

1. Person Reporting (last name, first, middle initial) Holmes, III, Paul K.	2. Court or Organization United States District Court Western District of Arkansas	3. Date of Report 04/26/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 4/28/2010 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2009 to 3/31/2010
	5b. ☐ Amended Report	
7. Chambers or Office Address 30 South 6th Street Fort Smith, Arkansas 72901	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Of Counsel	Warner, Smith & Harris, PLC
2. Partner	Warner, Smith & Harris, PLC
3. Director	PKH Properties, LLC
4. Director	BECH Oil & Gas Company
5. Trustee	Lyon College
6. Director	Fort Smith Symphony Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2010	Warner, Smith & Harris, PLC- Of Counsel Agreement
2. 2009	Warner, Smith & Harris, PLC- Partnership Agreement
3. 2009-2010	Warner, Smith & Harris, PLC- Pension and Profit Sharing Plan

Name of Person Reporting	Date of Report
Holmes, III, Paul K.	04/26/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	Warner, Smith & Harris, PLC- attorney's fees	\$70,000.00
2. 2009	Warner, Smith & Harris, PLC- partnership	\$379,463.00
3. 2008	Warner, Smith & Harris, PLC- partnership	\$556,393.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2010	Fort Smith Public School District- substitute teaching
2. 2009	Fort Smith Public School District- substitute teaching
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Holmes, III, Paul K.	04/26/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ABFS Common	B	Dividend	L	T	Exempt				
2. CHS Common		None	J	T					
3. CNP Common	A	Dividend	J	T					
4. COP Common	B	Dividend	L	T					
5. FDX Common	A	Dividend	L	T					
6. DBRN Common		None	J	T					
7. GE Common	B	Dividend	K	T					
8. HD Common	B	Dividend	L	T					
9. HIG Common	A	Dividend	K	T					
10. HPQ Common	B	Dividend	M	T					
11. HOT Common	A	Dividend	J	T					
12. IBKC Common	B	Dividend	L	T					
13. INTC Common	A	Dividend	K	T					
14. JBHT Common	A	Dividend	L	T					
15. JNI Common	B	Dividend	K	T					
16. MHS Common		None	K	T					
17. MON Common	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less P = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MSFT Common	B	Dividend	M	T					
19. NUE Common	C	Dividend	L	T					
20. PFR Common	D	Dividend	M	T					
21. RF Common		None	J	T					
22. RIG Common		None	K	T					
23. SLB Common	B	Dividend	M	T					
24. SO Common	C	Dividend	L	T					
25. T Common	A	Dividend	J	T					
26. WMMVY Common	A	Dividend	K	T					
27. WMT Common	D	Dividend	N	T					
28. Vanguard Total Stock Index Fund	B	Dividend	M	T					
29. Vanguard Extended Market Index Fund	A	Dividend	L	T					
30. Vanguard Growth Index Fund	A	Dividend	L	T					
31. Vanguard Capital Opportunity Fund	A	Dividend	M	T					
32. Vanguard Developed Markets Index Fund	A	Dividend	M	T					
33. Vangurd Total Int. Stock Index Fund	A	Dividend	K	T					
34. Vanguard Inter-Term Inv. Grade Fund	B	Dividend	L	T					

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35. Vanguard Inflation-Protect Sec.	B	Dividend	L	T					
36. Vanguard Inter-Term Tax Exempt Inv.	C	Interest	L	T					
37. Fidelity Int. Muni Income Fund	D	Interest	M	T					
38. MFS Investors Trust-A Fund	A	Dividend	K	T					
39. T.Rowe Price Growth Stock Fund	A	Dividend	K	T					
40. T. Rowe Price Personal Strategy Growth Fund	A	Dividend	K	T					
41. T. Rowe Price New Horizons Fund		None	J	T					
42. T. Rowe Price International Stock Fund	B	Dividend	L	T					
43. U. S. Treasury Notes	D	Interest	L	T					
44. First National Bank of Fort Smith									
45. -First National Money Mkt. Fund	A	Interest	J	T					
46. -First National Acct.	A	Interest	L	T					
47. Schwab Cash Reserves	A	Interest	K	T					
48. T. Rowe Price Tax-Exempt Money Mkt. Fund	A	Interest	L	T					
49. Vanguard Tax-Exempt Money Mkt. Fund	B	Interest	O	T					
50. Vanguard Prime Money Mkt. Fund	A	Interest	L	T					
51. Fidelity Municipal Money Mkt. Fund	A	Interest	M	T					

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52. PKH Properties, LLC		None	J	W					
53. BECH Oil & Gas Company	D	Distribution	K	W					
54. Health Savings Accounts									
55. -HSA Bank Money Mkt. Fund	A	Interest	K	T					
56. -TD Ameritrade Money Mkt. Fund	A	Interest	J	T					
57. -TD Ameritrade SPY Index Fund	A	Dividend	K	T					
58. First National Trustee WSH Pension & Profit Sharing Plan	D	Dividend	N	T					
59. - VIMSX Fund									
60. - FIGRX Fund									
61. -FNB Money Mkt.									
62. T. Rowe Price- Rollover IRA Acct.	E	Dividend	O	T					
63. - POMIX									
64. - PRGIX									
65. - TRMCX									
66. - PRTIX									
67. - PRTXX									
68. - TRRBX									

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69. - RPSIX									
70. - INTC Common									
71. - MHS Common									
72. - MRK Common									
73. - NUE Common									
74. - CVX Common									
75. Lincoln National Whole Life		None	K	T					
76. Union Central Whole Life	A	Dividend	J	T					
77. Transamerica Whole Life	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT Page 9 of 10	Name of Person Reporting	Date of Report
	Holmes, III, Paul K.	04/26/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

I. POSITIONS- I serve on the Board of Trustees of Lyon College, a private liberal arts college.

FINANCIAL DISCLOSURE REPORT Page 10 of 10	Name of Person Reporting	Date of Report
	Holmes, III, Paul K.	04/26/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature Paul K. Holmes III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		87	175	Notes payable to banks-secured			
U.S. Government securities-add schedule		100	000	Notes payable to banks-unsecured			
Listed securities-add schedule	3	036	772	Notes payable to relatives			
Unlisted securities--add schedule		25	000	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule		400	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		25	000				
Cash value-life insurance		57	647				
Other assets itemize:							
Money Market Funds -- see schedule	1	108	855				
Retirement Accounts -- see schedule	1	491	788				
HSA Accounts -- see schedule		32	981	Total liabilities			0
				Net Worth	6	365	218
Total Assets	6	365	218	Total liabilities and net worth	6	365	218
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged?	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							