

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F.	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 04/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Grantor/Trustee of Intervivos Trust	Pioneer Trust Bank (See Line 101)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

MARSH, MALCOLM F.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT 482 Munil Bond (h)									
2. Portland Ore Urban Renewal, Due 6/15/2023	A	Interest	K	T					
3. Ore St Dept Admin Svcs Lottery, Due 04/01/2025	B	Interest	K	T					
4. Tillamook Bay Ore Cmnty College, Due 6/15/2026	A	Interest	K	T					
5. Port Portland Int'l Airport, Due 7/1/2026	A	Interest	K	T					
6. Puerto Rico Cmnrwth Hwy Transn, Ser. AGM, due 7/1/2026	A	Interest	K	T					
7. Puerto Rico Cmnrwth Hwy & Transn Ser. 4, Due 7/1/2026	A	Interest			Sold	07/01/12	J		
8. Lake Oswego Ore Ser A, L/T, B/E, Due 6/1/2029	A	Interest	K	T					
9. Clackamas County Ore Sch. dist #46, Ser. A, Due 6/15/2029	B	Interest	K	T					
10. Wash. Cnty Ore Clean Wtr Ser. B, B/E, Due 10/1/2029	B	Interest	L	T					
11. Oregon St. Facs Auth Rev Rfdg, Due 3/15/2030	C	Interest	L	T					
12. Florence Ore Rfdg, Due 12/1/2030	A	Interest	K	T					
13. Portland Ore. urban Renewal & B/E, due 6/15/2031	B	Interest	K	T					
14. Medford Ore. Hosp Facs. AGM B/E, due 8/15/2035	B	Interest	K	T					
15. Salem Ore Hosp Fac., Ser. A, Due 8/15/2036	B	Interest	L	T					
16. Portland ORE WTR Sys Re OID Mat: 04/01/2037	C	Interest	M	T	Buy	08/23/12	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. OR St Vets Welfare, Ser B, Due 10/01/2042. See Sect. VIII	A	Interest			Sold	12/01/11	J		
18. Oregon St. Bd Bk Rev Ore Eco Comm Dev Dept, 01/01/2025,	A	Interest			Sold	1/01/12	J		
19. Citibank NA Bank Deposit Program - TRANSFERRED to Morgan Sta	A	Interest			Distributed	07/01/12	J		transferred to Morgan Stan
20. Key Bank Private Bank	A	Interest	L	T					
21. U.S. Bank Checking Acct.	A	Interest	J	T					
22.									
23. —I.R.A., MORGAN STANLEY SMITH BARNEY—Indiv Account (h)									
24.									
25. Citibank NA South Dakota Bank Dept transferred to Morgan Sta	A	Interest			Distributed	07/01/12	J		trans to Morgan Stanley
26.									
27.									
28. CORPORATE BONDS(h)									
29. Vulcan Materials Co., Due 6/15/2018	B	Interest	K	T					
30. Manulife Financial Corp, Due 9/17/2020	A	Interest	J	T					
31. Genworth Financial, Due 2/15/21	A	Interest	K	T					
32. Goldman Sachs Group, Due 11/1/2060	B	Interest	K	T					
33. Unit AAM Strategic High 80 Dividend	B	Interest	K	T	Buy	05/10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. Unit AAM Steelpath/Alerian MLP & Income	B	Interest	K	T	Buy	10/16/12	K		
35. Unit FTP Sr Loan & Ltd Duration Series 52	C	Interest	K	T	Buy	04/09/12	K		
36. Unit FTP Sr Loan & Ltd Duration Plus Series 54	C	Interest	K	T	Buy	05/16/12	K		
37. Unit FTP Strategic Income Series 36	A	Interest	K	T					
38. Units FTP Tactical Income Portfolio Series 11	B	Interest	K	T	Buy	01/05/12	K		
39. Unit First Trust Tactical Income 14	A	Interest	J	T	Buy	08/23/12	J		
40. Unit First Trust Tactical Income 5	A	Interest	K	T					
41. Unit Gugg Zacks Income Adv Strate Series 16	C	Interest	L	T	Buy	03/07/12	L		
42. --OTHER Investments & activities(h)									
43. Wisdomtree Trust Emrg Mkt Eqt	B	Interest	K	T	Buy	07/11/12	K		
44. Ishares Trust DJ Select	A	Interest	K	T	Buy	07/11/12	K		
45. Powershares QQQ TR	A	Interest	K	T	Buy	07/11/12	K		
46. UTS FTP, Global Gorillas, Portfolio Series 1	A	Interest			Sold	08/23/12	L		
47. Port of Portland Int'l Airport, Passenger B/E, Due 7/1/2	B	Interest	K	T					
48. Units FTP Insured Municipal Series 27	B	Interest			Sold	07/11/12	L		
49. Units FTP Insured Municipal Series 27	B	Interest			Merged (with line 48)	07/15/12	L		
50. --HSBC Fin Corp HSBC Fin Internotes; MAT: 2/15/2015	A	Interest			Sold	01/05/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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51. —Goldman Sachs Group Inc. Mat: 01/15/2017	A	Interest			Sold	08/23/12	K		
52. —Bank of America Corp Sub Internotes; Mat: 3/15/2020	A	Interest			Sold	05/16/12	K		
53. Fortune Brands, Inc., Due 11-15-2021	A	Interest	K	T					
54. RR Donnelley & Sons Co., Due 6/15/2020	C	Interest			Sold	03/07/12	L		
55. Genworth Financial, Due 5/22/2018	A	Interest			Sold	04/09/12	K		
56. Units ADT, Strategic High 80	A	Interest			Sold	05/10/12	K		
57. UTS FTP, Tactical Income Portfolio Series 5	B	Interest	K	T					
58. Hartford Life - Debs, Due 6/15/2027	A	Interest			Sold	10/15/12	K		
59. Wells Fargo Capital Enhanced, Due 3/15/2068	A	Interest	K	T					
60. Unit FTP Strategic Income Series 36	A	Interest	K	T					
61.									
62. Pioneer Trust Bank (See P. 1, Positions)	A	Interest	K	T					
63.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following investment was inadvertently left off the 2012 report..

