

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Marshall Jr., Denzil P.	2. Court or Organization Eastern District of Arkansas	3. Date of Report 12/3/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 12/3/2009 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 11/30/2009
7. Chambers or Office Address Arkansas Court of Appeals Justice Building 625 Marshall Street Little Rock, Arkansas 72201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Employee--Part-Time Instructor	Arkansas State University, Department of Political Science
2. Reporter	Arkansas Supreme Court Committee on Civil Practice
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 2009	David Newbern, John J. Watkins, me, and West Services, Inc., the publisher of Arkansas Civil Practice and Procedure. Royalty division and co-author obligations.
2.	
3.	

FINANCIAL DISCLOSURE REPORT Page 2 of 8	Name of Person Reporting Marshall Jr., Denzil P.	Date of Report 12/3/2009
---	---	-----------------------------

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	State of Arkansas, Court of Appeals Judge salary	\$126,395.70
2. 2009	West Publishing, share of royalties from Arkansas Civil Practice and Procedure	\$2,319.93
3. 2009	Arkansas State University, salary for teaching a class	\$1,436.54
4. 2008	State of Arkansas, Court of Appeals Judge salary	\$134,186.50
5. 2008	Arkansas State University, salary for teaching a class	\$2,000.00
6. 2008	West Publishing, share of royalties from Arkansas Civil Practice and Procedure	\$672.06
7. 2007	State of Arkansas, Court of Appeals Judge salary	\$131,555.46

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Niche Publications & Creative LLC, magazine publishing and graphic design (gross)
2. 2009	Arkansas State University, salary for teaching
3. 2009	Jonesboro Public Schools, wages for substitute teaching
4. 2008	Niche Publications & Creative LLC, magazine publishing and graphic design (gross)

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				

Name of Person Reporting	Date of Report
Marshall Jr., Denzil P.	12/3/2009

5.

Name of Person Reporting	Date of Report
Marshall Jr., Denzil P.	12/3/2009

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Regions Bank	unsecured note and line of credit	J
2. Coming Printing Company	Niche Publications & Creative LLC/spouse debt for printing	K
3. Bank of America	Niche Publications & Creative LLC/spouse credit card	None
4. AT&T Universal Card	Niche Publications & Creative LLC/spouse credit card	None
5. Washington Mutual	Niche Publications & Creative LLC/spouse credit card	None
6. Citibank	Niche Publications & Creative LLC/spouse credit card	None
7. First Equity	Niche Publications & Creative LLC/spouse credit card	None

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lot 15B, Marshall Estates, Jonesboro, Arkansas		None	K	W	Exempt				
2. Lot 14B, Marshall Estates, Jonesboro, Arkansas		None	K	W					
3. Niche Publications & Creative LLC (Spouse)		None	K	W					
4. Mineral Rights, Arkansas and Jefferson Counties, AR		None	K	W					
5. Regions Bank	A	Interest	J	T					
6. Liberty Bank of Arkansas	A	Interest	J	T					
7. DPM Self-Directed IRA, Liberty Bank of Arkansas, Custodian	C	Int./Div.	M	T					
8. --Liberty Bank of Arkansas Money Market Fund									
9. --Fidelity Overseas Pacific Basin Fund									
10. --Vanguard Fixed Income Securities Fund									
11. --Vanguard Index Trust 500 Fund									
12. --AT&T Inc.									
13. --Apple Inc.									
14. --Nucor Corporation									
15. --Pepsico Inc.									
16. --Toyota Motor Corp.									
17. --Visa Inc.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --J Crew Group Inc.									
19. DPM Self-Directed IRA, Liberty Bank of Arkansas, Custodian	E	Distribution							
20. Fidelity College Savings Plan for Child #1	B	Int./Div.	J	T					
21. Fidelity College Savings Plan for Child #2	B	Int./Div.	J	T					
22. Arkansas Judicial Retirement Plan (unvested account)	A	Int./Div.	K	W					
23. TIAA-CREF #1	B	Int./Div.	J	T					
24. --CREF Stock									
25. --CREF Money Market									
26. TIAFF-CREF #2 (all in CREF Money Mar ket)	A	Int./Div.	J	T					
27. Liberty Bancshares, Inc. Stock		None	K	T					
28. Bank of America Fair Fund	A	Distribution							
29. Alliance Capital Management Fair Fund	A	Distribution							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Marshall Jr., Denzil P.

Date of Report

12/3/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

Name of Person Reporting

Marshall Jr., Denzil P.

Date of Report

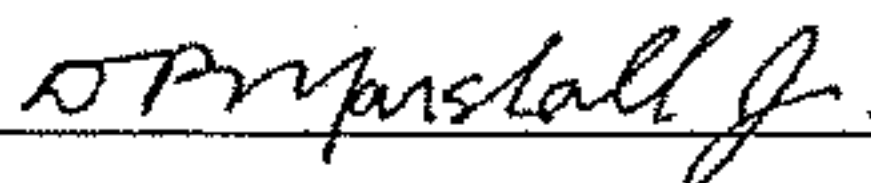
12/3/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		3	253	Notes payable to banks-secured		7	114
U.S. Government securities-add schedule				Notes payable to banks-unsecured		8	073
Listed securities-add schedule				Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		160	187
Real estate owned-add schedule		355	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		55	000	Credit Cards		6	455
Cash value-life insurance				Niche - (Spouse business liabilities)		33	527
Other assets itemize:				First Baptist Church Pledges		4	296
College Savings Plans		18	697	Harvard Law School Fund Pledge			100
Niche Publications (Spouse business assets)		20	384				
IRA--see schedule		165	576				
Arkansas Judicial Retirement Account		19	556	Total liabilities		219	752
TIAA-CREFF		2	176	Net Worth		419	890
Total Assets		639	642	Total liabilities and net worth		639	642
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	YES		
On leases or contracts --				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							

AFFIDAVIT

I, **Denzil Price Marshall Jr.**, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

3 December 2009

(DATE)

DP Marshall Jr.

(NAME)

Nancy Nelms

(NOTARY)

**NANCY NELMS
NOTARY PUBLIC-STATE OF ARKANSAS
CRAIGHEAD COUNTY
MY COMMISSION EXPIRES 01-01-2019**