

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Cathy, Seibel	2. Court or Organization U.S. District Court – S.D.N.Y.	3. Date of Report 03/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge – nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 03/11/2008 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 02/29/2008
7. Chambers or Office Address 1 St. Andrew's Plaza New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice-President (middle school)	Hastings-on-Hudson PTSA
2. board member	Fordham Law Alumni Association
3. Lecturer-in-Law (part-time trial practice instructor)	Columbia University School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	\$2500 compensation for instructing Trial Practice course at Columbia University School of Law January - April 2008
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Columbia University -- payment for teaching	\$ 1103
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Columbia University: salary as faculty member and physician
2. 2008	University of Vermont, honorarium
3. 2007	Columbia University: salary as faculty member and physician
4. 2007	Washington Post, payment for writing
5. 2007	American Association of Medical Colleges, honorarium
6. 2007	Duke University, honorarium
7. 2007	New England Journal of Medicine, payment for writing
8. 2007	New York Times, payment for writing
9. 2007	Wake Forest University, honorarium

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. exempt	

2.	
3.	
4.	
5.	

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VIL INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Vista Fund	D	Dividend	L	T	exempt				
2. AT&T common stock	A	Dividend	J	T					
3. AT&T common stock	A	Dividend	J	T					
4. Brandywine Fund	D	Dividend	L	T					
5. Chase Manhattan checking account		None	J	T					
6. Comcast common stock		None	J	T					
7. Comcast common stock		None	J	T					
8. Dryden Global Total Return Fund	A	Dividend	J	T					
9. Dreyfus NY Tax-Exempt Bond Fund	B	Dividend	K	T					
10. Enbridge Energy Partners LP common stock	B	Dividend	K	T					
11. Fidelity Magellan Fund (IRA)		None	K	T					
12. Fidelity Capital Appreciation Fund (IRA)		None	K	T					
13. Fidelity NY Muni Income Fund	C	Interest	L						
14. IBM common stock	A	Dividend	J	T					
15. Janus Fund	A	Dividend	M	T					
16. John Hancock Patriot Premium Div Fund I common stock	A	Dividend	J	T					
17. Metropolitan Transportation Authority NY	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Municipal Bonds									
18. Morgan Stanley Active Assets Money Trust	B	Interest	L	T					
19. NY State 529 College Savings Plan		None	K	T					
20. NY State 529 College Savings Plan		None	K	T					
21. RS Growth Fund	D	Dividend	L	T					
22. TIAA/CREF stock fund (retirement annuity)		None	M	T					
23. TIAA/CREF global equity fund (retirement annuity)		None	K	T					
24. T. Row Price Blue Chip Growth Fund IRA		None	J	T					
25. T. Rowe Price Blue Chip Growth Fund IRA		None	J	T					
26. T. Rowe Price Prime Reserve Fund	D	Dividend	M	T					
27. T. Rowe Price Prime Reserve Fund	D	Dividend	N	T					
28. T. Rowe Price Prime Reserve Fund	E	Dividend	N	T					
29. Vanguard 500 Index Fund (retirement annuity)	C	Dividend	M	T					
30. Vanguard International Growth Fund (retirement annuity)	D	Dividend	L	T					
31. Zweig Total Return Fund Inc.	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Cathy, Seibel	03/12/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Non-investment income received during the reporting period included compensation for employment by the United States.

Teaching payment in Part III.A. is net amount.

Name of Person Reporting	Date of Report
Cathy, Seibel	03/12/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature Cathy Seibel Date 3/12/08

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		817	700	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule		69	100	Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		12	000
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		163	200
Real estate owned-add schedule		800	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		25	000				
Cash value-life insurance							
Other assets itemize:							
See attached schedule	1	557	300				
				Total liabilities		175	200
				Net Worth	3	093	900
Total Assets	3	269	100	Total liabilities and net worth	3	269	100
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							