OR St Vets Welfare, Ser B. Due 10/01/2042 -- See line 17 of this report; sold in entirety on 12/01/2011

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MALCOLM F. MARSH

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544



United States District Court

DISTRICT OF OREGON
1507 United States Courthouse
1000 S.W. Third Avenue
Portland, Oregon 97204-2902

Chambers of
MALCOLM F. MARSH
United States District Judge

June 6, 2013

RECEIVED
2013 JUN 11 A 9 54
FINANCIAL
DISCLOSURE OFFICE

Judicial Conference of the United States
Committee on Financial Disclosure
Honorable Joseph H. McKinley, Jr., Chair
One Columbus Circle, N.E.
Washington D.C. 20544

Re: 2012 Financial Disclosure Report

Dear Judge McKinley, Jr.,

Thank you for your letter dated May 31, 2013, seeking additional information concerning two assets in my 2012 Financial Disclosure Report.

As to your query regarding the asset listed at Part VII, page 6, line 40, please be advised that "Unit First Trust Tactical Income 5," was listed in my previous report dated 05/08/2012, under line 89 as, "UTS FTP, Tactical Income Portfolio Series 5". This asset was purchased on 05/05/2011. These are identical assets, but were named differently in the two separate reporting years.

As to your query regarding "Legg Mason Western Asset Oregon Muni Mondy Mkt Fund", listed at Part VII, page 4, line 2, my report dated 05/08/2012, indicated, albeit through multiple entries at lines 3-8, that the asset was sold in October of 2011. In the event those entries were confusing, I would like to clarify that "Legg Mason Western Asset Oregon Muni Money Mkt Fund" was sold in its entirety in October of 2011 and is no longer an asset. If you need additional information please let me know.

Very truly yours,


Malcolm F. Marsh
United States District Court Judge

MFM: ph/jh