



FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CAIN, TIMOTHY M.	2. Court or Organization U.S. District Court - District of South Carolina	3. Date of Report 02/16/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 02/16/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 02/15/2011
7. Chambers or Office Address Post Office Box 678 205 West Main Street Walhalla, SC 29691	8. On the basis of the information contained in this Report and any modifications pertaining therein, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE (No reportable positions.)**

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE (No reportable agreements.)**

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	SC Judicial and Solicitors Retirement System, pension upon age 55
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

CAIN, TIMOTHY M.

Date of Report

02/16/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-34 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	S.C. Judicial Department, salary and taxable reimbursements	\$134,207.00
2. 2010	S.C. Judicial Department, salary and taxable reimbursements	\$133,588.00
3. 2011	S.C. Judicial Department, salary and taxable reimbursements	\$16,360.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	School District of Oconee County, salary
2. 2011	School District of Oconee County, salary
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 15-17 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – Income, value, transactions (includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Community First Bank Account	B	None	J	T					
2. Oconee Federal Savings and Loan Association Account	A	Interest	J	T					
3. SC State Credit Union Accounts	B	Interest	L	T					
4. Allianz Master-Dex 5 Annuity		None	L	T					
5. American Funds Euro Pacific Growth Fund A		None	J	T					
6. Dodge & Cox Stock Fund		None	J	T					
7. Hartford Money Market HLS Annuity		None	J	T					
8. Munder Mid Cap Core Growth Y		None	J	T					
9. South Carolina Stable Value Fund		None	J	T					
10. T. Rowe Price Mid-Cap Value fund		None	J	T					
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Code:

(See Chapter B1 and C4)

2. Value Code:

(See Chapter B1 and C4)

A = \$1,000 or less

F = \$50,001 - \$100,000

G = \$100,001 - \$250,000

H = \$250,001 - \$500,000

I = \$500,001 - \$1,000,000

J = \$1,000,001 - \$5,000,000

K = \$5,000,001 - \$25,000,000

L = \$25,000,001 - \$50,000,000

M = More than \$50,000,000

D = \$1,001 - \$10,000

E = \$10,001 - \$25,000

F = \$25,001 - \$50,000

G = \$50,001 - \$100,000

H = \$100,001 - \$250,000

I = \$250,001 - \$500,000

J = \$500,001 - \$1,000,000

K = \$1,000,001 - \$5,000,000

L = \$5,000,001 - \$25,000,000

M = More than \$25,000,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

CAIN, TIMOTHY M.

Date of Report

02/16/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***FINANCIAL DISCLOSURE REPORT**

Page 6 of 6

Name of Person Reporting

CAIN, TIMOTHY M.

Date of Report

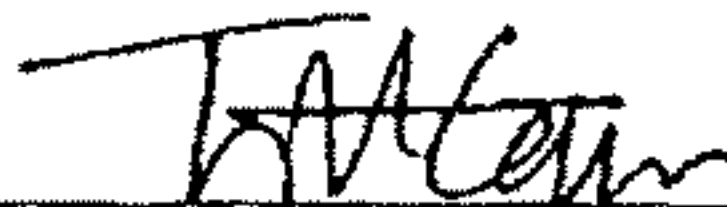
02/16/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 504)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		53	205	Notes payable to banks-secured			
U.S. Government securities-Series EE bonds		2	800	Notes payable to banks-unsecured			
Listed securities				Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		132	182
Real estate owned - see schedule		354	300	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		80	000				
Cash value-life insurance							
Other assets itemize:							
- Retirement holdings - see schedule		353	096				
				Total liabilities		132	182
				Net Worth		711	901
Total Assets		844	083	Total liabilities and net worth		844	083
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							