

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) BATTAGLIA, ANTHONY J.	2. Court or Organization SOUTHERN DISTRICT OF CALIFORNIA	3. Date of Report 05/20/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - NOMINEE	5a. Report Type (check appropriate type) ☒ Nomination, Date 3/20/2010 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 04/30/2010
7. Chambers or Office Address United States District Court 940 Front Street, Room 1145 San Diego, CA 92101-8927	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust 1 (Pension & Profit Sharing Plan Trust)
2.	Trustee	Trust 2 (has no reportable assets)
3.	Trustee	Trust 3
4.	Director, Immediate Past President	Federal Magistrate Judges Association
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2009-2010	self employed, Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Sallie Mae	Student Loan	J
2. Citibank	Student Loan	K
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Balanced Fund	B	Dividend	K	T	Exempt				
2. Trust I (Pension and Profit Sharing Plan Trust)	E	Dividend	O	T					
3. - T. Rowe Price Equity Inc Fund (Pension Plan)									
4. - T. Rowe Price Prime Fund (Pension Fund)									
5. - Vanguard GNMA Fund (Pension Fund)									
6. - Vanguard High Yield Fund (Pension Fund)									
7. - Vanguard Star Fund (Profit Sharing Plan)									
8. - Vanguard Prime Fund (Profit Sharing)									
9. - T. Rowe Price High Yield Fund (Profit Sharing)									
10. - Vanguard GNMA Fund (Profit Sharing)									
11. - T. Rowe Price Spectrum Growth Fund (Profit Sharing)									
12. - Hennessy/Tamarack/ Babson Value Fund (Pension Fund)									
13. - Vanguard Index 500 Fund (Profit Sharing Plan)									
14. - Vanguard Health Care Fund (Profit Sharing)									
15. - Artisan Mid Cap Fund (Profit Sharing Plan)									
16. Law Office Close Corp. Stock		None	J	W					
17. Proctor & Gamble Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. T.Rowe Price Equity Inc. Fund	A	Dividend	J	T					
19. Fidelity Equity Inc. Fund	B	Dividend	J	T					
20. Vanguard Money Market	A	Dividend	J	T					
21. Trust 3	C	Dividend	L	T					
22. - T. Rowe Price Equity Income Fund (Trust #3)									
23. Sempra Energy Common Stock	A	Dividend	J	T					
24. IRA A	D	Dividend	M	T					
25. - Vanguard Inflation Protected Securities Fund									
26. - Vanguard GNMA Fund									
27. IRA B	C	Dividend	M	T					
28. - Vanguard 500 Index Fund									
29. - Vanguard Midcap Fund									
30. - Vanguard Total Bond Fund									
31. - Vanguard Small Cap Fund									
32. - Vanguard International Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part 1: Trust #2 is solely comprised of a non-reportable asset, a personal residence.

Part 3 A: Non reportable, non investment income was earned during the reported period for service as United States Magistrate Judge.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		4	000	Notes payable to banks-secured			
U.S. Government securities-Series EE bonds		1	100	Notes payable to banks-unsecured			
Listed securities-see schedule	1	293	749	Notes payable to relatives			
Unlisted securities--Met Life Trust Shares			500	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-see schedule		620	000
Real estate owned-personal residence		980	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		20	000	Education Loans for Children		50	806
Cash value-life insurance		22	500				
Other assets itemize:							
Home Furniture/Furnishings		100	000				
Clothing/jewelry/personal items		50	000				
				Total liabilities		670	806
				Net Worth	1	801	043
Total Assets	2	471	849	Total liabilities and net worth	2	471	849
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		9	000	Are any assets pledged? (Add schedule)			No
On leases or contracts				Are you a defendant in any suits or legal actions?			No
Legal Claims				Have you ever taken bankruptcy?			No
Provision for Federal Income Tax							
Other special debt							