

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Lynch, Gerard E.	2. Court or Organization Second Circuit	3. Date of Report 04/02/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge-nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 04/02/2009 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 03/31/2009
7. Chambers or Office Address 500 Pearl St. New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Professor of Law	Columbia University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lynch, Gerard E.

Date of Report

04/02/2009

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Columbia University	\$24,144
2. 2008	Columbia University	\$24,495.00
3. 2009	Columbia University	\$6,125.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/08-3/09	Flushing Hospital-salary
2. 1/08-3/09	Private practice of psychotherapy-earnings
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	L	T	Exempt				
2. Merrill Lynch Money Market	B	Dividend	M	T					
3. Ameriprise Money Market	A	Interest	J	T					
4. New York NY FSA-CR	A	Interest	K	T					
5. Blackrock NY Muni Inc. Tr.	A	Dividend	J	T					
6. Gabelli Utility Trust PFD	A	Dividend	J	T					
7. ING Savings Account	A	Interest	J	T					
8. Nicholas Applegate Con. Fd.	A	Dividend	J	T					
9. ING Global Equity Fund	A	Dividend	J	T					
10. PIMCO NY Muni Fnc Fd.	A	Dividend	J	T					
11. Blackrock Global Allocation B	A	Dividend	J	T					
12. Triborough BTA Bonds		None	L	T					
13. Alliance Bernstein Gr/Inc. Fund A&B	A	Dividend	J	T					
14. Eaton Vance Enhanced Equity Fd	A	Dividend	J	T					
15. Eaton Vance Tax Managed Global Fund	A	Dividend	J	T					
16. Nuveen Municipal Value	A	Dividend	K	T					
17. Oppenheimer Main St A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. PIMCO Floating Rate Strategy FD	B	Dividend	J	T					
19. Growth Fund Amer. Inc.	A	Dividend	J	T					
20. Putnam Intl Gr Fund		None	J	T					
21. Alpine Total Dynamic Div. Fd.	A	Dividend	J	T					
22. AIM Tax Free Int Shares	A	Dividend	J	T					
23. Eaton Vance Floating Rate Inc. Tr.	A	Dividend	J	T					
24. Rochester Muni Fd Cl A	B	Dividend	K	T					
25. Templeton Dev. Mkt. Trust A	A	Dividend	J	T					
26. Rydex Ser Tr. Juno Fd.		None	J	T					
27. NYS Trasn Fin	B	Interest	K	T					
28. Franklin Utilities	A	Dividend	J	T					
29. SunAmerica New Century Fd. A		None	J	T					
30. TIAA-CREF		None	O	T					
31. Franklin Income Fd C	A	Dividend	J	T					
32. ING Risk Managed Nat. Res. Fd.	A	Dividend	J	T					
33. Nuveen Global Fd.	A	Dividend	J	T					
34. NYC Bonds	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Port Authority Bonds	A	Interest	J	T					
36. NYS Dorm Auth Bonds	B	Interest	K	T					
37. Hartford Cap. Apprec. Fd.	A	Dividend	J	T					
38. Fidelity Adv. Div. Intl.	A	Dividend	J	T					
39. Metro Trans Auth. NY	B	Interest	L	T					
40. Lord Abbett NY T/F	A	Interest	J	T					
41. PIMCO Long Term US Govt.	A	Interest	J	T					
42. Putnam American Govt Income FD.	A	Interest	J	T					
43. Seligman NY Muni FD	B	Interest	K	T					
44. JP Morgan Chase CD	A	Interest	K	T					
45. Fidelity Adv. Govt. Income FD	A	Interest	J	T					
46. Franklin US Govt Sec. FD	A	Dividend	K	T					
47. Lord Abbett Short Duration Inc. FD	A	Dividend	J	T					
48. Amer. Emp. Cent. Bk CD	A	Interest	K	T					
49. Hartford US Govt Sec FD	A	Dividend	J	T					
50. Rental Property, New York, NY (2002; \$272,500)	C	Rent	N	R					
51. Advisors Disciplined Trust Unit 226	B	Dividend	J	T					

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52. Bankcorp CD	A	Interest							
53. NY Power Authority Bond	A	Interest							
54. Putnam Health Science Fd	A	Dividend							
55. Alliance NFJ Dividend	A	Dividend							
56. Washington-Mutal Bank CD	A	Interest							
57. Nuveen Tax Free Unit Trust	A	Interest							
58. First Bank PR CD	A	Interest							

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part IIIA: Additional non-investment income earned during reporting period as United States District Judge.

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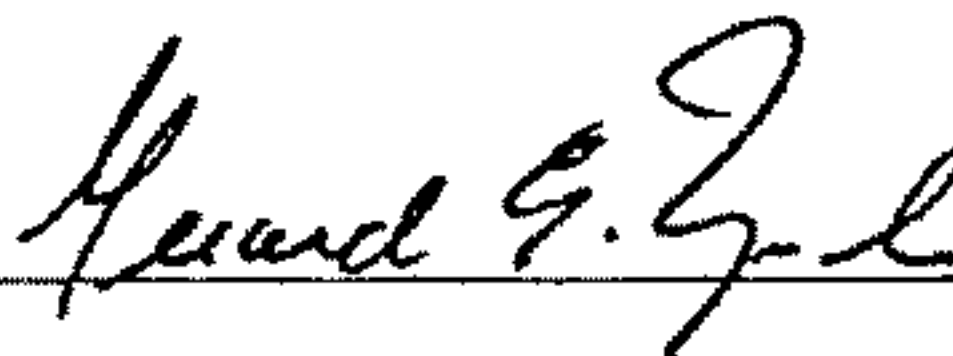
04/02/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		219	424	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule	1	051	652	Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule		272	500	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		6	885				
Cash value-life insurance							
Other assets itemize:							
				Total liabilities			0
				Net Worth	1	550	461
Total Assets	1	550	461	Total liabilities and net worth	1	550	461
CONTINGENT LIABILITIES			0	GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							