-\$500,000 P3-More than \$50,000,000
3. Value Method Codes (See Col. C2)	O-Appraisal U-Book value	R-Cost (real estate only) V-Other	S-Assessment W-Estimated		T-Cash/Market

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Paul R. Gardephe

Date

April 30, 2008

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		14	000	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule				Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule	1	340	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		138	000				
Cash value-life insurance		77	439				
Other assets itemize:	2	561	330				
(see attached)							
				Total liabilities		0	
				Net Worth	4	130	769
Total Assets	4	130	769	Total liabilities and net worth	4	130	769
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							