

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 05/04/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☒ Nomination, Date 05/04/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 04/28/2011
7. Chambers or Office Address Robert F. Denney Federal Building & U.S. Courthouse 100 Centennial Mall North, #586 Lincoln, Nebraska 68508	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

Gerrard, John M.

Date of Report

05/04/2011

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	State of Nebraska judge salary	\$43,250.00
2. 2010	State of Nebraska judge salary	\$126,040.00
3. 2010	University of Nebraska College of Law - commencement address honorarium	\$500.00
4. 2009	State of Nebraska judge salary	\$124,412.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011, 2010	University of Nebraska Health Center - psychiatric nurse practitioner salary
2. 2011, 2010	Bryan LGH Physician Network, Inc. - psychiatric nurse practitioner salary
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. U.S. Bank	Personal note	K
2. Key Bank	Education loan	K
3. Nelnet	Education loan	J
4.		
5.		

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-66 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank Checking Account	A	Interest	J	T					
2. Charles Schwab Market Account	A	Interest	J	T					
3. ABT Common Stock	A	Dividend	J	T					
4. BRKB Common Stock		None	J	T					
5. CSCO Common Stock		None	J	T					
6. CVX Common Stock	A	Dividend	J	T					
7. DEO Common Stock	A	Dividend	J	T					
8. EMR Common Stock	A	Dividend	J	T					
9. EXPD Common Stock	A	Dividend	J	T					
10. FTE Common Stock	A	Dividend	J	T					
11. GFAFX Growth Fund	A	Interest	J	T					
12. HCP Common Stock	A	Dividend	J	T					
13. IBSCX Small Cap Fund		None	J	T					
14. LVLTC Common Stock		None	J	T					
15. OKS Common Stock	A	Dividend	J	T					
16. ORCL Common Stock		None	J	T					
17. PTRRX Institutional Fund		None	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$100,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. RERGX Growth Fund		None	J	T					
19. RFNGX Growth Fund		None	J	T					
20. SNY Common Stock	A	Dividend	J	T					
21. TCLFX Investment Fund		None	J	T					
22. TEVA Common Stock	A	Dividend	J	T					
23. TIP Bond Fund	A	Dividend	K	T					
24. VALE Common Stock	A	Dividend	J	T					
25. VFORX Investment Fund		None	J	T					
26. VIPSX Securities Fund	A	Dividend	J	T					
27. WEFIX Bond Fund	A	Dividend	J	T					
28. WR Common Stock	A	Dividend	J	T					
29. American General Universal Life Insurance		None	J	T					
30. Genworth Adjustable Life Insurance		None	J	T					
31. Nebraska Judges Retirement System (no control)	A	Interest	J	T					
32.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less P = \$50,001 - \$100,000	H = \$1,001 - \$2,500 O = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$1,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Amortment W = Estimated		T = Cash Market

Name of Person Reporting	Date of Report
Gerrard, John M.	05/04/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

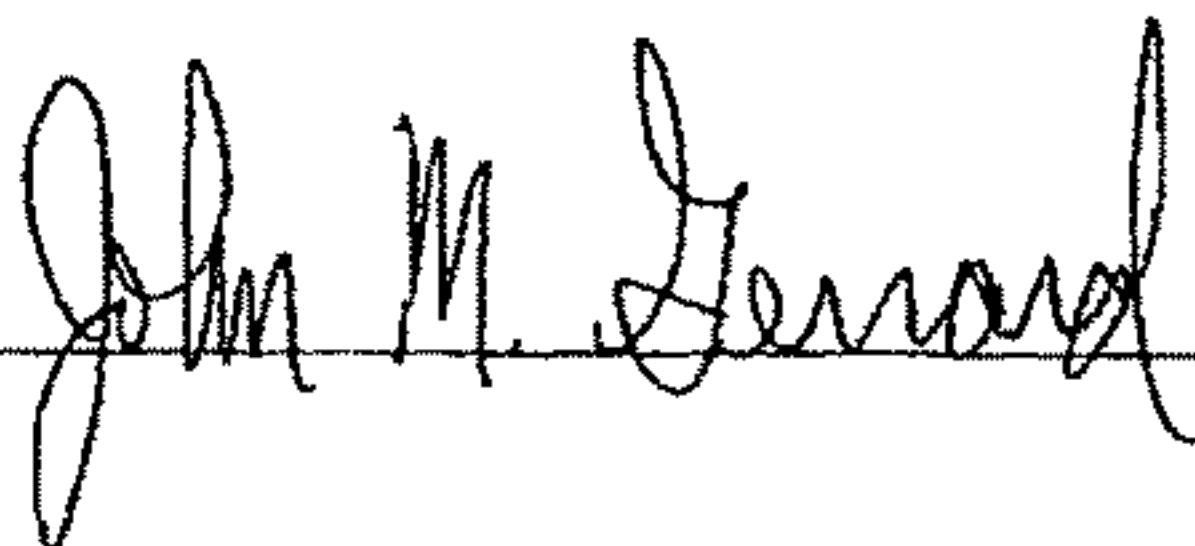
Name of Person Reporting	Date of Report
Gerrard, John M.	05/04/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		21	581	Notes payable to banks-secured			
U.S. Government securities-Series EE bonds		1	000	Notes payable to banks-unsecured		47	500
Listed securities-see schedule		191	882	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-personal residence		413	000
Real estate owned-personal residence		415	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		85	000	- Education loans		83	000
Cash value-life insurance		11	141				
Other assets itemize:							
- Nebraska Judges Retirement System		158	131				
				Total liabilities		543	500
				Net Worth		340	235
Total Assets		883	735	Total liabilities and net worth		883	735
CONTINGENT LIABILITIES (none)				GENERAL INFORMATION			
As endorser, comaker or guarantor on leases or contracts (educ. loan)		16	500	Are any assets pledged? (Add schedule)	No		
Legal Claims				Are you defendant in any suits or legal actions?	No		
Provision for Federal Income Tax				Have you ever taken bankruptcy?	No		
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

ABT	\$ 4,905
BRKB	12,544
CSCO	3,430
CVX	10,749
DEO	7,622
EMR	5,843
EXPD	5,015
FTE	4,502
GFAFX	1,272
HCP	3,794
IBSCX	11,007
LVL	156
OKS	8,235
ORCL	3,343
PTTRX	1,995
RERGX	619
RFNGX	9,425
SNY	10,566
TCLFX	6,420
TEVA	10,034
TIP	21,832
VALE	13,340
VFORX	7,008
VIPSX	11,957
WEFIX	8,343
WR	7,926
Total Listed Securities	\$191,882