

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keir, Duncan W.	2. Court or Organization U.S. Bankruptcy Court, District of MD	3. Date of Report 03/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 101 W. Lombard Street Chambers 3B Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prime Fund Daily Class	A	Dividend	J	T	Buy (add'l)	02/17/15	K		
2.					Sold (part)	10/01/15	L	A	
3. Wells Fargo Bank f/k/a Wachovia Bank	A	Interest	J	T					
4. Stock Tootsie Roll	A	Dividend			Sold	10/01/15	J	A	
5. Nationwide Insurance	A	Interest	K	T					
6. Bond U.S. Series EE	A	Interest	J	T					
7. Baltimore County Employee Credit Union	A	Interest	J	T					
8. Baltimore County Employee Retirement	D	Interest	K	T					
9. BOND MD ST DEPT TRANS CONS	B	Interest			Sold	02/17/15	K	A	
10. MUT FD PIMCO Total Return	A	Dividend	K	T					
11. MUT FD Ishares Barclays 1-3 year	B	Dividend	K	T					
12. MUT FD Ishares Barclays 1-3 yr CD BD FD	A	Dividend	K	T					
13. MUT FD Ishares Trust Barclays CMBS BD FD	A	Dividend	J	T					
14. MUT FD PIMCO ETF Trust 0-5 yield BD IDX ETF	A	Dividend	K	T					
15. MUD FD Pimco All Asset Authority	B	Dividend	K	T					
16. MUT FD DFA US CORE EQUITY 1	B	Dividend	L	T	Buy	10/01/15	L		
17. IRA at Fidelity Investments as follows:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Cash Reserve Fund	A	Dividend	J	T					
19. MUT FD DAVIS N.Y. VENTURE	B	Dividend			Sold	10/01/15	K	D	
20. MUT FD AMERICAN EURO PACIFIC G ROWTH	A	Dividend			Sold	10/01/15	K	A	
21. MUT FD T ROWE PRICE NEW ERA	A	Dividend			Sold	10/01/15	J	A	
22. MUT FD ROYCE HERITAE FD SERVICE CL	A	Dividend			Sold	10/01/15	K	D	
23. MUT FD PIMCO Short Term	A	Dividend			Sold	10/01/15	K	A	
24. MUT FD John Hancock Strategic	A	Dividend			Sold	10/01/15	J	B	
25. MUT FD DFA Investment grade portfolio	A	Dividend	K	T	Buy	10/01/15	K		
26. MUT FD DFA US CORE Equity 1	A	Dividend	L	T	Buy	10/01/15	K		
27. MUT FD DFA Five year global fixed	A	Dividend	K	T	Buy	10/02/15	K		
28. MUT FD DFA International Core Equity	A	Dividend	K	T	Buy	10/01/15	K		
29. IRA at FIDELITY INVESTMENTS as follows:									
30. Fidelity Cash Reserve	A	Dividend	J	T	Sold (part)	10/01/15	K	A	
31. MUT FD DAVIS N.Y. VENTURE CLASS A	B	Dividend			Sold	10/01/15	M	E	
32. MUT FD Prudential (f/k/a DRYDEN) GLOBAL REAL E STATE CLA	A	Dividend			Sold	10/01/15	L	D	
33. MUT FD AMERICAN EUROPE GROW TH CL F1	A	Dividend			Sold	10/01/15	L	E	
34. MUT FD T ROWE PRICE NEW ERA	A	Dividend			Sold	10/01/15	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. MUT FD T ROWE PRICE EMERGING MKTS	A	Dividend			Sold	10/01/15	K	A	
36. MUT FD ROYCE HERITAGE FD SERVI CE CL	A	Dividend			Sold	10/01/15	K	E	
37. MUT FD VANGUARD 500 INDEX	B	Dividend			Sold	10/01/15	M	E	
38. MUT FD PIMCO TOTAL RETURN	A	Dividend			Sold	10/01/15	J	C	
39. MUT FD PIMCO SHORT TERM	A	Dividend			Sold	10/01/15	L	C	
40. MUT FD Ishares Barclays 1-3 yr.	B	Dividend			Sold	10/01/15	M	D	
41. MUT FD Arbitrage Fund	A	Dividend			Sold	10/01/15	L	C	
42. MUT FD Fidelity Floating	B	Dividend			Sold	10/01/15	L	D	
43. MUT FD John Hancock Strategic	B	Dividend			Sold	10/01/15	M	E	
44. MUT FD JP Morgan Highbridge		None			Sold	10/01/15	K	A	
45. MUT FD Permanent Portfolio	B	Dividend			Sold	10/01/15	L	A	
46. MUT FD ALPS ETF TR Alerian	A	Dividend			Sold	10/01/15	K	A	
47. MUT FD John Hancock Str. Inc. Opportunities	A	Dividend			Sold	10/01/15	K	C	
48. MUT FD DFA Investment grade	B	Dividend	N	T	Buy	10/01/15	N		
49. MUT FD DFA Emerging Markets	A	Dividend	K	T	Buy	10/01/15	K		
50. MUT FD DFA Core Equity I	D	Dividend	N	T	Buy	10/01/15	N		
51. MUT FD DFA Five Year Global Fixed	B	Dividend	M	T	Buy	10/01/15	M		

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52. MUT FD DFA Global Real Estate Sec	B	Dividend	L	T	Buy	10/01/15	L		
53. MUT FD DFA International Core Equity	A	Dividend	M	T	Buy	10/01/15	M		
54. Real Estate Worcester Co. MD Purchased 6/07 \$213,000	A	Rent	M	R					
55. Real Estate Ba. Co. MD Farmland JT Interest Appraisal 7/2008	A	Rent	P1	Q					
56. CD Bank of America	A	Interest	L	T					
57.									
58. 401 K as follows:									
59. MUT FD Pimco ETF Trust 0-5 yr	A	Dividend			Sold	10/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Appraisal for asset listed on line 45 was done as of 07/15/08 (date of death).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Duncan W. Keir**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544